

# Mechanisms of Oct4 in the entry to, maintenance of, and exit from pluripotency

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Lawrence Bates

September 2018





*To my niece, Betsy*



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## Abstract

Pluripotency is defined as the capacity to give rise to all cell types of the embryo proper. It arises in the early mammalian embryo but is lost after a short period of time as cells differentiate and become committed to different lineages. Prior to implantation, mouse epiblast cells enter the pluripotent naïve state, which can be captured *in vitro* in the form of embryonic stem cells. These cells are characterized by a capacity for indefinite self-renewal, and the ability to re-enter normal development upon being returned to the naïve epiblast. A complex transcription factor network promotes this state. Overexpression of one of many of these factors leads to stabilisation of the naïve state, with enhanced self-renewal and reduced spontaneous differentiation. However, the transcription factor Oct4 must be maintained within a tight window of expression; depletion results in extraembryonic differentiation, while overexpression also results in exit from pluripotency.

Oct4 was identified as a protein expressed in the early embryo and in germ cells, and was subsequently discovered to be essential for the establishment of the naïve epiblast. *In vitro* studies determined that loss of Oct4 in ESCs induced trophoblast differentiation. Meanwhile, overexpression of Oct4 led to differentiation of ESCs, and constitutive expression of Oct4 was not sufficient to replace any of the extrinsic factors required for ESC self-renewal. Despite these dramatic phenotypes, the essential role of Oct4 remains unclear, further complicated by the finding that a reduced level of Oct4 promotes self-renewal at the expense of differentiation capacity.

In this work, I generated a novel Oct4 fusion protein capable of rapid inducible degradation in order to study the immediate responses to removal of Oct4. This system utilizes the auxin responsive degradation domain of the *Arabidopsis thaliana* IAA17 protein to recruit a transgenic F-box protein Tir1 on addition of the small molecule auxin to the culture medium. Subsequent ubiquitination by the endogenous SCF complex leads to rapid proteolytic degradation of the Oct4 fusion protein, resulting in loss of detectable protein in as little as two hours. This system allows the study of immediate responses to loss of Oct4 in contrast to conventional depletion systems in which Oct4 levels decay over a protracted period making it difficult to disentangle direct and indirect effects.

I established that several pluripotency-associated genes require Oct4 for their transcription. RNA levels of these factors decrease rapidly on depletion of Oct4, prior to significant changes in the expression of other key pluripotency factors such as Nanog and before protein levels of other factors

can change dramatically. Furthermore, I established that the presence of Oct4 antagonises chromatin binding by Nanog, revealing a possible mechanism by which increased Oct4 levels are detrimental to the naïve state. Together, these findings may be sufficient to explain the simultaneous requirement for, and antagonistic activity of, Oct4 in naïve pluripotent cells.

I also found that an ESC level of Oct4 facilitates cell identity transitions. Cells constitutively expressing Oct4 and differentiated *in vivo* could be reverted to naïve pluripotency *in vitro* through the application of defined naïve pluripotency growth conditions. Additionally, in the course of these experiments I examined the phenotypic abnormalities that occur in mouse embryonic development under continuous expression of Oct4. In keeping with previous work, we observed abnormalities in limb development and in the skin. We also observed exencephaly in a number of embryos.

In conventional exit from pluripotency, female cells must inactivate an X chromosome in order to balance X-linked gene expression between males and females. This is achieved via expression of Xist from a single X chromosome, where it orchestrates chromosome-wide silencing. I show that Oct4 plays an important role in the regulation of Xist during the exit from pluripotency. I find that, in the absence of Oct4 or in extraembryonic differentiation induced by other methods, such as overexpression of Cdx2 or Gata6, downregulation of Xist does not occur in male cells resulting in persistent high levels of Xist expression. Normally, Oct4 expression persists after the downregulation of most naïve transcription factors during early differentiation. I propose that this allows Oct4 to antagonise expression of the Xist, and thus ensure proper control over X chromosome inactivation.

Together this work focuses on the dual roles of Oct4 in regulating both pluripotency and differentiation. I address the contradictory phenotypes relating to altered expression of Oct4, and establish a unifying theory to explain them. I put forward evidence that Oct4 promotes cell fate transitions by regulating naïve transcription factors. I propose that the environment plays a key role in determining cell identity, while Oct4 acts to maintain plasticity by preventing cells from being trapped within otherwise stable states.

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## List of Abbreviations

2i	Combined Chiron and PD03
4-OHT	Synthetic ER agonist 4-hydroxytamoxifen
A-P	Anterior-posterior axis
AID	Auxin inducible degron
Bsd	Blasticidin S or the blasticidin resistance gene
CAG	A synthetic constitutive promoter
ChIP	Chromatin immunoprecipitation
ChIP-qPCR	ChIP followed by qPCR analysis
ChIP-seq	ChIP followed by NGS and downstream analysis
Chiron	Pharmacological GSK3 inhibitor CHIR99021
CreER <sup>T2</sup>	Fusion protein of Cre and G400V/M542A/L544A triple mutant human ER
DAPI	4',6-diamidino-2-phenylindole, a fluorescent DNA stain
DMSO	Dimethyl sulfoxide
Dox	Doxycycline, a tetracycline derivative
E	Embryonic day (e.g. E4.5 is 4.5 days into embryonic development)
EGC	Embryonic germ cell
EpiLC	EpiSC-like cell
EpiSC	Epiblast stem cell
ESC	Embryonic stem cell
F	Floxed allele
FCS	Fetal calf serum
FDR	False discovery rate
Floxed	Flanked by LoxP sites
GOI	Gene of interest
H&E	Haematoxylin and eosin
Hyg	Hygromycin B
IAA	Indole acetic acid, an auxin plant hormone
IF	Immunofluorescence
iPSC	Induced pluripotent stem cell
MEF	Mouse embryonic fibroblast
NGS	Next generation high throughput sequencing
NSC	Neural stem cell
qPCR	Real time quantitative PCR
PB	piggyBac transposon
PCR	Polymerase chain reaction
PD03	Pharmacological MEK1/2 inhibitor PD0325901
Pre-iPSC	Reprogramming intermediate, precursors to iPSCs
Puro	Puromycin
RNA-seq	NGS of RNA
RT-qPCR	Reverse transcriptase qPCR
rtTA	Reverse-tTA
SL	Serum-based media supplemented with LIF

TRE	Tetracycline response element, a series of TetO elements followed by a minimal CMV promoter; active when tTA or rtTA is bound.
TSC	Trophoblast stem cell
tTA	Tetracycline responsive transactivator, a fusion protein of TetR and VP16
XCI	X chromosome inactivation
XIC	X inactivation centre
ZPA	Zone of polarizing activity

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# Chapter 1: Introduction

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## 1.1. Pluripotency and early mouse development

Development is often seen as a gradual restriction in fate potential. Embryogenesis begins with a fertilized oocyte, a single zygote with the potential to form every cell of a new organism and all of the extraembryonic tissue required to support embryonic growth. Over time, groups of cells differentiate, heading down paths limited to extraembryonic identities, while others lose this capacity but maintain the potential to contribute to the embryo proper; these are pluripotent cells. As development continues cells are segregated into major cell types, the three germ layers and the germ line, where they continue to differentiate, gradually losing their potency as they become specialized and adopt specific roles around the body. This is wonderfully captured in the concept of Waddington's landscape (Waddington, 1957), a mountain face with peaks and troughs that direct a rolling ball to one of several end points; a model of growing epigenetic constraints that direct cells to their identities and stably hold them there.

### 1.1.1. The early mouse embryo

Mammalian development has been best characterized in mouse. This is a model system adopted for ease of handling and rapid reproductive cycle, and reinforced by the development of techniques that allow us to probe the intricacies of development in detail. The earliest stages of mouse development are characterized by cleavage divisions of the totipotent zygote (E0, Figure 1.1) to generate a morula (~E2.0, Figure 1.1).

Figure 1.1

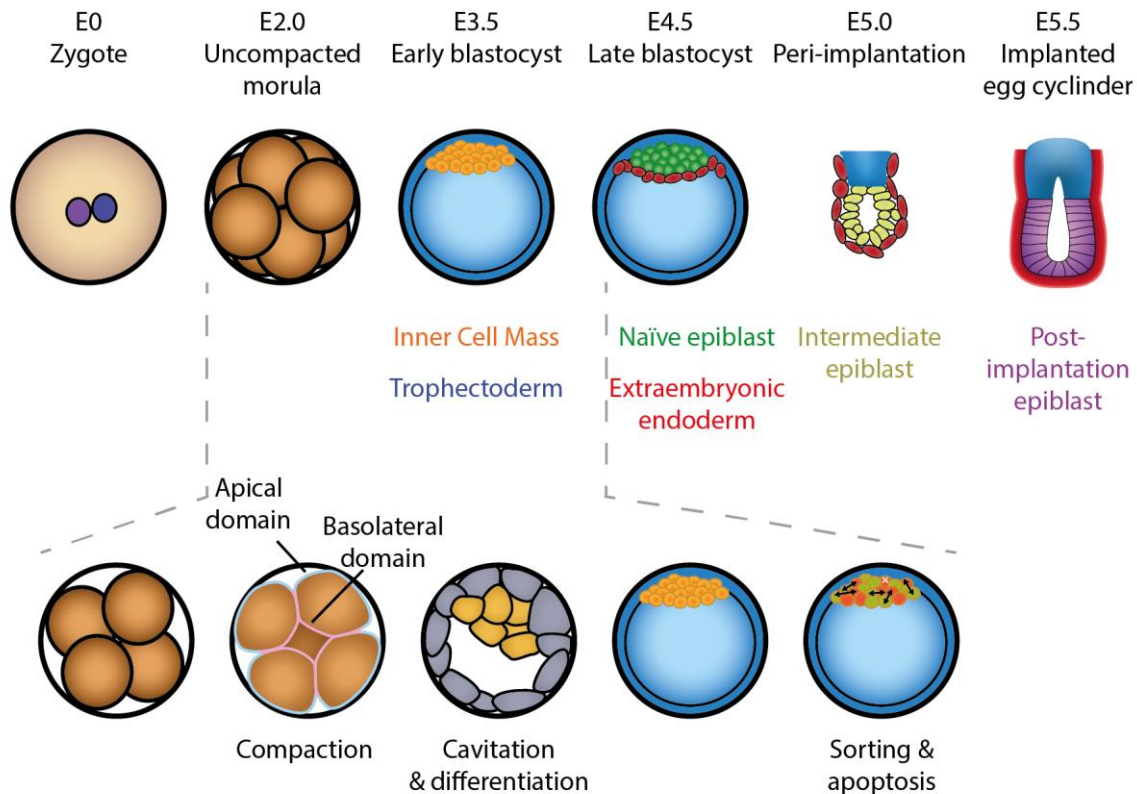


Figure 1.1 Mouse embryogenesis from fertilization to early post-implantation

An overview of early mouse embryogenesis. A fertilized egg (E0) contains the paternal and maternal pronuclei. As this zygote undergoes mitosis the maternal and paternal chromosomes intermingle. After three rounds of cell division (~E2.0), the embryo has reached the state of an uncompacted morula. At around the 8-cell stage, cells convert from being largely unpolarised to possessing distinct apical-basal polarity, and become strongly adherent. This results in a compacted morula in which it is difficult to observe cell boundaries. As these cells continue to divide, some cells are located on the outside of the embryo while others are located inside the morula. This distinction plays an integral role in the differentiation of the outside cells to trophectoderm and the inside cells form the inner cell mass and lose their polarity. A cavity forms within the embryo, with cells of the inner cell mass clustered at one side, firmly attached to the trophectoderm (~E3.5). Differences in expression of factors such as *Nanog* and *Gata6* result in a salt-and-pepper mixture of immature epiblast and extraembryonic endoderm cells. A mixture of cell sorting and apoptosis leads to an organised structure with the naïve epiblast in contact with trophectoderm, and extraembryonic endoderm separating the epiblast from the blastocoel (~E4.5). The zona pellucida is shed and the embryo implants (~E5.0), with the trophectoderm expanding and invading the maternal endometrium, and forming the yolk sac together with the extraembryonic endoderm. At the same time, the epiblast undergoes significant changes, forming a monolayered epithelium with a central cavity (~E5.5). Note that mouse ESCs are approximately equivalent to the E4.5 naïve epiblast and EpiSCs are roughly equivalent to the E5.5-E6.5 post-implantation epiblast.

The zygotic genome is activated early in mouse, perhaps in the zygote itself (Aoki et al., 1997; Bouniol et al., 1995); nonetheless, large quantities of maternally derived mRNAs are present to direct these initial divisions (Hamatani et al., 2004). After three cycles of cell division, the eight-cell embryo begins to undergo compaction (Ducibella et al., 1977; Pratt et al., 1982). Cells obtain apical-basal polarity (Ducibella et al., 1977; Vinot et al., 2005) and adhere tightly together (Ducibella and Anderson, 1975; Vestweber et al., 1987) (~E2.5, Figure 1.1, inset), and as cells continue to divide the inner cells become surrounded by basolateral domains (Hirate and Sasaki, 2014). Cells on the outside of the embryo



differentiate towards trophectoderm through the combined activity of YAP/TAZ and the transcription factor Tead4, while inner cells remain undifferentiated as their close contact leads to activation of the Hippo pathway and degradation of YAP/TAZ (Hirate et al., 2013; Nishioka et al., 2009, 2008; Yagi et al., 2007). Consequently, the outer cells upregulate trophoblast factors Cdx2 and Eomes while silencing Oct4 (Nishioka et al., 2009; Niwa et al., 2005), while expression of Oct4, Sox2, Nanog, Pdgfra and Gata6 become more restricted to the inner cells (Kang et al., 2013; Le Bin et al., 2014; Wicklow et al., 2014), now forming the inner cell mass (ICM) as a blastocoel-filled lumen opens within the embryo (Figure 1.1, inset). At this point, antagonistic regulation between Oct4 and Cdx2 cements this first fate decision (Dietrich and Hiiragi, 2007; Niwa et al., 2005).

As the ICM matures, the cells begin to adopt different fates with mutually exclusive expression of Nanog and Gata6, marking cells of the presumptive naïve epiblast and hypoblast respectively (Schrode et al., 2014; Yamanaka et al., 2010) (~E4.0, Figure 1.1, inset). A mixture of cell sorting and selective apoptosis leads to segregation of these cells (Meilhac et al., 2009; Plusa et al., 2008) such that the hypoblast overlies the naïve epiblast, which is in direct contact with the trophectoderm. At around this time, the zona pellucida is shed and embryos are suitable for implantation. If the previous litter has not yet been weaned, signals from the mother can induce embryonic diapause at this point, arresting development prior to implantation (Mantalenakis and Ketchel, 1966; Weitlauf and Greenwald, 1968). Upon implantation (~E5.0, Figure 1.1), the epiblast undergoes dramatic morphological, epigenetic and transcriptional changes. Cells begin to polarize and generate a single layered epithelium as a central lumen forms which will become the pro-amniotic cavity (Bedzhov and Zernicka-Goetz, 2014; Coucouvanis and Martin, 1995) (~E5.5, Figure 1.1). Shortly after this, the visceral endoderm formed from the differentiating hypoblast gains axial polarity, establishing the distal and subsequently anterior visceral endoderm (Beddington and Robertson, 1999; Takaoka et al., 2011, 2006). Signalling from this anterior-specifying region and the overlying trophectoderm lead to differentiation of the posterior epiblast into the primitive streak (Tam and Behringer, 1997; Williams et al., 2012), characterized by a transition to a multi-layered epithelium. At this site epiblast cells undergo an epithelial to mesenchymal transition and ingress, passing through the streak and segregating into mesoderm and endoderm while the ectoderm remains on the exterior in the process of gastrulation (Lawson and Pedersen, 1987; Quinlan et al., 1995; Tam and Beddington, 1987; Tam and Behringer, 1997). As they become determined in their new identity, these cells lose their ability to

contribute to other germ layers, and hence lose their pluripotency (Beddington et al., 1992; Carey et al., 1995; Kanatsu and Nishikawa, 1996; Tam et al., 1997).

## 1.2. Studying pluripotency *in vitro*

It was predicted that cells of the pre-implantation mouse embryo might be pluripotent when it was demonstrated that small numbers of isolated blastomeres injected into the blastocoel of a recipient embryo could contribute to all germ layers of the subsequent embryo, generating chimeric offspring (Gardner, 1968). Both pre- and post-implantation embryos can generate tumours containing cells from all three germ layers as well as proliferating undifferentiated cells – teratocarcinomas - on transfer to the testes of adult mice (Stevens, 1970). Single carcinoma cells derived from teratocarcinomas can regenerate tumours containing various somatic tissues, demonstrating functional pluripotency at a cellular level (Kleinsmith and Pierce, 1964). These tumours can also form spontaneously from primordial germ cells (Stevens, 1967), particularly in certain inbred mouse lines (Stevens and Hummel, 1957; Stevens and Little, 1954). This led to the capture of pluripotency *in vitro* in the form of embryonic carcinoma cells (ECCs) (Finch and Ephrussi, 1967; Rosenthal et al., 1970). Incredibly, these cells can contribute to normal, non-tumorous mice on microinjection into blastocysts (Papaioannou et al., 1975; Stewart and Mintz, 1981).

### 1.2.1. Establishing embryonic stem cells

By studying conditions that favour the self-renewal rather than differentiation of ECCs, environments favourable for derivation of pluripotent cell cultures direct from mouse blastocysts were developed, yielding embryonic stem cells (ESCs) (Evans and Kaufman, 1981; Martin, 1981).

Figure 1.2

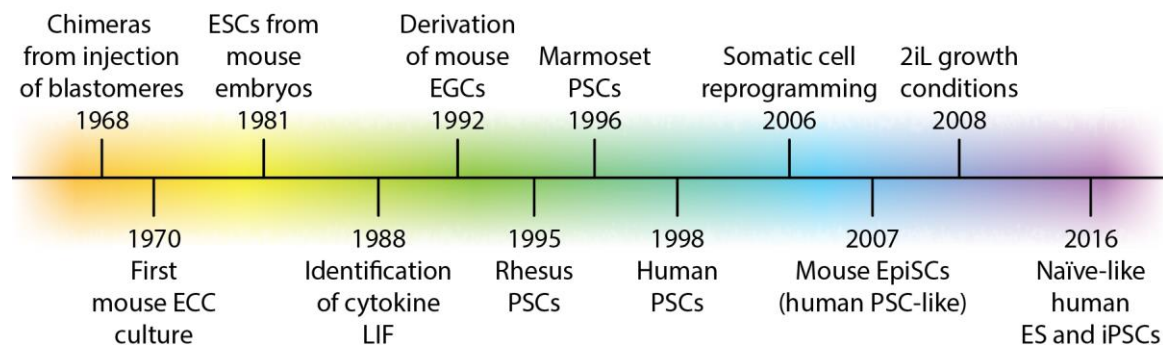


Figure 1.2 Timeline of key discoveries relating to naïve pluripotency in mouse

In 1968 Richard Gardner demonstrated that live chimeras could be born following injection of isolated blastomeres into host blastocysts, implying pluripotency at a cellular level (Gardner, 1968). Soon after, in 1970, the first pluripotent cell lines that display long-term self-renewal without loss of potency were captured *in vitro* by Miriam Rosenthal in the form of embryonic carcinoma cells (ECCs) derived from teratocarcinomas (Rosenthal et al., 1970). Following this, embryonic stem cells (ESCs) were independently captured from pre-implantation mouse embryos by Gail Martin and by Martin Evans and Matthew Kaufman in 1981 (Evans and Kaufman, 1981; Martin, 1981). In 1988, the cytokine LIF was identified independently by Austin Smith and Lindsay Williams as a key factor in ESC medium that greatly enhanced the self-renewal of these cells (Smith et al., 1988; Williams et al., 1988). It was independently demonstrated that mouse primordial germ cells could readily convert to pluripotent embryonic germ cells (EGCs) in 1992 by Yasuhisa Matsui and James Resnick (Matsui et al., 1992, 1991; Resnick et al., 1992). Pluripotent stem cells (PSCs) were derived by James Thomson from the preimplantation embryos of rhesus monkeys, marmosets and humans in rapid succession in 1995, 1996 and 1998 respectively by (Thomson et al., 1998, 1996, 1995); due to their pluripotent character and derivation from the preimplantation epiblast, they were termed ESCs. In 2006, Kazutoshi Takahashi demonstrated that it was possible to reprogram somatic cells into induced pluripotent stem cells (iPSCs) through the expression of four transcription factors (Takahashi and Yamanaka, 2006). Keisuke Okita followed up this work and generated chimera-competent iPSCs the following year (Okita et al., 2007). Cell lines closely resembling conventional primate PSCs were derived from post-implantation mouse embryos by both Paul Tesar and Gabrielle Brons in 2007 (Brons et al., 2007; Tesar et al., 2007). In 2009, naïve-specific '2iL' growth conditions that favour the self-renewal of mouse ESCs in a state that closely resembles the preimplantation epiblast were identified by Qi-Long Ying (Ying et al., 2008). More recently, conditions for the propagation of human PSCs in a more naïve-like state have been generated by Thorold Theunissen and Yasuhiro Takashima (Takashima et al., 2014; Theunissen et al., 2014). These 2016 papers were followed up by more extensive molecular characterization by Theunissen and direct capture of naïve-like cells *in vitro* from preimplantation human embryos by Ge Guo respectively (Guo et al., 2016; Theunissen et al., 2016).

On introduction into blastocysts, these cells could re-enter normal development and contribute to all three germ layers plus the germ line (Bradley et al., 1984). These cells possess a robust, highly redundant transcriptional network that maintains their pluripotent character (Niwa, 2018). They are a powerful model system for studying general principles of mammalian cell biology and cell fate transitions since they can be readily expanded to large numbers and can self-renew indefinitely without needing to be immortalized or transformed.

### 1.2.2. The ESC signalling environment

For a long time, mouse ESCs were maintained in cytokine-rich serum-based medium on a feeder layer of mouse embryonic fibroblasts (MEFs) (Evans and Kaufman, 1981), or in ECC-conditioned medium

(Martin, 1981). Investigations into the factors involved in ESC self-renewal in these conditions uncovered the cytokine LIF (Smith et al., 1988; Williams et al., 1988), which enabled propagation of mouse ESCs in the absence of feeders in serum-based media supplemented with LIF (SL). This ligand binds to LIFR with GP130, resulting in activation of the intracellular kinase JAK. JAK phosphorylates STAT3, inducing dimerization leading to nuclear translocation whereupon it acts as a pluripotency-promoting transcription factor (Figure 1.3) (Matsuda et al., 1999; Niwa et al., 1998). It can be shown that the JAK/STAT3 pathway is the major role of this cytokine since Stat3 knockout cells show little response to addition of LIF and Stat3Y705F mutants which cannot be phosphorylated by JAK are not capable of self-renewal in SL conditions (Huang et al., 2014), while constitutively active STAT3 can maintain ESCs in the absence of LIF (Huang et al., 2014; Matsuda et al., 1999).

Further studies found that BMPs contribute to pluripotency and permit self-renewal of ESCs in serum-free media containing LIF (Ying et al., 2003). It appears that BMP signalling via SMAD1 and SMAD5 results in upregulation of Id1 and Id3 which inhibit neural differentiation (Figure 1.3) (Hollnagel et al., 1999; Ying et al., 2003). Overexpression of these Id genes is sufficient for ESC self-renewal in serum-free media containing LIF alone (Ying et al., 2003). Interestingly, conventional BMP-SMAD1/4/5 signalling appears to be entirely disposable for the maintenance of mouse ESCs (Gomes Fernandes et al., 2016), with the transcription activation properties of SMAD1 being extinguished by interaction with Klf4 (Morikawa et al., 2016). Instead, a major role of Bmp signalling appears to be through activation of Mek5, which activates Erk5 resulting in upregulation of the naïve factor Klf2 (Morikawa et al., 2016).

### 1.2.3. Alternative pluripotent identities

As described above, teratocarcinomas can arise from germ cells in mouse embryos (Stevens, 1967), suggesting that these cells are either already pluripotent or can readily convert to a pluripotent state. Subsequent work has shown that primordial germ cells (PGCs) themselves are not pluripotent, differentiating only to gametes, and cannot integrate into mouse blastocysts (Matsui, 1998). However, they express many pluripotency-associated factors (Kurimoto et al., 2008), and rapidly convert to a pluripotent identity when cultured in serum-based medium supplemented with FGF, SCF and LIF (Matsui et al., 1992, 1991; Resnick et al., 1992).

Meanwhile, there was significant work put into identifying conditions that could maintain long-term self-renewing human ESCs. Pluripotent stem cells (PSCs) were derived from non-human primates

using serum-based medium with or without human LIF, and required a feeder layer of MEFs for self-renewal (Thomson et al., 1996, 1995). Utilizing the experience and knowledge gained from working with these primate PSCs, human PSC lines were derived from frozen embryos shortly afterwards (Thomson et al., 1998). All of these primate cell lines were very distinct from mouse ESCs, however. On explanting embryos in culture, the ICM forms a distinctive domed structure, with cells maintaining close contact in a 3-dimensional arrangement. As mouse ESC lines are established, the colonies return to this domed morphology after each passage. The primate lines, however, all form a flat epithelium without such tight contact between individual cells (Thomson et al., 1998, 1996, 1995). Pluripotent human EGCs were established from PGCs, and showed a compacted, rounded morphology more reminiscent of mouse ESCs (Shamblott et al., 1998); however, poor derivation and differentiation efficiencies limited their use (Turnpenny et al., 2003).

There were also significant differences in signal requirements between mouse ESCs and primate PSCs. As described above, mouse ESCs are dependent on LIF and BMPs to maintain their identity, whereas primate PSCs are unresponsive to LIF (Dahéron et al., 2004; Humphrey et al., 2004) and differentiate to trophectoderm on BMP induction (Xu et al., 2002). Instead, human PSCs are reliant on the TGF $\beta$ -family ligands Activin A or Nodal and bFGF for their maintenance (Figure 1.3) (Amit et al., 2004; Beattie et al., 2005; James et al., 2005; Vallier et al., 2005; Wang et al., 2005; C. Xu et al., 2005; R.-H. Xu et al., 2005). Activin-Nodal signalling contributes to the proliferation of mouse ESCs but is not essential (Ogawa et al., 2007), while autocrine FGF signalling is important in their exit from pluripotency (Kunath et al., 2007) and blockade of downstream MEK/ERK signalling favours their self-renewal (Figure 1.3) (Burdon et al., 1999).

Initially, it was assumed that this was the result of species differences. The post-implantation mouse embryo is unusual in forming an egg-cylinder instead of the bilaminar disk seen in most mammalian embryos, so a level of signal divergence is not unexpected. However, the derivation of mouse pluripotent cell lines with properties highly reminiscent of primate PSCs from the post-implantation mouse epiblast suggested that this was not the case. Cells dependent on FGF and Activin/Nodal and forming flattened colonies were readily derived from post-implantation mouse embryos, and were termed EpiSCs (Brons et al., 2007; Tesar et al., 2007); these are also known as primed cells, as their expression of lineage markers implies that they are primed for differentiation. Like primate cells, these were unresponsive to LIF (Figure 1.3), and EpiSCs showed similar transcriptional responses to human

PSCs on signal inhibition (Tesar et al., 2007). Furthermore, it was demonstrated that cells of the pre-implantation mouse embryo could be captured *in vitro* as EpiSCs (Najm et al., 2011) as the epiblast cells differentiate until they reach a post-implantation like identity. This can be recapitulated in the efficient differentiation of mouse ESCs to EpiSCs by changing growth conditions (Guo et al., 2009). Together, this showed that it was possible that primate pre-implantation epiblast cells had converted to a post-implantation state, and this was the cause for some the differences between primate PSCs and mouse ESCs.

#### 1.2.4. Current ESC culture conditions

Following research into the role of various signalling cues in the self-renewal of mouse ESCs described above, defined culture conditions for their maintenance in the absence of differentiation were developed. Relying on two pharmacological inhibitors – PD03, a MEK1/2 inhibitor, and Chiron, a GSK3 inhibitor – and LIF (Figure 3.1), this permits growth of ESCs in the absence of serum or feeders with little to no background differentiation (Ying et al., 2008). These conditions also allowed derivation of ESCs from genetic backgrounds that were previously considered non-permissive as they rarely, if ever, yielded self-renewing cell lines in conventional growth conditions (Nichols et al., 2009). Further, 2iL conditions allowed derivation of naïve rat ESCs for the first time (Buehr et al., 2008; Li et al., 2008).

As described above, autocrine FGF signalling through MEK/ERK plays a significant role in the spontaneous differentiation of ESCs, and blocking this pathway with PD03 increases the propensity of cells to self-renew (Figure 1.3). LIF positively regulates naïve gene expression through activation of Stat3. By inhibiting GSK3, Chiron prevents the degradation of  $\beta$ -catenin.  $\beta$ -catenin is not essential for the maintenance of the naïve identity (Andersson-Rolf et al., 2017; Raggioli et al., 2014; Wray et al., 2011). However, it does inhibit the repressive Tcf3-Groucho complex which otherwise antagonises self-renewal (Figure 1.3) (Pereira et al., 2006). Additionally,  $\beta$ -catenin is required for cell adhesion and genomic stability (Andersson-Rolf et al., 2017; Raggioli et al., 2014). In other contexts, GSK3 also regulates mTORC1/2, but in mouse ESCs it appears that GSK3 does not affect mTORC activity (Sanchez-Ripoll et al., 2013), while mTORC1 may play a role in regulating GSK3 activity downstream of Akt (Li et al., 2018).

Figure 1.3

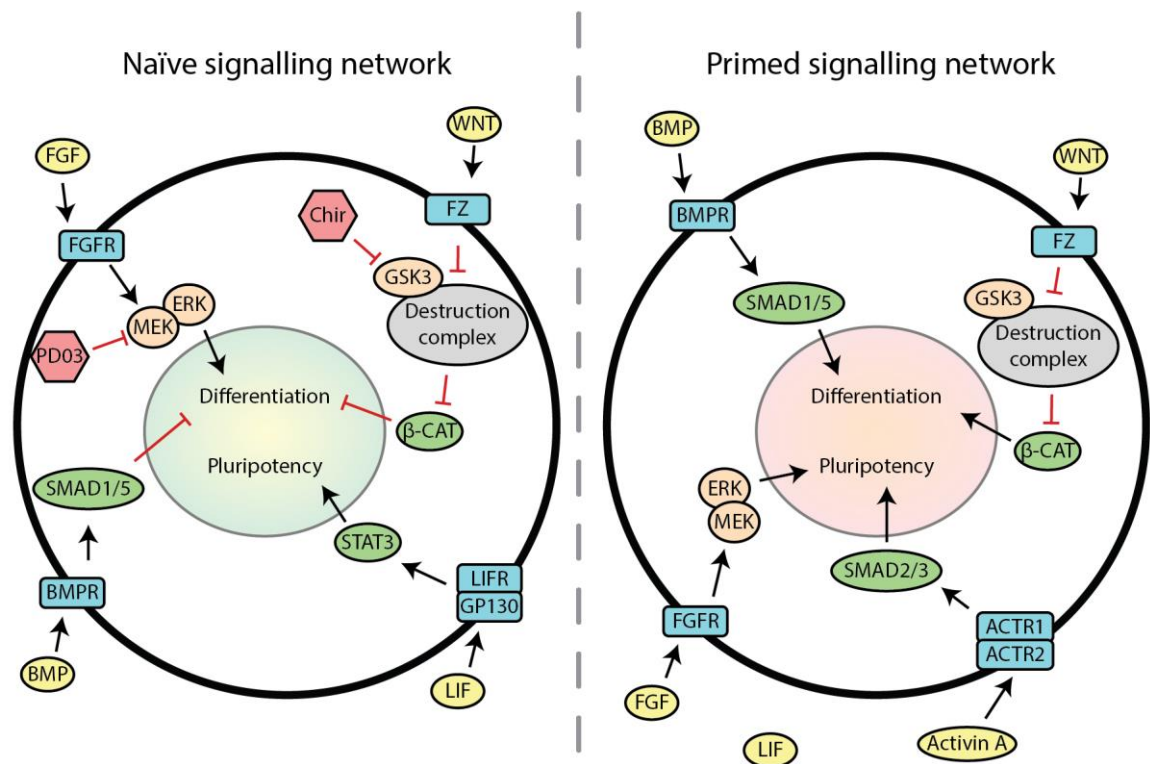


Figure 1.3 Summary of exogenous signals used to maintain naïve and primed pluripotency

Naïve and primed pluripotency rely on different signals to maintain self-renewal and induce differentiation. Naïve cells can be maintained in basal media containing BMP and LIF, or two components of 2iL (PD03, Chiron (Chir) and LIF). LIF induces activation of JAK/STAT signalling, leading to nuclear localisation of active STAT3, a coactivator of several pluripotency factors. BMP signalling causes activation of SMAD1 and 5, and induces binding of co-SMAD4; these upregulate inhibitors of differentiation. In parallel, BMP may upregulate Klf2 via MEK5/ERK5 signalling. WNT is not commonly used to promote naïve pluripotency. However, WNT signalling results in inhibition of the destruction complex that induces degradation of  $\beta$ -catenin. A similar effect is achieved by pharmacological inhibition of GSK3, a member of the complex, by Chiron. Downstream of this,  $\beta$ -catenin acts to inhibit TCF3, an inhibitor of naïve gene expression. FGF signalling via MEK/ERK induces differentiation of naïve cells; this can be prevented through pharmacological inhibition of MEK1/2 with PD03. Meanwhile, primed cells require MEK/ERK signalling to maintain pluripotency. TGF- $\beta$  factors such as Activin and Nodal promote survival and self-renewal of primed cells via activation of SMAD2/3, which bind to co-SMAD4 to function as transcription factors. Contrary to their roles in naïve pluripotency, SMAD1/5 and  $\beta$ -catenin both promote the differentiation of primed cells downstream of BMP and WNT signalling respectively. Primed cells are largely unresponsive to LIF, having significantly reduced expression of LIFR. Note that these models are highly simplistic, as there is significant crosstalk between signalling pathways in reality.

In addition to providing an environment for robust self-renewal of ESCs, 2iL resolves an issue that was ever present in conventional ESC culture systems; cellular heterogeneity. In SL conditions, there is significant cell to cell variation in the expression of many pluripotency genes including Nanog (Chambers et al., 2007; Hatano et al., 2005), Esrrb (van den Berg et al., 2008) and Rex1 (Toyooka et al., 2008). A fraction of cells lose expression of Nanog due to the onset of differentiation, but others only transiently downregulate Nanog and then gradually return to a Nanog-high state (Chambers et al., 2007; Filipczyk et al., 2015). While in a Nanog-low state, however, cells have an increased

propensity to differentiate (Chambers et al., 2007; Kalmar et al., 2009), and may be biased in their differentiation (Canham et al., 2010; Singh et al., 2007). This is particularly troubling since expression of pluripotency genes appears to be relatively consistent between naïve epiblast cells in the mouse embryo (Guo et al., 2010). 2iL culture increases the level of Nanog and other pluripotency factors at a population level, partly by greatly reducing the amount of heterogeneity in their expression (Hastreiter et al., 2018; Kumar et al., 2014; Marks et al., 2012; Wray et al., 2011).

Mouse ESCs cultured in 2iL conditions also more closely mirror the epigenetic and transcriptional state of the naïve epiblast than cells grown in SL. *In vivo* these cells are characterized by global DNA hypomethylation (Howlett and Reik, 1991; Lane et al., 2003; Smith et al., 2012), almost entirely lacking 5-methylcytosine except at imprinted loci (Hanel and Wevrick, 2001; Reik and Walter, 2001). However, ESCs in SL show a significantly higher level of DNA methylation (Leitch et al., 2013). This is reverted to embryo-like levels of hypomethylation when cells are grown in 2iL medium (Leitch et al., 2013; Sim et al., 2017). More recently, RNA-sequencing has been performed on early mouse embryos and ESCs maintained in different conditions. Cells grown in 2iL closely resemble the preimplantation naïve epiblast, whereas SL cultured cells are far more heterogeneous and fail to reliably cluster with any single embryonic identity, more closely matching a post-implantation identity (Boroviak et al., 2015, 2014).

Interestingly, there have been recent reports of culture environments that support the growth of totipotent cells. However, it appears that these are most similar to ESCs with broader differentiation capacity induced by a more permissive epigenetic environment rather than recapitulating early embryonic identities *in vitro* (Yang et al., 2017).

More recently, conditions have been developed for the propagation of human PSCs in a state that much more closely resembles the pre-implantation embryo (Guo et al., 2016; Takashima et al., 2014; Theunissen et al., 2016, 2014). However, it remains unclear whether these conditions are optimal and how closely they recapitulate their *in vivo* counterparts (Bates and Silva, 2017). Interestingly, recent single-cell RNA sequencing data appears to show that a naïve compartment does exist within the pre-implantation embryo in rhesus monkey (D. Liu et al., 2018) and human (Stirparo et al., 2018), suggesting that these naïve-like human PSCs may be biologically relevant. Nonetheless, mouse ESCs still present a more attractive model system for studying naïve pluripotency factors and cell fate transitions for the time being.



### 1.2.5. Reprogramming to naïve pluripotency

In a landmark discovery, Takahashi and Yamanaka found that somatic cells could be reprogrammed back to a pluripotent identity in 2006. Overexpression of four factors – Oct4, Sox2, Klf4 and cMyc – was sufficient to convert fibroblasts to an induced pluripotent identity (Takahashi and Yamanaka, 2006), leading to the name iPSCs. While the original report did yield pluripotent cells, as indicated by the generation of tissues representing all three germ layers in teratomas, they were clearly distinct from ESCs; they continued to express retroviral transgenes to high levels, they only partially reverted to an ESC epigenome, and most lines lacked expression of key naïve markers such as Nanog and Rex1. The following year, it was reported that selection for the small fraction of cells that upregulated Nanog was sufficient to produce iPSCs with more ESC-like characteristics (Okita et al., 2007). Most of these cell lines were transgene independent as retroviruses were silenced on complete reprogramming. These naïve iPSCs were competent to generate chimeras and even contributed to the germ line. Since then, a large amount of effort has been put into developing protocols and identifying additional transgenes that contribute to more efficient derivation of iPSC lines, as well as finding alternative reprogramming cocktails and genes essential to the reprogramming process. Interestingly, the most irreplaceable factor appears to be Oct4, which is used itself or replaced by a factor that directly induces Oct4 in the majority of reprogramming cocktails (Radziskeuskaya and Silva, 2014).

Generally, somatic cell reprogramming is a highly inefficient process. One factor that contributes to this is the fact that the majority of cells stall at a stable, highly proliferative state prior to entering the naïve state. Application of 2iL greatly improves the efficiency of conversion of these pre-iPS intermediates to bona fide naïve iPSCs (Silva et al., 2008).

It is also possible to reprogram primed EpiSCs back to naïve pluripotency. This is a simpler process, likely owing to the more similar identities of the two cell types; both naïve iPSCs and primed EpiSCs express key pluripotency factors such as Nanog and Oct4. While it has been reported that EpiSCs can revert to a naïve identity of culture in 2iL conditions (Bao et al., 2009), this appears to be a peculiarity of a small number of cell lines. More often, it is reported that overexpression of one of many single naïve transcription factors is sufficient to achieve reprogramming of these cells (Gillich et al., 2012; Guo et al., 2009; Guo and Smith, 2010; Hanna et al., 2009; Silva et al., 2009; Zhang et al., 2016), however. Alternatively, activation of Stat3 signalling, which is typically inactive in EpiSCs, or chemical

alteration of the epigenetic landscape can mediate this identity change in mouse cells (Murayama et al., 2015; Ware et al., 2014; Yang et al., 2010; Zhang et al., 2016).

### 1.3. The naïve transcriptional network

#### 1.3.1. Signalling and transcription regulation

The state of naïve pluripotency in mouse is maintained by a complex, highly redundant and interconnected transcriptional network. A plethora of transcription factors regulate the expression of one another to create a semi-stable network while repressing factors that would induce differentiation. As we have seen, in SL conditions secretion of FGF4 is a key role in the induction of differentiation. However, in the presence of MEK inhibitor, stimulation of LIF/STAT3 or WNT/ $\beta$ -catenin signalling is sufficient to maintain the self-renewal of undifferentiated ESCs. Knockout studies revealed a triad of factors that are required for the establishment of the naïve epiblast *in vivo*; Oct4, Sox2 and Nanog (Chambers et al., 2003; Mitsui et al., 2003).

Nanog is a homeodomain transcription factor. When overexpressed, ESCs are capable of self-renewal in the absence of LIF. However, Nanog is not the primary downstream effector of LIF/STAT3 signalling as demonstrated by the fact that hyperactivation of STAT3 does not affect Nanog expression, while constitutive Nanog expressing ESCs self-renew more effectively in the presence of LIF. It has been shown that Nanog acts synergistically with activated STAT3 to induce the pluripotency factor Klf4 (Hall et al., 2009; Li et al., 2005; Stuart et al., 2014). Nanog null ESCs can be maintained, albeit with significantly impaired self-renewal and a greater propensity for differentiation (Chambers et al., 2007). The transcription factor Esrrb appears to be downstream of Nanog. Constitutive expression of Esrrb rescues the proliferative and self-renewal defects of Nanog<sup>-/-</sup> ESCs and maintains self-renewal in the absence of LIF when overexpressed, mirroring the activity of Nanog (Festuccia et al., 2012). Like Nanog, Esrrb<sup>-/-</sup> cells can be maintained in the presence of LIF. Esrrb is a major effector downstream of GSK3 inhibition in mediating enhanced self-renewal. GSK3 forms part of the destruction complex that leads to degradation of  $\beta$ -catenin. A major function of  $\beta$ -catenin in ESCs is to inactivate the negative pluripotency regulator TCF3 by competing with the repressor Groucho for binding. TCF3 binds directly to Esrrb enhancer elements, Esrrb overexpression rescues differentiation induced by overexpression of TCF3, and Esrrb is permissive for self-renewal in the absence of the GSK3 inhibitor Chiron, while Esrrb<sup>-/-</sup> cells cannot maintain naïve pluripotency in media containing Chiron and only one of LIF or PD03 (Martello et al., 2012). Additionally Klf2,

Nanog, Nr0b1 and Tcfcp2l1 appear to be repressed by TCF3, and are thus responsive to treatment with Chiron (Martello et al., 2012). Tcfcp2l1 is also strongly responsive to LIF (Martello et al., 2013) as well as to PD03 (Ye et al., 2013), and overexpression of Tcfcp2l1 is sufficient to maintain naïve pluripotency in serum based media lacking any of the 2iL components (Ye et al., 2013). Notably, however, neither Oct4 nor Sox2 show strong, direct responses to any of these signals.

Both Oct4 and Sox2 are essential for the establishment of the naïve pluripotent epiblast *in vivo* (Avilion et al., 2003; Nichols et al., 1998) and for maintaining naïve ESCs *in vitro* (Avilion et al., 2003; Masui et al., 2007; Niwa et al., 2005, 2000). In the absence of either factor, mouse ESCs differentiate to a trophoblast-like identity (Masui et al., 2007; Niwa et al., 2000). Oct4 is a POU-class transcription factor, and binds to DNA via a homeodomain and a POU-specific domain, while Sox2 is an HMG-box transcription factor. They co-bind many genomic loci, interacting primarily in the presence of DNA (Fong et al., 2011; Kuroda et al., 2005; Lam et al., 2012; Merino et al., 2014). Notably, Sox2 binds to the minor groove, inducing a bending of the DNA which appears to play a functional role in the regulatory ability of Sox2 (Scaffidi and Bianchi, 2001). It has been suggested that Sox2 is important for maintaining expression of Oct4 via inducing positive regulators such as Nr5a2 and repressing negative regulators such as Nr2f2 (Masui et al., 2007). This is was reinforced by the discovery that constitutive expression of Oct4 can rescue Sox2<sup>-/-</sup> ESCs (Masui et al., 2007). The Oct4 enhancer is also bound by a large array of naïve transcription factors, implying highly redundant regulation (Chen et al., 2008; Young, 2011), which may explain why it is relatively insensitive to changes in expression of most individual pluripotency factors. Oct4 and Sox2 physically interact on DNA at many regulatory elements. Interestingly, some single-molecule imaging work has been performed examining the interactions of Oct4 and Sox2 with DNA both individually and together (Chen et al., 2014). This work found a limited effect of Oct4 on Sox2 DNA binding kinetics. However, in cells coexpressing Oct4 and Sox2, Oct4 spent a greater fraction of time bound at Oct4-specific binding sites versus non-specific binding relative to cells expressing Oct4 alone. Chen et al. interpret this as indicating ordered binding, with Sox2 recruiting Oct4 to their cooccupied loci. However, this view has recently been questioned (Biddle et al., 2019), with Biddle et al. reanalysing the data of Chen et al. and arriving at the conclusion that the interactions of Oct4 and Sox2 with DNA are more complex. While increased Sox2 does lead to increased binding of Oct4, increased Oct4 levels actually reduce the overall binding of Sox2 to DNA. They conclude that Oct4 and Sox2 may bind cooperatively at some loci and competitively at others, or

that energy expenditure may be required to explain the interaction of Oct4 and Sox2 with DNA; this might suggest, for example, that chromatin modifiers or nucleosome remodelling could play an important role in the way that Oct4 and Sox2 are recruited to the genome.

Co-binding of Oct4 and Sox2 is known to promote expression of some pluripotency factors such as Nanog and Klf4, but also negative regulators such as Fgf4 (Ambrosetti et al., 1997), while Oct4 and Nanog induce expression of Tcf3 (Yi et al., 2008). However, overexpression of either Oct4 or Sox2 promotes differentiation seemingly by destabilising the naïve network itself since their direct targets become rapidly downregulated (Ben-Shushan et al., 1998; Boer et al., 2007; Kopp et al., 2008; Niwa et al., 2000; Thorold W. Theunissen et al., 2011).

### 1.3.2. Previous models explaining the activity of Oct4 in naïve pluripotency

Despite years of study, it remains unclear exactly how Oct4 regulates and is required for naïve pluripotency. A large number of phenotypes have been documented following altered expression of Oct4 and its partners but as yet the progression from wild-type cells following perturbations, and how these disparate phenotypes relate to one another, remain relatively unexplored and poorly understood.

Loss of Oct4 is incompatible with establishing (Nichols et al., 1998) or maintaining (Niwa et al., 2000) naïve pluripotency, with cells exhibiting differentiation to a trophectoderm identity. Unlike factors such as Nanog and Esrrb, Oct4 does not contribute to a more robust pluripotency network on overexpression (Niwa et al., 2000). Instead cells are forced into differentiation. Furthermore, unlike these more conventional pluripotency factors, constitutive expression of Oct4 cannot prevent differentiation induced by removal of exogenous pluripotency signals such as LIF (Niwa et al., 2000). Therefore, it does not appear that Oct4 is simply acting downstream of cytokines to promote the maintenance of pluripotency, instead having a more complicated role.

It has previously been proposed that Oct4 may have an as-yet-unidentified partner, present at sufficiently low levels that in wild-type cells it is recruited to and activates a core set of pluripotency genes, but on overexpression of Oct4 it is spread too thinly across the genome as a result of Oct4 leading it to novel binding sites (Chambers, 2004; Niwa et al., 2002). As a result, expression of the core naïve factors is quenched and cells cannot maintain pluripotency. With this in mind, it is interesting to note that overexpression of Nanog is sufficient to rescue self-renewal in mouse ESCs overexpressing Oct4 to a modest level (Thorold W. Theunissen et al., 2011), a phenotype associated with this

hypothetical partner. Additionally, recent work has demonstrated enhanced self-renewal at the detriment of differentiation capacity in cells with reduced Oct4 levels (Karwacki-Neisius et al., 2013; Radzisheuskaya et al., 2013). This also matches predictions of such a model.

However, other models for the mechanism by which Oct4 maintains naïve pluripotency do exist. Notably, one model that successfully predicted the outcome of several reprogramming strategies proposes that pluripotency exists in a somewhat precarious state maintained by the balanced expression of a cohort of antagonistic lineage specifiers (Loh and Lim, 2011). It has been noted that overexpression of Oct4 causes mesendodermal differentiation (Niwa et al., 2000; Thomson et al., 2011). On the other hand, it has been shown that Sox2 acts to inhibit the formation of mesendoderm, while overexpression induces differentiation towards neural lineages (Thomson et al., 2011).

Evidence in favour of this model comes from reprogramming assays in which it has been shown that alternative mesendoderm specifiers or depletion of key ectodermal genes can replace exogenous Oct4 (Shu et al., 2013). Conversely, Sox2 can be replaced by other ectodermal specifiers. This culminated in the establishment of a novel cocktail of Gata6, Gmnn, Klf4 and cMyc which was capable of inducing somatic cell reprogramming (Shu et al., 2013). Of course, in the latter stages of reprogramming endogenous Oct4 and Sox2 are expressed, and since these are required in iPSCs it is impossible to test whether they are required for the final establishment of the naïve identity.

However, some of the phenotypes described above do appear to be evidence against such a model. For one, expression of alternative lineage specifiers has not been shown to rescue differentiation induced by knockout of Oct4 or Sox2. On the contrary, it has been demonstrated that Oct4 itself can rescue self-renewal on knockout of Sox2 (Masui et al., 2007), though it is possible that other lineage specifiers are present to counteract Oct4 in this scenario. Furthermore, it has been demonstrated that ESCs can readily differentiate to alternative identities following overexpression of Oct4, and that the culture conditions may be the main driving factor behind fate choice in these cells (Shimozaki et al., 2003; Simandi et al., 2016). Nonetheless, this model has not been entirely dismissed, and notably there is some preliminary evidence that natural fluctuations in the relative levels of Oct4 and Sox2 may impact cell fate decisions (Strebinger et al., 2018). Thus it is possible that the antagonistic role of pluripotency factors in lineage specification may contribute to the mechanism of pluripotency maintenance. Alternatively, it may be that this model closer matches the regulation of more advanced state of primed

pluripotency in which heterogeneous expression of lineage markers is commonly observed (Song et al., 2016; Tsakiridis et al., 2014).

It is interesting to observe that pluripotency appears to be regulated differently in other contexts. Prior to the establishment of the naïve epiblast, totipotent cells express many pluripotency factors such as Oct4 and Nanog but regulation of their expression differs. Knockout of either of these factors does not impair initial expression of the other in the early ICM (Le Bin et al., 2014), suggesting that their co-regulation is not yet established. However, cells lacking either factor fail to specify a naïve epiblast (Mitsui et al., 2003; Nichols et al., 1998). It may be that expression of these genes is more tightly linked to proliferation prior to the establishment of the blastocyst, though studies of the transcriptional network in blastomeres of the morula are lacking due to the absence of an *in vitro* model.

The regulation of pluripotency and the nature of the underlying transcription factor network is poorly described in post-implantation-like EpiSCs, but it is known that Oct4 expression is driven by a different enhancer element than in naïve cells (Choi et al., 2016; Tesar et al., 2007; Yeom et al., 1996). The functional consequences and differences in regulation as a result of this enhancer switch remain unclear, however. It appears that by E7.5, Sox2 is not required for expression of Oct4 (DeVeale et al., 2013). Additionally, Oct4 appears to have a different function in the post-implantation embryo than in naïve cells, promoting proliferation of the primitive streak and reducing p53 expression while promoting mesodermal over neural differentiation (DeVeale et al., 2013).

#### 1.4. X chromosome inactivation

Perhaps the most striking example of the unique transcriptional and epigenetic landscape of naïve pluripotent cells is the presence of two active X chromosomes in females. In order to achieve equal expression of X-linked genes in males and females, most female cells inactivate one X chromosome. In cells of the early embryo, prior to establishment of the naïve epiblast, and later in the extraembryonic tissues the paternal X chromosome is always silenced (Figure 1.4). However, cells in the embryo proper display inactivation of either the maternal or paternal X, with the inactive chromosome being chosen individually in each cell around the time of implantation (Figure 1.4). Studies of XXX and XXXX individuals indicate that all but one X chromosome is inactivated, and similarly XXY males randomly inactivate one X chromosome (Grumbach et al., 1963). This demonstrates that cells possess a capacity to ‘count’ X chromosomes in order to determine whether random X chromosome inactivation (XCI) needs to occur. Analysis of tetraploid cells reveals that these

maintain two active and two inactive X chromosomes (Webb et al., 1992), leading the hypothesis that cells maintain a number of active X chromosomes equal to half their ploidy; two sets of autosomes are present for each active X chromosome.

The X inactivation centre (XIC) is a region of the X chromosome that must be present on multiple chromosomes in order for XCI to occur. Studies of cells with X chromosome truncations or deletions found that absence of this specific region led to a failure to inactivate an X chromosome in females (Rastan and Robertson, 1985). Interestingly, this region harbours an unusual gene, *Xist*, which is specifically expressed from the inactive X chromosome in differentiated cells (Borsani et al., 1991; Brockdorff et al., 1991). *Xist* is a long non-coding RNA (lncRNA) (Brockdorff et al., 1992) which is weakly expressed in ESCs but becomes strongly upregulated on their differentiation, specifically from the allele of the presumptive inactive X. As it becomes highly expressed, *Xist* RNA coats the entire X chromosome from which it is transcribed (Clemson et al., 1996). Deletion of *Xist* shows that it is absolutely required for silencing *in cis*, though *Xist*<sup>+/-</sup> female cells still inactivate the wild-type chromosome (Penny et al., 1996).

#### 1.4.1. Imprinted XCI

It appears that regulation of non-random XCI is relatively straightforward. Initially on fertilization, all chromosomes are inactive until zygotic genome activation (Figure 1.4). However, the maternal *Xist* locus is imprinted and thus remains silent when transcription begins globally (Goto and Takagi, 2000; Kay et al., 1994). In males, *Xist* is therefore not expressed and the X chromosome remains active. Meanwhile in female cells, *Xist* is expressed from the paternal X chromosome resulting in silencing of the paternal X in all cells of the early embryo as described above (Figure 1.4). This non-random silencing persists throughout the trophectoderm and extraembryonic endoderm. However, the paternal X becomes reactivated in the naïve epiblast (Silva et al., 2009), resulting in cells with two active X chromosomes (Figure 1.4). At the same time, the imprints that silenced the maternal *Xist* locus are removed. This sets the stage for random XCI which occurs during implantation; the regulation of this process is far more complex and poorly understood.

Figure 1.4

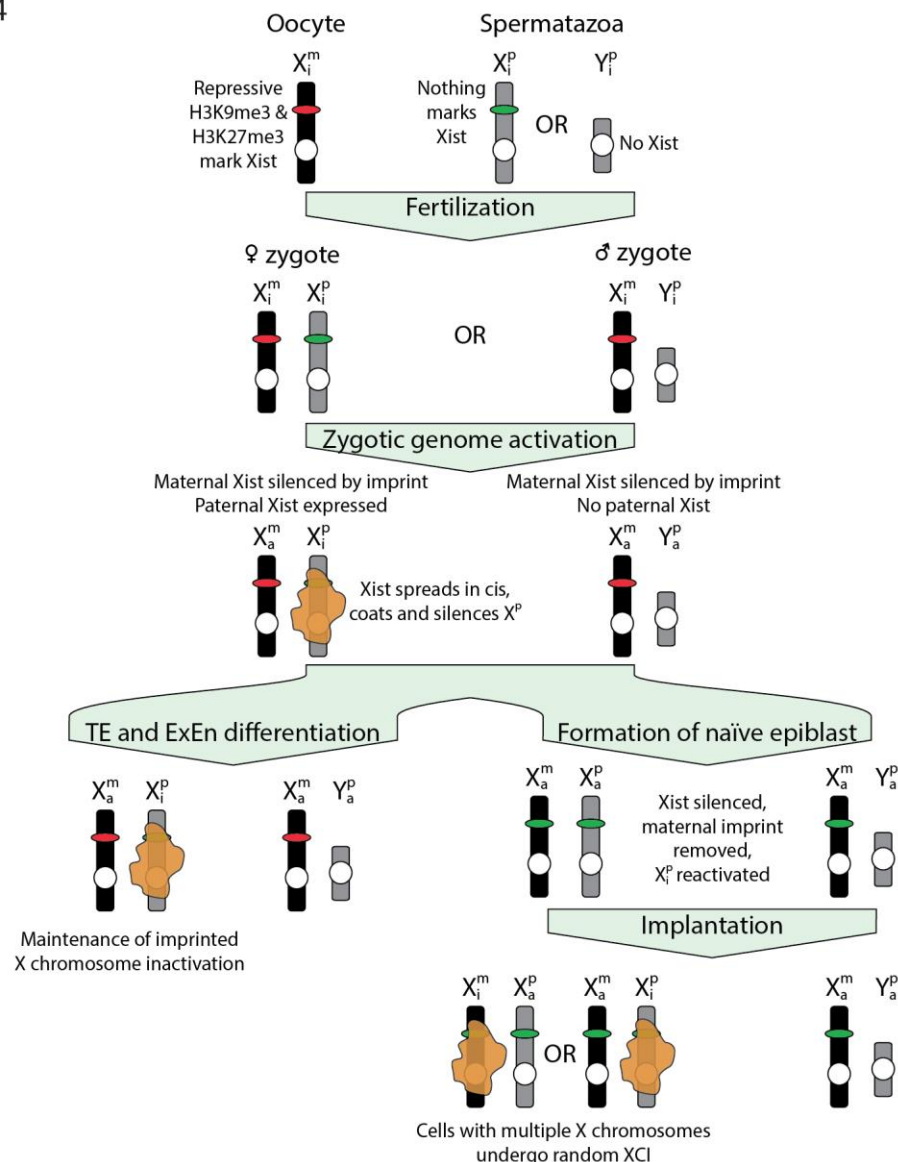


Figure 1.4 Outline of the processes of imprinted X inactivation, reactivation, and random XCI. Oocytes contain an X chromosome ( $X^m$ ) with an imprinted Xist allele (red), while spermatozoa either carry a Y chromosome which lacks Xist, or an X chromosome ( $X^p$ ) with no imprinting at the Xist locus (green). On fertilization, a male or female zygote is formed. Globally, there is no expression until the onset of zygotic genome activation. Xist is not expressed from  $X^m$  due to the inherited epigenetic imprinting. Consequently, Xist is not expressed in male embryos. In female embryos, Xist is expressed from the Xist allele on the unmarked  $X^p$ . This coats the paternal X chromosome, leading to gene silencing and imprinted chromosome inactivation ( $X_i^p$ ). Expression of Xist is silenced in cells of the established naïve epiblast; extraembryonic cells maintain imprinted inactivation of  $X^p$ . As a result,  $X^p$  is reactivated in naïve cells, resulting in two active X chromosomes. Around the same time, the imprints at the Xist locus on  $X^m$  are removed. During implantation and exit from the naïve state, cells count the number of X chromosomes, and upregulate Xist from a random allele if more than one X chromosome is present. Again, this coats the chromosome *in cis*, resulting in random XCI. Note, female naïve ESCs and iPSCs exhibit two active X chromosomes like cells of the naïve epiblast and recapitulate the events of random XCI on differentiation.

#### 1.4.2. Elements involved in counting, choice, and initiation of XCI

Integration of multiple copies of a 450kb region, including the Xist locus, into an autosome in male ESCs was sufficient to induce early events of XCI upon their differentiation, with expression of Xist



being induced from both the endogenous and transgenic loci in a large fraction of cells (Lee et al., 1996). It is worth noting that differentiating female cells only express Xist from a single locus, that of the chromosome undergoing XCI; however, there are multiple tandem integrations of the XIC in this system. By analogy to individuals with trisomy or tetrasomy X, the multiple XIC integrations appear to be counted separately leading to expression from several transgenic Xist loci as well as the endogenous locus. This demonstrates that the XIC contains elements required for counting. Remarkably, multiple autosomal integrations of a far smaller transgene consisting of the Xist locus and a total of 15kb of flanking sequences was also capable of inducing transgenic and endogenous Xist expression (Herzing et al., 1997). Additionally, these cells demonstrated local silencing of markers within the transgene demonstrating that Xist can induce gene inactivation *in cis*.

Once counting has occurred and it has been determined that XCI should occur, a choice must be made such that one, and only one, X chromosome is inactivated in female cells. Offspring of mice from different genetic backgrounds show a bias in the choice of X to silence. This is conventionally defined as the inheritance of different X-linked alleles at the X-chromosome controlling element (Xce). Different alleles have different strengths such that a chromosome carrying the Xce<sup>a</sup> allele is more likely to be inactivated than one carrying Xce<sup>b</sup>, while a chromosome carrying Xce<sup>d</sup> is the least likely to be inactivated. Phenotypically, this results in up to 75% of cells inactivating the X chromosome harbouring the weakest (Xce<sup>a</sup>) allele in Xce<sup>a/c</sup> heterozygous mice (Fowles et al., 1991). Genetic mapping of the Xce locus has not revealed a single polymorphic gene, but instead a broad, repetitive region several hundred kb downstream of the Xist locus (Calaway et al., 2013; Simmler et al., 1993). It has been suggested that the cause of bias between different Xce alleles is the result of copy number variance for a trans-acting factor that regulates the initiation of XCI (Calaway et al., 2013), though this has not been demonstrated.

Three lncRNAs are located within the XIC on the opposite strand to Xist. Xite and Tsx are located ~20kb and ~36kb downstream of Xist respectively, whereas the antisense Tsix transcript overlaps Xist and extends beyond the gene in both directions. Xite and Tsx both positively regulate Tsix. Knocking out Xite reduces Tsix expression *in cis*; Expression of Xite does not appear to be required for this effect, so it may act as a conventional enhancer element (Ogawa and Lee, 2003). Similarly, knockout of Tsx results in reduced expression of Tsix in undifferentiated and differentiating ESCs (Anguera et al., 2011). Deletion of a 65kb region distal to exon 6 of Xist effectively knocks out Tsx, Xite and Tsix.

Differentiating female cells carrying this deletion on one X chromosome still results in upregulation of Xist and XCI; however, the chromosome harbouring the deletion is always chosen for inactivation (Clerc and Avner, 1998). However, after reintroducing Tsix and the 3' end of Xist to these cells, they still do not undergo random inactivation upon differentiation (Morey et al., 2001); they still always inactivate the chromosome carrying a now smaller deletion, suggesting that elements involved in choice are contained within this deletion region. That said, a small targeted deletion of ~4kb at the Tsix transcriptional start site is sufficient to induce non-random X inactivation (Lee and Lu, 1999), demonstrating that both Tsix and other factors in the region have this effect. Integration of a YAC carrying an extensive region including the XIC, but with a ~3kb deletion at the Tsix transcription start site, into male ESCs also induces non-random inactivation on differentiation (Debrand et al., 1999), with the autosome carrying the mutant XIC always being coated by Xist. Additionally, cells carrying the full 65kb deletion have a tendency to lose a significant portion of the wild-type X chromosome, resulting in a truncated chromosome lacking the XIC. Despite being effectively XO, these cells still undergo XCI implying that the counting mechanism is also broken (Morey et al., 2001). The same precocious X inactivation is observed in male cells carrying the 65kb deletion (Morey et al., 2004). This is not the case in male cells with the small Tsix inactivating deletion; as in wild-type cells, these silence Xist on differentiation (Lee and Lu, 1999). However, reintroducing a 37kb section, restoring the 3' end of Xist, the Tsix start site, and Xite, into cells carrying the 65kb deletion restores normal counting (Morey et al., 2004). Altogether, the regulation of this region is unclear, and the precise role of each component has yet to be reliably described.

Oct4, Nanog and Sox2 all bind within intron 1 of Xist (Navarro et al., 2008). Knockout of Nanog in male ESCs results in mild upregulation of Xist, though not to levels seen during X inactivation (Navarro et al., 2008). However, knockout of Oct4 results in upregulation of Xist to levels seen in differentiating female ESCs (Navarro et al., 2008). Despite this, removal of Xist intron 1 has little to no impact on the ability of cells to properly undergo XCI (Minkovsky et al., 2013), and siRNA knockdown of Oct4 appears to have only a mild effect of Xist expression (Donohoe et al., 2009; Minkovsky et al., 2013). There is some evidence that Oct4 and Sox2 bind within Tsix regulatory elements (Donohoe et al., 2009). Knockdown of Oct4 reduces expression of Tsix, while Sox2 knockdown increases expression (Donohoe et al., 2009). It appears that loss of Oct4 prevents pairing of X chromosomes in female cells, allowing a small number of cells to initiate XCI from both

chromosomes (Donohoe et al., 2009). However, the manner in which Oct4 achieves this, and exactly how binding of Oct4 affects Xist expression is unclear. Interestingly, X-reactivation also occurs in PGCs which, as previously discussed, possess a latent capacity for pluripotency and express many naïve-associated genes. However, it is unclear how this is regulated.

### 1.5. Aims of this work

Oct4 is present in embryonic development from the oocyte through to gastrulation. The level of Oct4 is tightly controlled within the embryo, and fluctuates very little prior to the exit of cells from pluripotency (Joo et al., 2014; Rosner et al., 1990; Torres-Padilla and Chambers, 2014). It is unclear why this specific level has been adopted; evidence shows that a reduced level of Oct4 is optimal for the self-renewal of naïve pluripotent cells *in vitro* (Karwacki-Neisius et al., 2013; Radziskeuskaya et al., 2013). Oct4 may act as regulator to the naïve state, ensuring that cells are capable of exiting pluripotency, as they must do so *in vivo* in order to progress in development. However, this is at odds with reports that Oct4 upregulates expression of naïve pluripotency genes (Ben-Shushan et al., 1998; Chew et al., 2005; Rodda et al., 2005). In this thesis I hope to address the impact of loss of Oct4 from naïve cells and of maintenance of Oct4 in somatic cells. In doing so, I hope to demonstrate that more than a naïve factor, Oct4 is a fate transition factor.

It is well established that Oct4 behaves in a manner highly dependent on the level of expression (Karwacki-Neisius et al., 2013; Niwa et al., 2000; Radziskeuskaya et al., 2013). In chapters 3 and 4, I seek to control Oct4 levels more tightly than has been performed in past work. With this in mind, I will investigate the impact of sustaining an ESC level of Oct4 on differentiation *in vivo* and on somatic cell reprogramming (chapter 3). Additionally, starting from a naïve identity, I will investigate the consequences of losing Oct4 without passing through a protracted Oct4-low state (chapter 4). In chapter 5, I will compare the differentiation induced by loss of Oct4 to alternative methods extraembryonic differentiation, particularly with regards to the (mis)regulation of Xist.

Conventionally, reprogramming to naïve pluripotency is achieved with very high levels of Oct4, potentially leading to neomorphic effects. Additionally, Oct4 is typically introduced via retroviral transfection, resulting in variable expression between cells. In this work, I will investigate the capacity of Oct4 to reprogram cells at a defined level, that of mouse ESCs.

Oct4 knockout experiments have been performed in the past, and result in differentiation of ESCs to a trophoblast-like identity (Niwa et al., 2000). However, it has recently been shown that cells expressing a low level of Oct4 become trapped in the naïve state (Karwacki-Neisius et al., 2013; Radziskeuskaya et al., 2013), and even overexpress pluripotency factors in some cases. Previous experiments have relied upon gradual degradation of Oct4 protein following ablation of the Oct4 gene or transcriptional repression (Nichols et al., 1998; Niwa et al., 2000). As a result, these cells will have transiently passed through an Oct4-low state, and it is unclear what effect this has on their progression. I will develop and utilize a rapid inducible system to achieve degradation of Oct4 at the protein level and investigate the order of events as these cells exit naïve pluripotency.

Additionally, I will use this system to investigate the impact of loss of Oct4 on the genomic binding of other transcription factors prior to transcriptional changes; rapid depletion of Oct4 makes it possible examine changes in transcription factor binding prior to identity changes. As a result, it is possible to directly ascribe changes to the removal of Oct4 using this novel system, whereas following gradual depletion of Oct4 differences in transcription factor localization could be caused by the onset of differentiation rather than due to loss of Oct4 specifically.

I will also investigate the impact of loss of Oct4 on Xist expression. First, following the observation that exit from pluripotency induces Xist expression (Sousa et al., 2018), I will examine the effect of differentiation of ESCs by depletion of Oct4 on the initiation of XCI. I will then investigate whether Xist expression is misregulated during extraembryonic differentiation induced by alternative means (chapter 5), and discuss the implications of this for the current models of XCI.

Together, this body of work primarily focuses on the activity of Oct4; the mechanism by which this factor contributes to the naïve pluripotent identity is unclear, and the contradictory phenotypes associated with different expression levels of Oct4 have led to significant confusion. I hope to draw together several strands of work, both current and historical, to establish a coherent model for the role of Oct4 within the naïve state. More generally, I will investigate the impact of Oct4 on cell fate transitions, as this protein has a much broader role than simply maintaining a pluripotent identity.

# Chapter 2: Materials and Methods

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## 2.1. Cell Culture

### 2.1.1. Culture conditions

All cell types were manipulated in a sterile BioMAT Class II Microbiological Safety Cabinet (Thermo Scientific) and maintained in a humidified Sanyo incubator (MCO-18M) at 37°C and 7% CO<sub>2</sub>, unless specified otherwise.

ESCs were cultured in N2B27 supplemented with CHIR99021 (Chiron), PD0325901 (PD03) and LIF (N2B27-2iL) or serum-based medium supplemented with LIF (SL) as specified, on tissue culture plastic (Falcon) coated with 0.15% gelatin (Sigma Aldrich) in DPBS (Sigma Aldrich). iPSCs were cultured in N2B27-2iL, SL, or GMEM supplemented with Knockout serum replacement (KSR), Chiron, PD03 and LIF (KSR-2iL) as specified, on gelatin-coated tissue culture plastic. NSCs were cultured in NSC medium on tissue culture plastic coated with 10 µg/ml fibronectin (Millipore) in DPBS. MEFs were cultured in SL on gelatin-coated tissue culture plastic. PLAT-E cells were cultured in SL on tissue culture plastic with no additional coating.

N2B27 was made as follows:

1 : 1 Neurobasal (Gibco) : DMEM/F12 (Gibco), 0.5% N2 (homemade: Tissue Culture facility, W-MRC CSCI, University of Cambridge), 1% B27 (Gibco), 2 mM l-glutamine (Gibco), 0.1 mM 2-mercaptoethanol (Gibco).

N2B27-2iL was made by supplementing N2B27 with 3 µM CHIR99021 (Stewart lab, Dresden), 1 µM PD0325901 (Stewart lab, Dresden) and 20 ng/ml mLIF (homemade: Department of Biochemistry, University of Cambridge).

TSC medium was made by supplementing N2B27 with 12.5 ng/ml FGF2 (homemade: Department of Biochemistry, University of Cambridge), 20 ng/ml Activin A (homemade: Department of Biochemistry, University of Cambridge), 10 µM XAV939 (Tocris), 5 µM Y27632 (Tocris).

SL was made as follows:

GMEM without l-glutamine (Sigma Aldrich), 10% FCS (Labtech), 2mM l-glutamine, 0.1mM 2-mercaptoethanol, 1X MEM non-essential amino acids (Sigma Aldrich), 1mM Sodium Pyruvate (Sigma Aldrich), 20 ng/ml mLIF.

KSR-2iL was made as follows:

GMEM without l-glutamine, 10% KOSR (Gibco), 1% FCS (Labtech), 2mM l-glutamine, 0.1mM 2-mercaptoethanol, 1X MEM non-essential amino acids, 1mM Sodium Pyruvate, 20 ng/ml mLIF, 3  $\mu$ M CHIR99021, 1  $\mu$ M PD0325901.

NSC medium was made as follows:

DMEM/F12, 0.5% N2, 1% B27, 29mM glucose (Sigma Aldrich), 4.5mM HEPES (Gibco), 1X MEM non-essential amino acids, 120  $\mu$ g/ml BSA (Gibco), 0.1 mM 2-mercaptoethanol, 10 ng/ml Egf (Peprotech), 20 ng/ml FGF2.

Media were supplemented with 1X penicillin-streptomycin (Sigma Aldrich), 500 nM 4-hydroxytamoxifen (Sigma Aldrich), 1  $\mu$ g/ml doxycycline (MP Biomedicals), 500  $\mu$ M IAA (Cayman Chemical), 100  $\mu$ g/ml cycloheximide (Sigma Aldrich), 0.5  $\mu$ M 5-azacytidine (Sigma Aldrich), 400  $\mu$ g/ml G418 (Life Technologies), 200  $\mu$ g/ml hygromycin B (Life Technologies), 20  $\mu$ g/ml blasticidin (Life Technologies), 1 $\mu$ g/ml puromycin (Sigma Aldrich) as required.

### 2.1.2. Passaging and freezing

ESCs were passaged every 2-4 days according to density and colony size. Briefly they were dissociated with pre-warmed TrypLE Express (Gibco), diluted 1:10 in DMEM/F12, pelleted at 300g for 3 minutes, supernatant was removed and cells were resuspended in growth medium and plated. Typically cells were split 1:6 each passage.

NSCs were passaged every 3-5 days according to density. Briefly, they were dissociated with pre-warmed accutase (Millipore), diluted 1:10 in DMEM/F12, pelleted at 300g for 3 minutes, supernatant was removed and cells were resuspended in growth medium and plated. Typically cells were split 1:4 each passage.

iPSCs were passaged every 2-4 days according to density and colony size. iPSCs were passaged as NSCs. Typically cells were split 1:6 each passage.

MEFs were passaged every 4-8 days according to density. MEFs were passaged as ESCs. Typically cells were split 1:6 each passage.

All cells were frozen in 9 : 9 : 2 DMEM/F12 : FCS : DMSO in a -80°C freezer before transfer to a liquid nitrogen tank for long-term storage.

### 2.1.3. Cell lines

Oct4<sup>F/-</sup> Rosa26:CreERT2 ESCs (from male and female littermates) and NSCs (male) were previously derived from embryos. Briefly, Oct4<sup>+/-</sup> mice were bred with Oct4<sup>F/F</sup> Rosa26:CreERT2 mice. NSCs were treated with 4-OHT to give Oct4<sup>-/-</sup> NSCs. These were transduced with retroviral Klf4 and cMyc, and transfected with PB-CAG-Oct4 to generate Oct4<sup>-/-</sup> fixed-Oct4 iPSCs (Radzisheuskaya et al., 2013). Oct4<sup>-/-</sup> NSCs were alternately transfected with pPB-CAG-NLS-m.OsTir1-IRES-bsd and either pPB-CAG-Oct4-PGK-hyg or pPB-CAG-O4AID-PGK-hph, and transduced with retroviral Oct4, Klf4 and cMyc to generate Oct4<sup>-/-</sup> Oct4 or Oct4-AID iPSCs (See 2.1.5 NSC Reprogramming).

Oct4<sup>F/-</sup> ESCs (Line A and Line B) were a generous gift from Aliaksandra Radzisheuskaya. They were transfected with linearized pCAG-CreER<sup>T2-NLS</sup>-IRES-bsd

Inducible Oct4-AID ESCs were generated by transfecting wild-type E14Tg2a cells (a generous gift from Aliaksandra Radzisheuskaya) with pPB-CAG-rtTA-IRES-pac, pPB-CAG-NLS-m.OsTir1-IRES-bsd and pPB-TRE-O4AID-PGK-hph.

iOct4 cells were a generous gift from Aliaksandra Radzisheuskaya. Briefly, wild-type E14Tg2a cells were transfected with PB-TRE-Oct4 and PB-CAG-rtTA. After selection, a clonal line was derived.

Oct4<sup>-/-</sup> TetOFF-Oct4 ESCs were a generous gift from the Smith lab (ZHBTc4 cells, (Niwa et al., 2000)).

Oct4<sup>-/-</sup> Oct4-AID ESCs were generated by transfecting these cells with pPB-CAG-NLS-m.OsTir1-IRES-bsd and pPB-CAG-O4AID-PGK-hph and maintaining cells in the presence of dox.

Rex1-GFP ESCs were a generous gift from the Smith lab (Wray et al., 2010). iCdx2 and iGata6 cells were generated by transfecting this cells with pPB-CAG-rtTA-IRES-pac and either pPB-TRE-Cdx2-PGK-hyg or pPB-TRE-Gata6-PGK-hyg.

### 2.1.4. Cell transfection

NSCs were transfected using Amaxa Nucleofection Technology (Lonza AG). 2 x 10<sup>6</sup> cells were used per nucleofection, using program T-020. ESCs and iPSCs were transfected using Lipofectamine 2000

(Life Technologies). In this case, 350000 cells were plated the day prior to transfection, and on the day of transfection a mixture of Lipofectamine 2000, plasmid DNA and DMEM/F12 was added to fresh culture medium for 24 hours. Typically, piggyBac transposon (pPB) plasmids were co-transfected with piggyBac transposase expression vector pBase in a 1:1 ratio to generate stable cell lines. pCAG-CreER<sup>T2-NLS</sup>-IRES-bsd was linearized using ScaI prior to transfection. PLAT-E cells were transfected using FuGENE6 (Promega).

### 2.1.5. NSC Reprogramming

Retroviruses were produced in PLAT-E cells; briefly, cells were transfected with pMXs-Oct3/4, pMXs-Klf4 or pMXs-cMyc using FuGENE 6 reagent (Promega). Medium was changed the following day, and 48 hours virus-containing supernatants were collected. The media were filtered through 0.22 µm filters and mixed in equal ratio, then polybrene (Sigma-Aldrich) was added to a final concentration of 4 µg/ml. The polybrene/virus mixture was applied to NSCs. 24 hours later NSCs were nucleofected with a 1:5 ratio of pBase and either pPB-CAG-O4AID-PGK-hph or pPB-CAG-Oct4-PGK-hyg using Amaxa Nucleofection Technology and plated in NSC medium for 2 days then switched to SL medium. Medium was switched to KSR-2iL, and selection was added for expression of the endogenous Oct4 locus on the ninth day in KSR-2iL. Once colonies had expanded they were passaged into N2B27-2iL.

### 2.1.6. Reprogramming somatic cells from E8.5 embryos

Chimeras were generated by blastocyst injection of fixed-Oct4 iPSCs by William Mansfield using standard methodology and C57BL/6 host embryos. All animal work was performed in accordance with Home Office guidelines and regulations at the University of Cambridge, UK. The posterior portion of E8.5 embryos was dissected away and discarded, and the anterior portion was manually dissociated in a small volume of PBS and cultured with or without addition of 5-aza in N2B27 supplemented with LIF or KSR medium with LIF but lacking 2i in wells coated in gelatin. After 7 days, 5-aza was removed where present and 2i was applied to all conditions. After 5 days G418 was added.

### 2.1.7. NSC and MEF derivation

Chimeras were generated as above. Embryos were harvested at E12.5 and visceral organs were removed and genital ridges were identified and carefully dissected away. The brain was dissected out from the skull and manually dissociated in a small volume of PBS. Material was then plated in NSC medium on laminin-coated wells. The remaining carcass was dissociated in prewarmed trypsin-EDTA



(Life Technologies) and manually dissociated. Trypsin was inactivated by addition of FCS and material was plated in SL medium on gelatin-coated wells.

## 2.2. Molecular Biology

### 2.2.1. Plasmids and cloning

Table 2.1 List of plasmids used in this thesis

Plasmid name	Source
pCAG-CreER <sup>T2</sup> -NLS-IRES-bsd	Kindly provided by Dr Joerg Betschinger
pMXs-Oct3/4	Addgene plasmid #13366
pMXs-Klf4	Addgene plasmid #13370
pMXs-cMyc	Addgene plasmid #13375
pBase (CMV-PBase)	Kindly provided by Aliaksandra Radzisheuskaya
pPB-CAG-rtTA-IRES-pac	Kindly provided by Keisuke Kaji
pPB-CAG-rtTA-IRES-bsd	Kindly provided by Tim Lohoff
pCAGGS-NLS-m.OsTir1-IRES-pac	Kindly provided by Dr Oliver Baker
pPB-CAG-Dest-PGK-hph	Kindly provided by Dr Joerg Betschinger
pPB-TRE-Dest-PGK-hph	Kindly provided by Aliaksandra Radzisheuskaya
pDONR211	Obtained from Life Technologies
* pEntr Oct4-AID	Synthesized by Life Technologies
* pEntr NLS-m.OsTir1	
* pEntr Cdx2	Synthesized by Life Technologies
* pEntr Gata6	Synthesized by Life Technologies
* pPB-CAG-O4AID-PGK-hph	
* pPB-TRE-O4AID-PGK-hph	
* pPB-CAG-NLS-m.OsTir1-IRES-bsd	
pPB-CAG-Oct4-PGK-hyg	Kindly provided by Aliaksandra Radzisheuskaya
pPB-TRE-Oct4-PGK-hyg	Kindly provided by Aliaksandra Radzisheuskaya
* pPB-TRE-Cdx2-PGK-hyg	
* pPB-TRE-Gata6-PGK-hyg	

\* pEntr Oct4-AID, pEntr Cdx2 and pEntr Gata6 were synthesized and cloned into pDONR211 by Life Technologies. pEntr Oct4-AID was designed by taking the mouse Oct4 coding sequence from the Ensembl public database and adding the *Arabidopsis thaliana* Iaa17 coding sequence to the C-terminus separated by a short PG linker. pEntr Cdx2 and pEntr Gata6 use the relevant mouse coding sequences from the Ensembl public database. pEntr NLS-m.OsTir1 was generated by PCR amplifying the mouse-optimised, SV40-NLS tagged *Oryza sativa* Tir1 coding sequence from pCAGGS-NLS-m.OsTir1-IRES-puro using primers to introduce attB Gateway cloning arms; the PCR product was incubated with pDONR211 and BP Clonase II enzyme mix (Life Technologies) according to the

manufacturer's instructions and transformed into cloning grade chemically competent E.coloni bacteria (Lucigen). pPB-CAG-GOI and pPB-TRE-GOI expression vectors were generated incubating the relevant pEntr and Dest vectors with LR Clonase II enzyme mix (Life Technologies) according to the manufacturer's instructions; after proteinase K was added to stop the reaction, 2µl of the reaction mix was transformed into cloning grade chemically competent E.coloni bacteria.

### 2.2.2. RT-qPCR and High Throughput RNA Sequencing

Total RNA was isolated from cultured cells using the RNeasy Mini Kit (Qiagen) according to the manufacturer's instructions. Cells were dissociated then pelleted at 300 g for 3 mins and supernatant was removed. Cell pellets were stored at -80 °C until they were processed further. RNA preparation included homogenisation of cell lysate with QIAshredder columns (Qiagen) and on-column DNA digestion with RNase-free DNase I (Qiagen). RNA quantity and purity were assessed using a Nanodrop ND-1000 spectrophotometer. For RT-qPCR, RNA was reverse-transcribed using SuperScript III First-Strand Synthesis SuperMix for RT-qPCR (Life Technologies); where possible 1 µg RNA was used for all samples, otherwise equal quantities of RNA was used for all samples of an experiment. For high throughput RNA sequencing, RNA integrity was assessed on a Qubit Fluorometer (ThermoFisher Scientific) and Agilent Bioanalyzer Nano Chips (Agilent Technologies). Depletion of ribosomal RNA was performed on 2-5µg of total RNA using the Ribo-Zero rRNA Removal Kit (Illumina) and libraries were produced from 10-100ng of ribosomal-depleted RNA using NextFlex Rapid Directional RNA-seq Kit (Bioo Scientific) with 12 cycles of PCR amplification. Libraries were pooled in equimolar quantities and sequenced on the HiSeq4000 platform (Illumina) at CRUK. Library preparation was performed by the W-MRC CSCI genomics facility.

### 2.2.3. List of primers and Taqman probes

Table 2.2 Pre-designed taqman probes

Target	ID
Gapdh (VIC)	4352339E
Cdx2	Mm01212280_m1
Esrrb	Mm00442411_m1
Gata4	Mm00484689_m1
Klf4	Mm00516104_m1
Lifr	Mm00442942_m1
Nanog	Mm02384862_g1
Nr0b1	Mm00431729_m1

Oct4	Mm00658129_gH
Pax6	Mm00443081_m1
Rex1	Mm03053975_g1
Sox2	Mm03053810_s1
Xist	Mm01232884_m1

Table 2.3 Custom Taqman probes

Target	Primer	Sequence
Retroviral cMyc	Fw	TGGTACGGGAAATCACAAGTTTGTA
	Rv	GGTCATAGTTCCTGTTGGTGAAGTT
	Probe	CCCTTCACCATGCCCC
Retroviral Klf4	Fw	TGGTACGGGAAATCACAAGTTTGTA
	Rv	GAGCAGAGCGTCGCTGA
	Probe	CCCCTTCACCATGGCTG
Retroviral Oct4	Fw	TGGTACGGGAAATCACAAGTTTGTA
	Rv	GGTGAGAAGGCGAAGTCTGAAG
	Probe	CACCTTCCCCATGGCTG

Table 2.4 RT-qPCR Sybr primers

Target	Primer	Sequence
Elf5	Fw	CCCTCCTCCTCTTCAAAACC
	Rv	AAGTTGCCACAAGACCATCC
Gata6	Fw	CCCACTTCTGTGTTCCCAATTG
	Rv	TTGGTCACGTGGTACAGGCG
Gapdh	Fw	CCCACTAACATCAAATGGGG
	Rv	CCTTCCACAATGCCAAAGTT

Table 2.5 ChIP qPCR primers

Target	Primer	Sequence
Oct4 distal enhancer	Fw	GCATAACAAAGGTGCATGATAGCT
	Rv	AAATAAAGGCAGCGACTTGGA
Sox2 proximal downstream enhancer	Fw	CAGGTTCCCCTCTAATTAATGCA
	Rv	CATTACCACGTGAATAATCCTATATGC
Nanog enhancer (OSN peak)	Fw	CCCACCTGTCCCTAGTCCCCGCT
	Rv	TTGGAAGTAGCTGTGTGGGTGGGG
Chr6 negative locus	Fw	ACTACCCAACTATTGCTCCTGA
	Rv	GCTTAACCTGCTCTCCAGG
Klf4 enhancer	Fw	TGTCCTCTCCACTCCCACAA
	Rv	AGGAGTGACTGCGTCAAACA
Nanog enhancer	Fw	CACCTCTTCGCTCGGATCTT

(H3K27ac peak)	Rv	CTCCGGGTCAAAGGAGTCTG
Rex1 enhancer	Fw	CTCAATGTGCATCTACTGTGCTC
	Rv	ACTGCATCGATCACCAGCTAC

## 2.2.4. Chromatin Immunoprecipitation (ChIP)

ChIP was performed as followed:

Cells ( $5 \times 10^6$  per IP for histone modifications or  $10 \times 10^6$  for transcription factors) were fixed for 10 mins in Fixing Solution (5 mM HEPES pH 7.5, 10 mM NaCl, 0.1 mM EDTA, 50  $\mu$ M EGTA, 0.4% formaldehyde for histone modifications or 1% formaldehyde for transcription factors, with protease inhibitors). Fixation was halted by addition of an excess of glycine. Cells were pelleted at 1400 rcf and washed with 1X ice cold PBS. Pellets were frozen at this point and stored at  $-80^\circ\text{C}$ .

Nuclei were isolated: Cells were incubation with Lysis Buffer 1 (50 mM HEPES pH 7.5, 140 mM NaCl, 1 mM EDTA, 10% Glycerol, 0.5% NP40, 0.25% Tx100) at  $4^\circ\text{C}$  for 10 mins, pelleted, then incubated with Lysis Buffer 2 (10 mM Tris pH 8.0, 200 mM NaCl, 1 mM EDTA, 0.5 mM EGTA) at  $4^\circ\text{C}$  for 10 mins.

Nuclei were pelleted then resuspended in Shearing Buffer (50 mM Tris pH 8.0, 1% SDS, 10 mM EDTA) and sonicated to obtain an average DNA fragment size of 300-600 bp depending on the protein of interest. Debris was removed by centrifugation at 14000 rcf at  $4^\circ\text{C}$ , and a sample was taken to determine fragment size. Sonicated chromatin was diluted 1:10 in Dilution Buffer (50 mM Tris pH 8.0, 167 mM NaCl, 1.1% Tx100, 0.11% Sodium deoxycholate). Dynabeads Protein G (Invitrogen) were prepared by pre-incubating with isotypic IgG antibody. Diluted chromatin was pre-cleared by incubating with the beads for 2 hr at  $4^\circ\text{C}$ . Supernatant was collected, a 10% input sample was taken for relative quantitation, and the chromatin was the incubated overnight at  $4^\circ\text{C}$  with 1-5  $\mu$ g of antibody or 2  $\mu$ g of isotypic IgG (see Table 2.6). Beads were blocked overnight with BSA. The chromatin-antibody mix was incubated for 1 hr with BSA-blocked beads at  $4^\circ\text{C}$  to allow chromatin-conjugated antibody to bind to the beads. Beads were then washed twice in Wash Buffer 1 (50 mM Tris pH 8.0, 0.1% SDS, 0.1% Sodium deoxycholate, 1% Tx100, 150 mM NaCl, 1 mM EDTA, 0.5 mM EGTA), once in Wash Buffer 2 (50 mM Tris pH 8.0, 0.1% SDS, 0.1% Sodium deoxycholate, 1% Tx100, 500 mM NaCl, 1 mM EDTA, 0.5 mM EGTA), once in Wash Buffer 3 (50 mM Tris pH 8.0, 250 mM LiCl, 0.5% Sodium deoxycholate, 0.5% NP40, 1 mM EDTA, 0.5 mM EGTA), and twice in Wash Buffer

4 (50 mM Tris pH 8.0, 10 mM EDTA, 5 mM EGTA). Chromatin was eluted twice for a total of 30 mins at 65°C with shaking in Elution Buffer (1% SDS, 0.1 M NaHCO<sub>3</sub>) and eluates were pooled. NaCl was added to samples and inputs to a final concentration of 200 mM, before incubating overnight at 65°C to reverse cross-links. DNA was purifying using the QIAquick PCR Purification Kit (Qiagen).

Table 2.6 List of antibodies used in ChIP experiments

Target	Species	Antibody	Supplier
H3K27ac	Rabbit	ab4729	Abcam
Nanog	Rabbit	A300-397A	Bethyl Laboratories
Sox2	Rat	14-9811	Ebioscience
Normal rat IgG	Rat	sc-2026	Santa Cruz
Normal rabbit IgG	Rabbit	sc-2027	Santa Cruz

### 2.2.5. Protein extraction and Western blotting

Cells were lysed in RIPA buffer (50 mM Tris-Cl pH8.0, 150 mM NaCl, 1% Tx100, 0.1% SDS, 1mM EDTA) containing Complete-ULTRA protease and PhoStop phosphatase inhibitor cocktails (Roche), and sonicated for 3 cycles, 30 seconds ON 30 seconds OFF in a Bioruptor200 (Diagenode) at high intensity. Debris was removed by pelleting, and aliquots of supernatant were frozen and stored at -80°C or mixed with 4X Bolt LDS Sample Buffer and 10X Bolt Sample Reducing Agent (ThermoFisher). Samples were boiled at 95°C for 5 minutes. SDS-PAGE electrophoresis was performed using Bolt 10% Bis-Tris Plus gels (ThermoFisher) in a Mini Gel Tank (ThermoFisher). Protein transfer was performed using the iBlot2 dry blotting system (ThermoFisher) and iBlot2 nitrocellulose Transfer Stacks (ThermoFisher). Membranes were incubated with primary antibodies (see below). Detection was achieved using HRP-conjugated secondary antibodies against the appropriate species (GE Healthcare) and ECL Plus Western Blotting Detection System (GE Healthcare).

Table 2.7 Antibodies used for Western Blotting

Target	ID	Supplier
Oct4 (used in 4.2.1 and 4.2.2)	sc8628	Santa Cruz Biotechnology
Oct4 (used in 4.2.3)	83932	Cell Signaling Technology
Nanog	A300-397A	Bethyl Laboratories
Sox2	14-9811	ThermoFisher
$\alpha$ -tubulin	ab7291	Abcam

### 2.2.6. Histology

E8.5 embryos were fixed for 4 hours at 4°C with 4% paraformaldehyde (Sigma) in PBS, gradually adjusted to 20% sucrose over 2 days, then mounted in OCT and snap frozen on liquid nitrogen. 8  $\mu$ m

cryosections were taken and stored at  $-80^{\circ}\text{C}$ . Following rehydration in PBS, sections were permeabilised in 0.25% Triton X-100 (Sigma) in PBS, then blocked in 5% donkey serum (Sigma) and 0.1% Triton X-100 in PBS. Sections were incubated overnight at  $4^{\circ}\text{C}$  in blocking buffer with the following primary antibodies: Nanog (1:300, rat mAb, eBioscience); Oct4 (1:300, rabbit mAb, Cell Signaling); Oct4 (1:300, goat pAb, Santa Cruz); Sox1 (1:300, rabbit pAb, Cell Signaling); Sox2 (1:300, rat mAb, eBioscience). The following day, washes were performed with 0.1% Triton X-100 in TBS, and samples incubated with DAPI and AlexaFluor secondary antibodies against the appropriate species at 1:1000 (Life Technologies).

Sections were imaged using a Zeiss ApoTome microscope at 20x then tiled. After imaging, H&E histological staining was performed on the same sections according to standard methodologies. These sections were then re-imaged in the same pipeline. Cyrosectioning and H&E staining were performed by the W-MRC CSCI histology facility, immunochemistry and imaging was performed by Hannah Stuart, as noted in the text.

## 2.3. Bioinformatic analysis

### 2.3.1. ChIP-seq and RNA-seq alignment and visualization

RNA-seq reads were adaptor-trimmed with cutadapt v1.10 (Martin, 2011) via TrimGalore v0.4.1 ([http://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore)) and mapped to the mouse reference genome (GRCm38/mm10) with TopHat2 v2.1.0 (<https://ccb.jhu.edu/software/tophat>) using default parameters for paired end reads. Transcript counts were normalized by library size or by the normalization factor calculated by DESeq2 v1.14.1 (Love et al., 2014) as indicated. ChIP-seq reads were adaptor-trimmed with cutadapt v1.10 via TrimGalore v0.4.1 and mapped to the mouse reference genome (GRCm38/mm10) with BWA v0.7.12-r1039 using the BWA-backtrack algorithm (Li and Durbin, 2009) by calling aln and samse using default parameters for single-end reads. ChIP-seq counts were normalized by library size and extended to match the fragment size. Files were converted to bedgraph format and uploaded to the UCSC Genome Browser for visual inspection. Strand specific RNA-seq files were generated by Michael Barber and Sabine Dietmann using featureCounts (Liao et al., 2014). Gene-wise counts were generated using featureCounts (Liao et al., 2014) to annotate the genes from Ensembl GRCm38.86 release.

### 2.3.2. RNA-seq statistical analysis

Normalization and statistical analyses were performed using DESeq2 v1.14.1 (Love et al., 2014)

For Oct4<sup>F/-</sup> ESC dataset, two biological replicates of each timepoint were sequenced on two lanes. Technical replicates were collapsed after validating that correlation between technical replicates was greater than correlation across samples. Size factor and dispersal estimation, and model fitting and testing were performed by calling DESeq (DESeq2 v1.14.1) with default parameters. MA plots and PCA analysis were performed using DESeq2.

### 2.3.3. ChIP-seq analysis

Nanog ChIP-seq was performed on duplicate IPs for two hour IAA induced and uninduced samples. Nanog peaks were obtained using MACS2 v2.1.1.20160309 on merged reads of induced samples. Oct4 peaks were obtained using MACS2 on publically available Oct4 ChIP-seq data (GEO accession GSM307140) against whole cell extract control (GEO accession GSM307155) (Marson et al., 2008). Remaining false-positive peaks located in repetitive regions were blacklisted. Differential binding analysis was performed using DiffBind v2.2.12 (Rory and Brown, 2011; Ross-Innes et al., 2012). Normalization factors were calculated using DESeq2. Heatmap and summary plots were generated using DeepTools3 Galaxy Version 3.1.2.0.0 (Ramírez et al., 2016).

# Chapter 3: Establishing the reprogramming capacity of fixed-Oct4 cells after *in vivo* differentiation

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## 3.1. Introduction

It is well established that Oct4 plays a vital role in the establishment and maintenance of naïve pluripotency *in vivo* (Le Bin et al., 2014; Nichols et al., 1998), but in recent years it has become increasingly clear that a specific level of Oct4 is critical both for entry into and exit from pluripotency *in vitro*. Radzisheuskaya *et al.* demonstrated that cells successfully completing somatic cell reprogramming to form iPSCs converge to a wild-type level of Oct4 even if it is exogenously expressed (Radzisheuskaya et al., 2013), indicating that a precise level of Oct4 is required at some point in the reprogramming process. Meanwhile, reducing the level of Oct4 in naïve cells while maintaining a basal level of expression (~1/5 to 1/2 a wild-type level) compromises the ability of cells to exit pluripotency (Karwacki-Neisius et al., 2013; Radzisheuskaya et al., 2013). With this in mind, I was interested to see how constitutive expression of an ESC level of Oct4 would affect differentiation *in vivo* and subsequent somatic cell reprogramming.

Specifically, I wanted to examine the capacity of cells maintaining an ESC level of Oct4 to exit pluripotency *in vivo*. Typically, a transient increase in Oct4 expression is observed in differentiation from ESCs (Kalkan et al., 2017), and it is unclear whether this is required for normal differentiation. In the absence of an endogenous allele cells cannot regulate Oct4 in this manner, allowing us to test whether cells can differentiate normally while sustaining an ESC level of expression. Furthermore, given that an ESC level of Oct4 appears to be required at some stage in the reprogramming, I wanted to test whether somatic cells expressing Oct4 at this level throughout the process would demonstrate efficient reprogramming.



### 3.1.1. Generating cells with a fixed ESC level of Oct4

An iPSC line has previously been generated in our group wherein the endogenous Oct4 gene is knocked out from both alleles and Oct4 is expressed entirely from randomly integrated, constitutively expressed Oct4-2a-Cherry transgenes (fixed-Oct4 iPSCs) (Radziskeuskaya et al., 2013, Figure 3.1a). Radziskeuskaya et al. demonstrated that during reprogramming cells select for an ESC level of Oct4; consequently this line expresses a wild-type level of Oct4, and this level is roughly maintained during somatic differentiation (Radziskeuskaya et al., 2013).

### 3.1.2. Cells with an ESC level of Oct4 differentiate and reprogram efficiently *in vitro*

Recent work in our lab has shown that cells maintaining an ESC level of Oct4 can be differentiated to EpiSCs *in vitro* (Stuart et al., in preparation). This demonstrates that they retain the capacity to exit the naïve state; however, it does not resolve whether they are able to make more advanced cell fate decisions, exit pluripotency or whether they differentiate in response to normal cues *in vivo*. Nonetheless, it has been exciting to observe that these fixed-Oct4 EpiSCs are able to revert to naïve pluripotency solely by switching to naïve growth conditions (Figure 3.1b) with remarkable speed and efficiency, and without requiring induction of naïve-specific transcription factors or application of chromatin-modifying factors. It is therefore interesting to investigate the capacity of fixed-Oct4 iPSCs for more advanced differentiation, and to test the limits of their reprogramming potential.

Figure 3.1

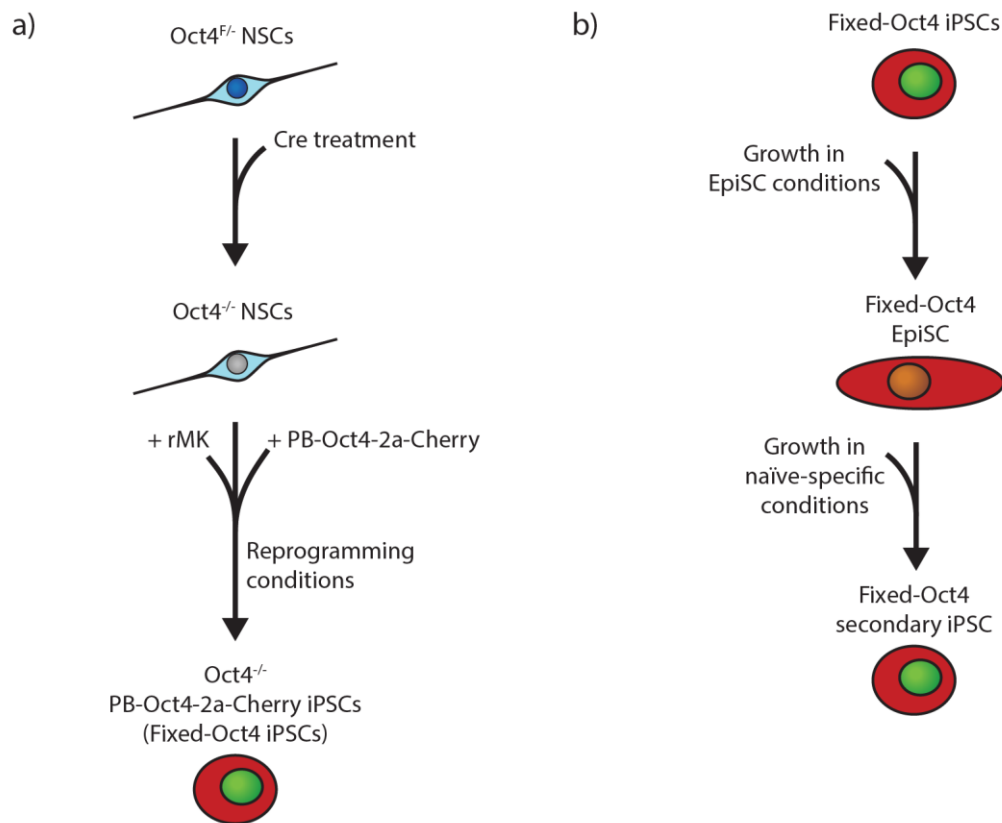


Figure 3.1 Fixed-Oct4 PSCs can be differentiated *in vitro* and revert to naïve pluripotency on media switch

a) Schematic of the protocol used by Aliaksandra Radzisheuskaya to generate iPSCs with constitutive expression of Oct4 at an ESC level (fixed-Oct4 iPSCs). Briefly, NSCs were obtained from mice carrying one knocked-out and one floxed Oct4 allele (Oct4<sup>F/+</sup> NSCs). These were treated with Cre to generate Oct4-null NSCs (Oct4<sup>-/-</sup> NSCs). Cells were transduced with retroviral cMyc and Klf4 (rMK) and transfected with a randomly integrating, constitutively expressed Oct4-2a-Cherry construct (PB-Oct4-2a-Cherry). This yielded red fluorescent iPSCs (fixed-Oct4 iPSCs) expressing Oct4 at an ESC level, which is largely maintained during differentiation. b) Schematic of experiments carried out by Hannah Stuart demonstrating that fixed-Oct4 iPSCs are capable of *in vitro* differentiation to EpiSCs and subsequent reversion to iPSCs on media switch. Fixed-Oct4 iPSCs generated by Radzisheuskaya were grown in EpiSC maintenance conditions. After a period of adjustment, cells emerged with an EpiSC-like morphology. Transcriptional profiling confirmed that these cells had attained an EpiSC identity, and their complete loss of naïve pluripotency was demonstrated by the inability to contribute to chimeras following blastocyst injection. Changing the exogenous signals to naïve-specific growth conditions was sufficient to revert these cells to an ES-like identity. This was confirmed by transcriptional changes and by acquisition of competency to contribute to chimeras on blastocyst injection. Meanwhile, control EpiSCs could not revert to naïve pluripotency solely on changing the signalling environment, requiring exogenous expression of one of several naïve transcription factors to successfully reprogram.

## 3.2. Results

### 3.2.1. Fixed-Oct4 cells are able to differentiate *in vivo* and integrate well into E8.5 chimeras

In order to produce appropriately differentiated cells, we generated chimeric embryos through blastocyst injection of fixed-Oct4 iPSCs into wild-type blastocysts (Figure 3.2a). By late E8.5, most embryos had completed turning. At this stage, no clear abnormalities were observed from a visual

analysis (Figure 3.2b). Chimeric embryos could not be distinguished from non-chimeric littermates other than by fluorescent analysis of Cherry signal derived from the constitutive Oct4-2a-Cherry transgene. Most embryos showed a high level of chimerism with contribution from fixed-Oct4 cells throughout the embryo (Figure 3.2b and Table 3.1).

Figure 3.2

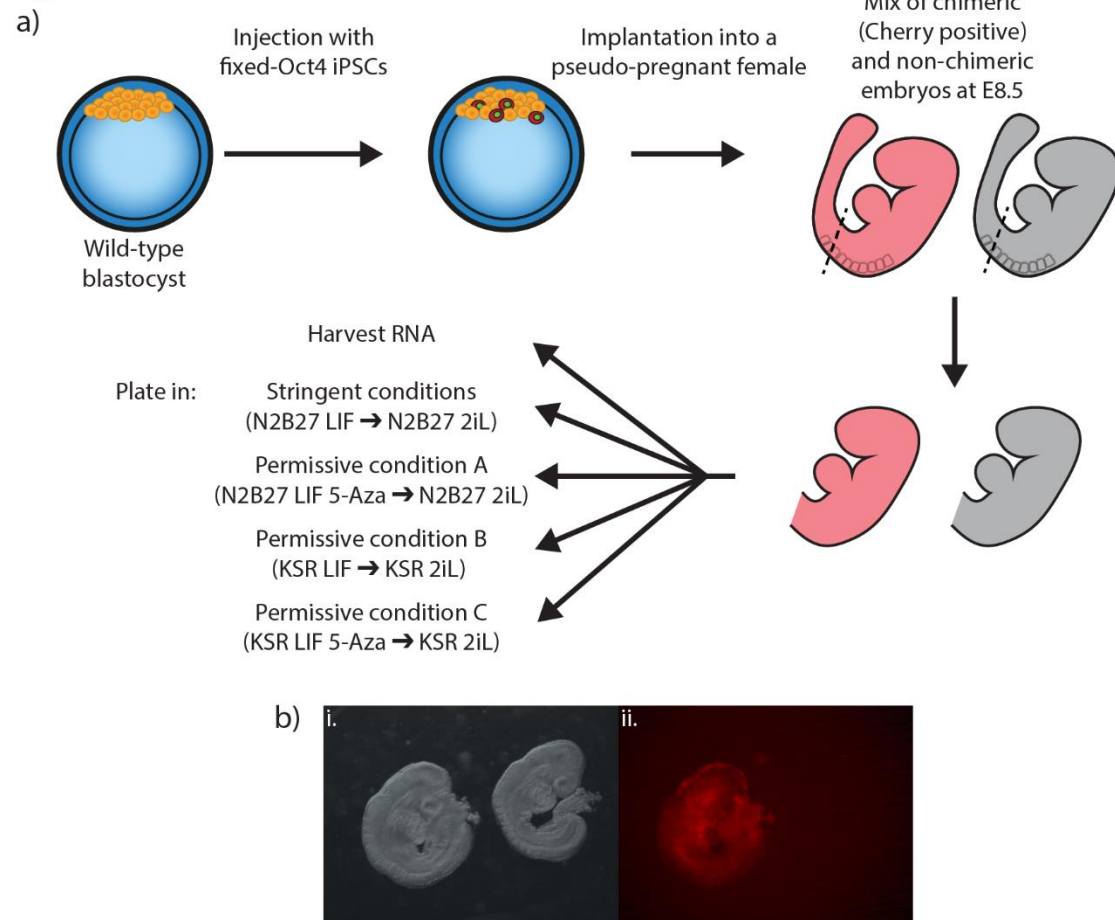


Figure 3.2 Generating fixed-Oct4 chimeric embryos

a) Schematic for generating fixed-Oct4 chimeric embryos and subsequent profiling of their reprogramming capacity. The Zona Pellucida of wild-type early blastocysts was pierced with a laser. Fixed-Oct4 iPSCs were then injected into the blastocoel, and the embryos were transferred to the uterus of a pseudo-pregnant mouse. The blastocysts implant and continue to develop, and injected cells may contribute to the embryo yielding a chimera (detectable due to constitutive Oct4-2a-Cherry expression). The posterior and extraembryonic tissues were removed, and the anterior material was partially dissociated to collect samples for RT-qPCR analysis and to assay for reprogramming capacity.

b) i. Phase contrast and ii. fluorescence images of chimeric (left) and non-chimeric (right) late E8.5 embryos prior to removal of the posterior end. Note the extensive contribution of Cherry-positive iPSCs to the chimeric embryo. Blastocyst injection was performed by William Mansfield.

In order to examine the degree of chimeric contribution more thoroughly, and to check that fixed-Oct4 cells had integrated into differentiated tissues, we performed cryosectioning and immunofluorescence staining. Figure 3.3, adapted from the graduate thesis of Hannah Stuart, shows

extensive contribution of Cherry positive cells throughout the E8.5 embryo. Oct4 staining corresponds well with Cherry signal, indicating that Cherry is a good proxy for the presence of Oct4. It should be noted that Nanog is specifically localised to the nucleus in PGCs and pluripotent ESCs and EpiSCs (Chambers et al., 2007; Gillich et al., 2012; Yamaguchi et al., 2005); specific (nuclear) Nanog signal is entirely absent indicating that all cells have successfully exited pluripotency (Figure 3.3a), but also that this section does not contain any germ cells from either parent. Sections of another embryo demonstrated even higher levels of chimerism (Figure 3.3b). In this embryo, it is clear that Oct4 is well integrated into various tissues, with extensive contribution to the myocardium and somites. Anteriorly, there is significant overlap in staining for Sox1 and Sox2 with Oct4, showing that fixed-Oct4 cells are differentiating efficiently into neural lineages, while distal overlap is indicative of gut endoderm differentiation.

Figure 3.3

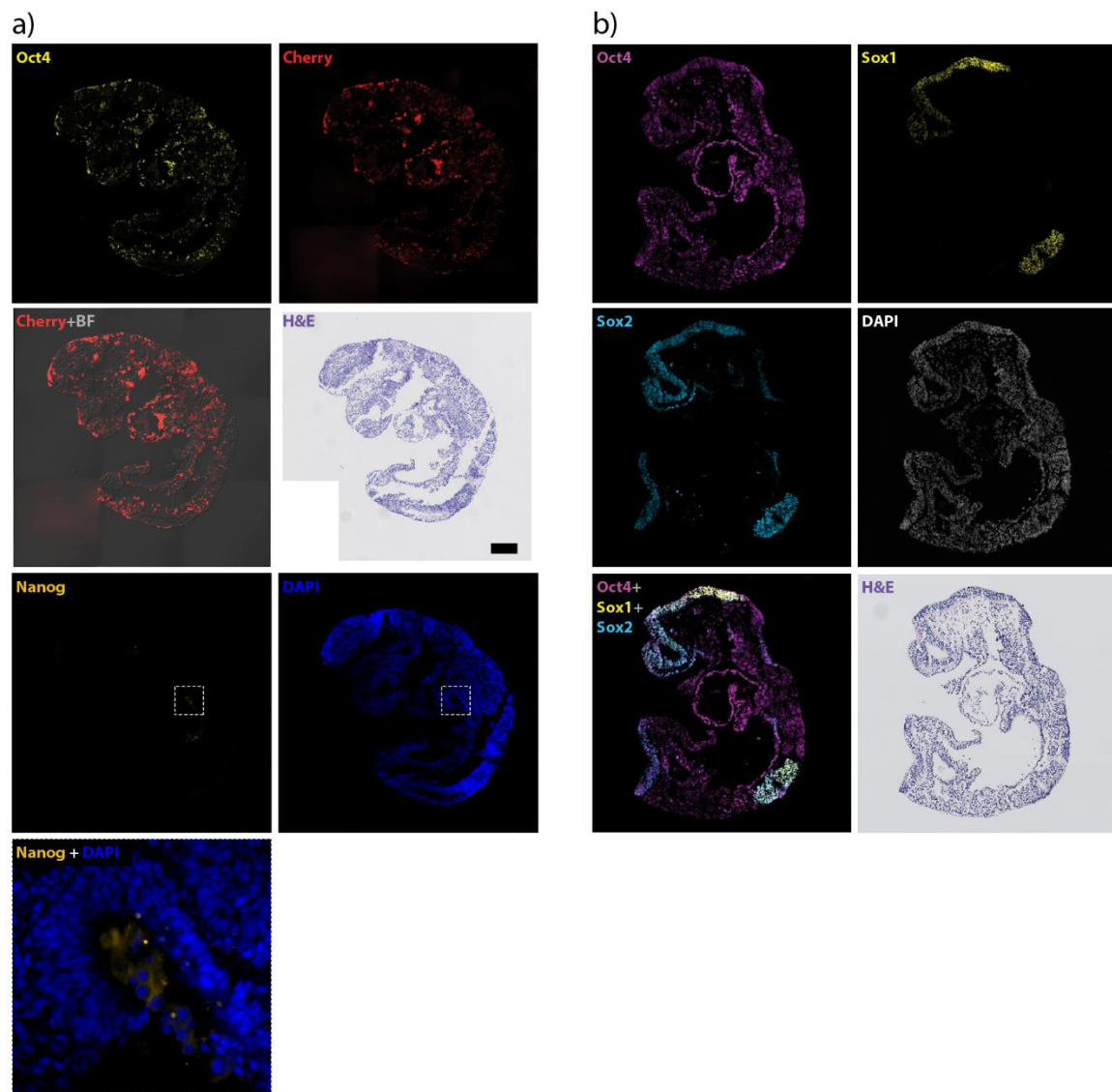


Figure 3.3 Cryosections and immunofluorescence of E8.5 chimeras (data from Hannah Stuart)

8µm cryosections were taken of E8.5 fixed-Oct4 chimeras. Subsequently expression of pluripotency and differentiation markers was assessed by IF, using antibodies against Oct4, Sox1, Sox2 and Nanog as stated. Cherry was detected directly by fluorescence and nuclei were stained with DAPI. H&E staining was performed on the same sections after imaging. a) IF against Oct4 and Nanog, plus imaging of Cherry, DAPI and H&E staining. Oct4 IF shows that Cherry accurately indicates cells in which Oct4 is expressed. Fixed-Oct4 cells have successfully integrated throughout the embryo. Dotted lines indicate inset below; the absence of nuclear Nanog signal demonstrates that all cells have exited pluripotency. Non-nuclear Nanog stain is likely to be unspecific signal. b) IF against Oct4, Sox1 and Sox2, plus imaging of DAPI and H&E staining. Anterior overlapping Oct4, Sox1 and Sox2 signal reveal that fixed-Oct4 cells are capable of ectodermal differentiation *in vivo*, and contribute well to neural lineages, while posterior Oct4 and Sox2 overlap indicate gut endoderm differentiation.

Cryosectioning and H&E staining performed by the SCI histology facility, IF and imaging performed by Hannah Stuart, figure adapted from the dissertation of Hannah Stuart.

### 3.2.2. *In vivo*-differentiated fixed-Oct4 cells reprogram efficiently

Given that these embryos did not show any obvious defects or tumours, we concluded that early differentiation events had occurred relatively normally in these embryos. In order to examine the

reprogramming potential of the differentiated fixed-Oct4 cells, we removed the posterior half of the embryos in order to prevent contamination with any remnants of the primitive streak and associated pluripotent cells, though none should remain (Osorno et al., 2012), and any primordial germ cells or their precursors (Molyneaux et al., 2001; Tam and Snow, 1981). We manually dissociated the anterior section of the embryos to small clumps of cells and plated these in a range of media conditions (Figure 3.2a), reserving some material for RT-qPCR analysis.

Dissociated cells were plated in stringent and permissive reprogramming conditions. There is evidence that immediate application of naïve-specific 2iL conditions to differentiated cells inhibits reprogramming (Silva et al., 2008), an effect that is likely due to inhibition of key survival signalling before reprogramming occurs. Indeed, in preliminary experiments, dissociated anterior cells plated directly in 2iL failed to reprogram, while germ-cell-containing allantois readily generated EGCs. To avoid this inhibition, cells were grown for one week in reprogramming media lacking 2i before switching to 2iL conditions. In permissive conditions cells were maintained for one week in basal media plus LIF in the presence of 5-azacitidine, a DNA methyltransferase inhibitor (Stresemann and Lyko, 2008), and/or ascorbic acid (in the form of KSR-based media (Blaschke et al., 2013)), a Tet enzyme and JmJC-domain histone demethylase agonist (Blaschke et al., 2013; Klose et al., 2006; Yin et al., 2013), both of which lead to a global reduction in DNA methylation (Blaschke et al., 2013; Mikkelsen et al., 2008; Yin et al., 2013) and have been shown to improve both the rate and efficiency of somatic cell reprogramming (Esteban et al., 2010; Mikkelsen et al., 2008; Tsuji-Takayama et al., 2004; Wang et al., 2011). After this, media was changed to N2B27 2iL or KSR 2iL, and after a further 5 days selection for endogenous Oct4 promoter activity was applied. Meanwhile, in stringent conditions cells were maintained in N2B27 LIF prior to media switch to N2B27-2iL and subsequent selection. Medium change to ESC maintenance conditions is reportedly sufficient to revert a fraction of some EpiSC lines to the naïve state (Bao et al., 2009); however, generally reprogramming to naïve pluripotency requires the addition of transgenes, altered signalling environments or epigenetic modifiers (Gillich et al., 2012; Guo et al., 2009; Guo and Smith, 2010; Hanna et al., 2009; Murayama et al., 2015; Silva et al., 2009; Zhang et al., 2016). In this study, cells should be more advanced in their differentiation than EpiSCs, having already undergone the events of gastrulation and lineage segregation, and so we would not anticipate that any wild-type cells would reprogram under these stringent conditions.

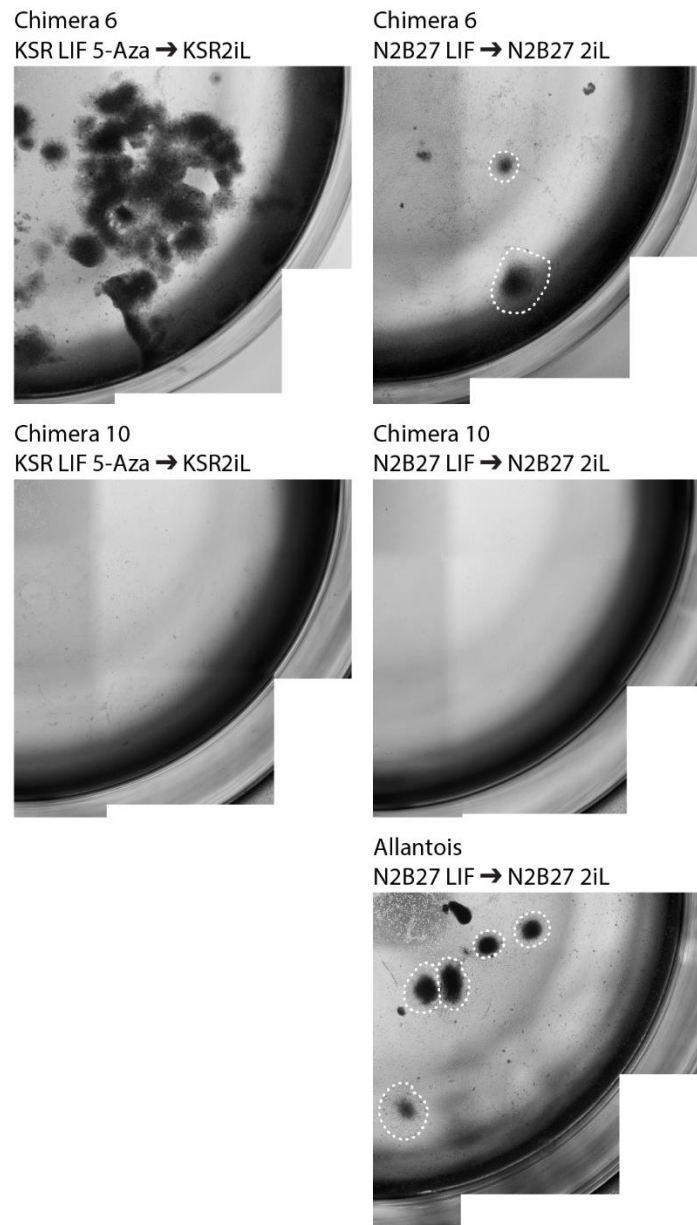
Of the 11 embryos collected, 10 were chimeric by visual inspection of Cherry contribution, with one non-chimeric embryo which we used as a negative control (Table 3.1). Additionally, we plated material from the posterior end of the embryos together with allantois tissue; these regions should contain migrating primordial germ cells (Molyneaux et al., 2001; Tam and Snow, 1981), which should readily convert to embryonic germ cells (EGCs) in our reprogramming conditions (Leitch et al., 2010), and thus act as a positive control.

	Embryo number										
	1	2	3	4	5	6	7	8	9	10	11
Chimeric?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes
Reprogrammed in stringent conditions?	Yes	No	Yes	Yes	Yes	Yes	Yes	No	No	No	Yes
Reprogrammed in permissive conditions?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes

Table 3.1 List of E8.5 embryos harvested showing their chimerism and reprogramming capacity

Chimeras are listed as chimeric if there was observable red fluorescent representing the Oct4-2a-Cherry transgene in the embryo prior to dissociation. This was confirmed by monitoring Cherry fluorescence in plated cells and by continued expression of Oct4 as detected by RT-qPCR on dissociated embryonic tissue. Successful reprogramming was determined by the establishment of cell lines that could be serially passaged and showed dome-shaped morphology, activation of the endogenous Oct4 locus, and acquired expression of pluripotency markers Nanog and Rex1 by RT-qPCR analysis.

Figure 3.4

Figure 3.4 Emerging iPSC colonies from fixed-Oct4 cells differentiated *in vivo*

Phase contrast images of a quarter of a 24 well of emerging iPSC colonies from embryo 6 (top) which is representative of chimeric embryos, embryo 10 (middle) which was not chimeric by visual inspection of Cherry fluorescence, and chimeric allantois (bottom) in permissive conditions (left) or stringent conditions (right). Stringent conditions: N2B27 LIF → N2B27 2iL; permissive conditions: KSR LIF 5-Aza → KSR 2iL. Colony boundaries are marked with a dotted line in stringent conditions; in permissive conditions, efficiency was high and cell grew quickly so the majority of colonies have merged making it difficult to define their edges. Images were taken prior to passaging; note these colonies are overgrown as wells were maintained and passaged in parallel. The majority of non-reprogrammed material has been cleared, and colonies are expanding well.

As detailed in Table 3.1, all of the chimeric embryos were able to generate iPSC lines in the permissive conditions, typically with very high efficiencies (Figure 3.4, top left), while the non-chimeric control did not (Figure 3.4, middle left). This implies that constitutive expression of Oct4 at an ESC level instills competency to post-implantation somatic cells to reprogram to the naïve state. More remarkably, we



established iPS lines from 7 of the 10 chimeric embryos (70%) under our stringent reprogramming conditions (Figure 3.4, top right), relying solely upon exogenous Oct4 expression and a change in the signalling environment to revert their identity to naïve pluripotency. This is particularly noteworthy given the small amount of starting material; cell numbers were limited since the posterior end of the embryo was removed, a portion was retained for expression analysis and the remainder was split into four reprogramming conditions. Consequently each reprogramming well contained approximately 10% of a single embryo, equating to perhaps  $5 \times 10^5$  cells (Cho et al., 2011).

Figure 3.5

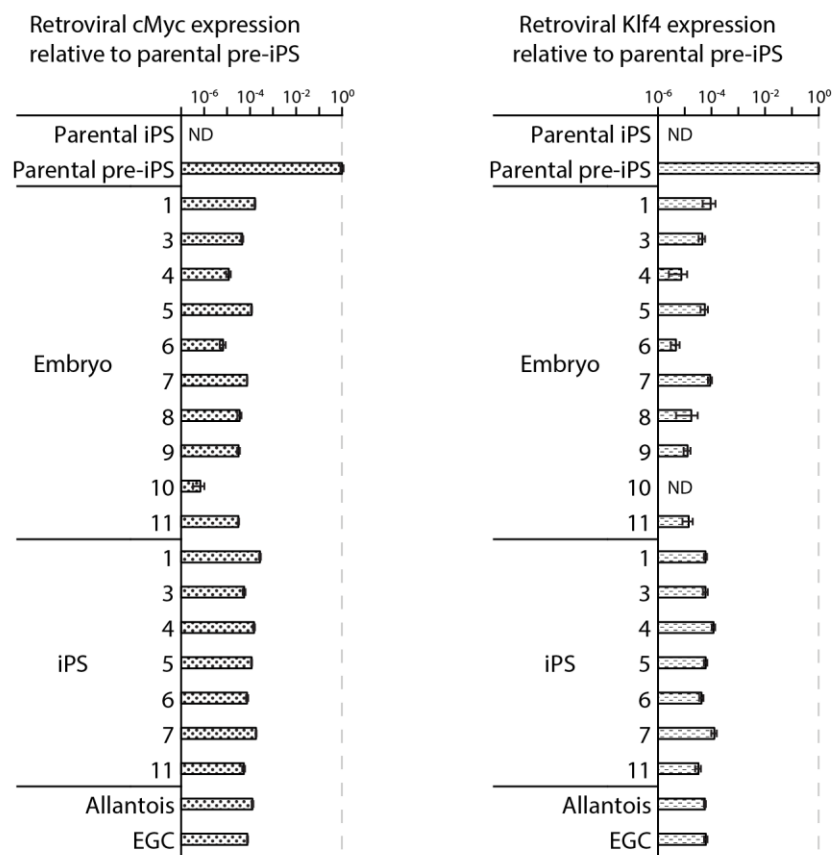


Figure 3.5 Retroviral transgenes remain silent during fixed-Oct4 reprogramming

RT-qPCR analysis of parental iPS and pre-iPSCs, material extracted from E8.5 embryos at the time of plating, established iPS lines from material plated in stringent conditions and passaged once since derivation, allantois material from E8.5 embryos and an established EGC line from plated allantois, as indicated. ND: Not determined; expression was below the threshold of detection for some samples: parental iPS rKlf4 <  $1.3 \times 10^{-4}$ , rcMyc <  $6.7 \times 10^{-5}$ ; embryo 10 rKlf4 <  $2.0 \times 10^{-7}$ . Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta C_t$  method using the housekeeping gene Gapdh as a reference gene and normalized to parental pre-iPSCs. Expression is shown on a log<sub>10</sub> scale.

The fixed-Oct4 iPSCs were generated using retroviruses which are stably silenced during the reprogramming process. In order to validate that Oct4 was the sole reprogramming factor present, I performed RT-qPCR using probes specific for the retroviral Klf4 and cMyc transgenes. The transgenes were not reactivated in the embryonic tissue (Figure 3.5).

Figure 3.6

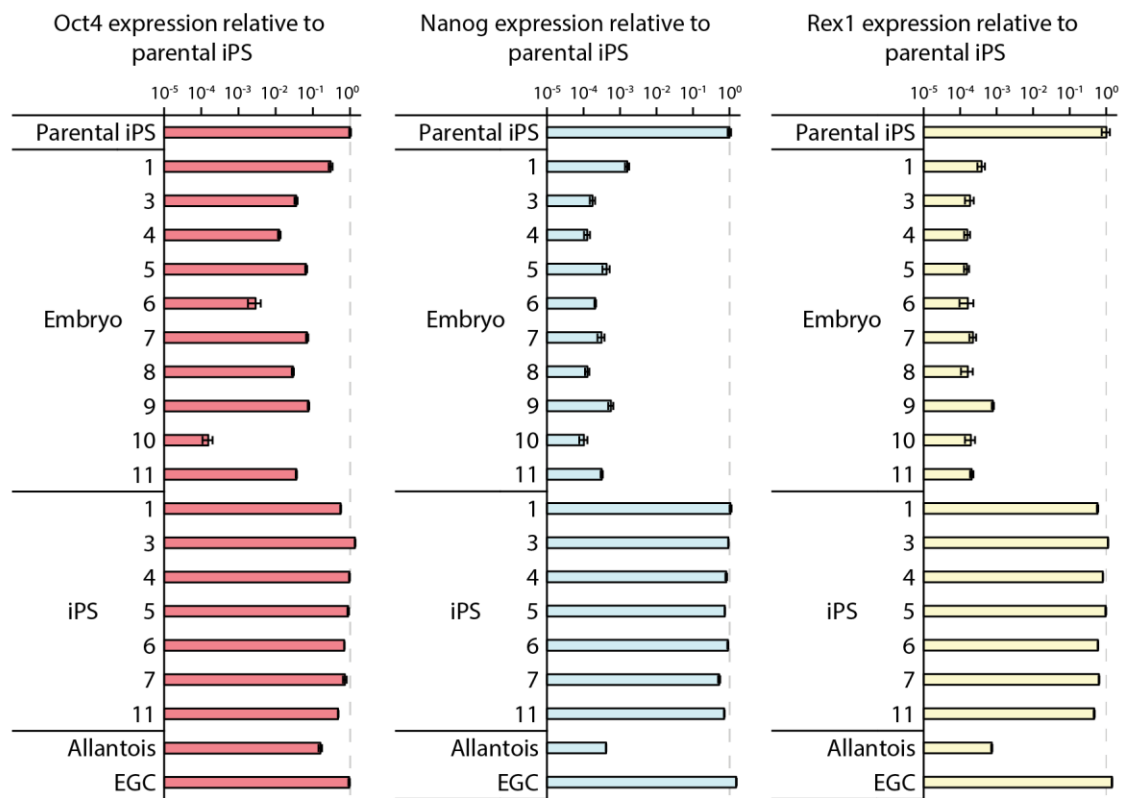


Figure 3.6 Analysis of expression of pluripotency genes in chimeric embryos and iPSCs

RT-qPCR analysis of parental iPSCs, material extracted from E8.5 embryos at the time of plating, established iPS lines from material plated in stringent conditions and passaged once since derivation, allantois material from E8.5 embryos and an established EGC line from plated allantois, as indicated. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta C_t$  method using the housekeeping gene Gapdh as a reference gene and normalized to parental iPSCs. Expression is shown on a log<sub>10</sub> scale. Oct4 was detectable at variable levels in all samples, though embryo 10 (Cherry negative) had an order of magnitude less than the next lowest embryo. Nanog and Rex1 levels were lower in the embryonic tissue by several orders of magnitude compared to the reference iPSCs, implying complete silencing. iPSCs and EGCs showed Nanog and Rex1 expression at levels comparable to parental iPSCs.

It should be noted that the cells that we plated do appear to have fully exited pluripotency *in vivo*, as expression of the naïve-specific marker Rex1 and the general pluripotency marker Nanog were extinguished in the chimeric embryos as well as in the non-chimeric control (Figure 3.6). Both markers are expressed robustly in the successfully reprogrammed iPSCs at levels comparable to the parental iPSCs and the positive control EGCs (Figure 3.6).

### 3.2.3. Constitutive Oct4 expression causes several severe malformations in E12.5 chimeras

Given that cells with an ESC level of Oct4 appear to undergo normal early embryogenesis, we decided to examine their capacity to contribute to more advanced embryos (Figure 3.7a). Extensive chimerism was observed in E12.5 embryos, with contribution to organs and somites, and throughout the body

(Figure 3.7b and c). However, this was accompanied by several developmental defects with varying levels of severity between chimeras (Table 3.2).

Figure 3.7

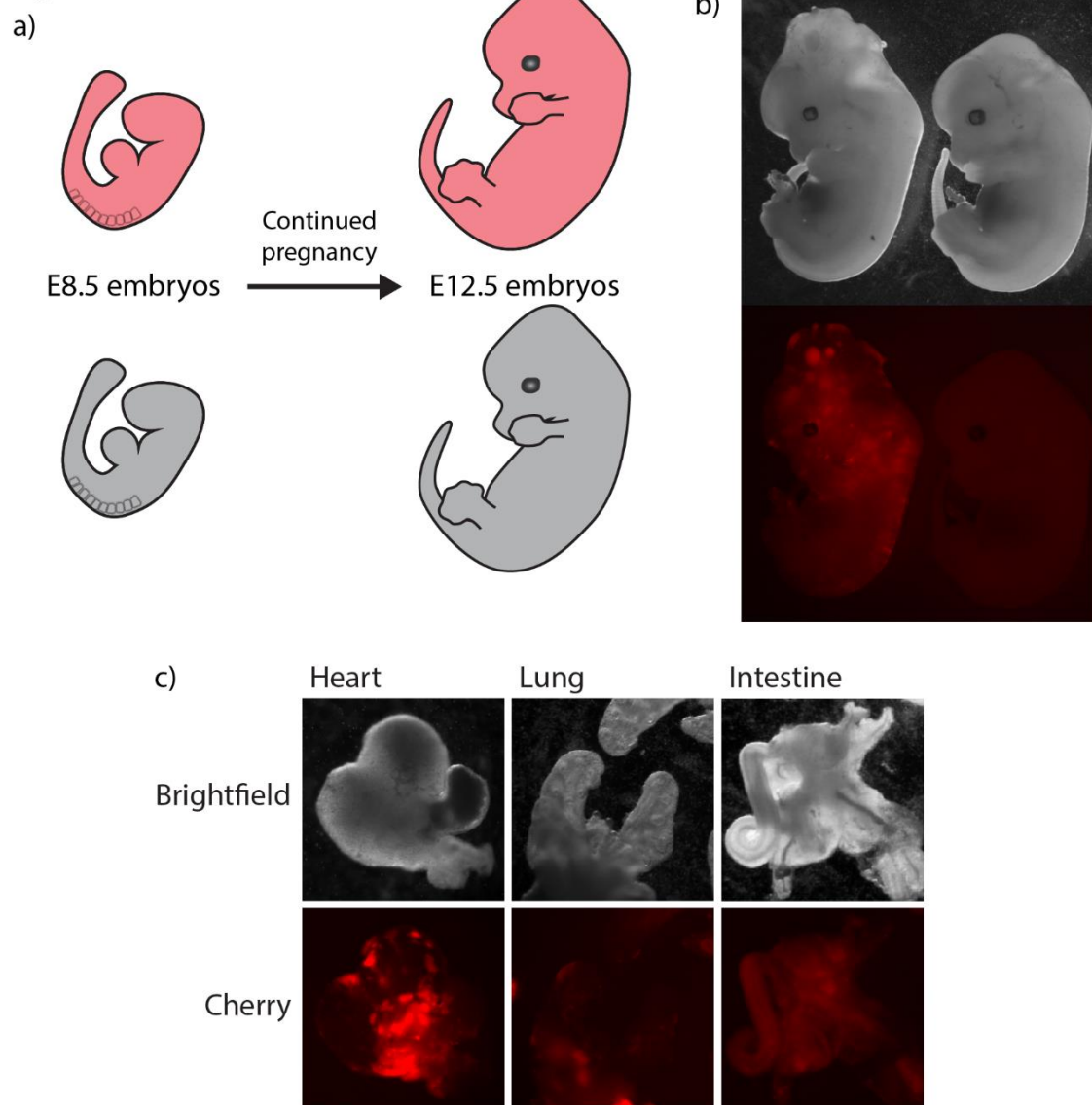


Figure 3.7 Fixed-Oct4 cells contribute efficiently to E12.5 embryos

a) Schematic showing the possible outcome of continued *in vivo* development yielding relatively unperturbed E12.5 chimeric and non-chimeric embryos. b) Images of E12.5 chimeric (left) and non-chimeric (right) embryos, phase contrast (top) and Cherry (bottom). Significant outward expansion of the midbrain in chimeric embryos is clearly visible, along with large fluorescence-positive growths. Fixed-Oct4 cell contribution can be observed throughout the embryo. c) Phase contrast and Cherry images of heart, lung and intestine from chimeric embryos showing patches of fixed-Oct4 cells in developing organs. It should be noted that non-chimeric intestine displayed significant autofluorescence, so level of contribution is unclear.

An immediately obvious phenotype was an extreme expansion of the mesencephalon. In over one third of chimeras (Table 3.2) the midbrain shows sufficient growth in the confined space that it buckles and pushes outwards (Figure 3.7b). It is impossible to ignore that the midbrain shows a large number

of Oct4 Sox1 double positive cells in our E8.5 staining (Figure 3.3b). Sox1 is a neuroepithelial determinant which maintains neuronal precursors in a primitive state, with low expression of glial markers BLBP and  $\beta$ 3-tubulin (Suter et al., 2009). Cells lose Sox1 expression as they differentiate (Pevny et al., 1998), and overexpression of Sox1 during differentiation leads to expansion of the self-renewing neuroepithelial population (Suter et al., 2009). It is therefore tempting to speculate that an ESC level of Oct4 disrupts the normal loss of Sox1 expression in the midbrain, causing an increase in proliferation leading to this phenotype. However, this would require more investigation to confirm. Despite this, we were able to derive NSCs from such chimeric embryos with no obvious phenotype at early passage numbers, though these have not been extensively characterized. Additionally, a small number of embryos displayed exencephaly (Figure 3.8a and Table 3.2), in which the brain did not appear to be fully covered by surface ectoderm. Exencephaly normally results from an earlier failure to fully close the neural tube (Macdonald et al., 1989; Wallace et al., 1978). Interestingly, exencephaly commonly displays partial penetrance, and often co-occurs with blebs in the epidermis (Wallace et al., 1978) which we observed in over half of the chimeras (Table 3.2). Alternatively, it has been previously noted that overexpansion of the midbrain can lead to exencephaly-like abnormalities; embryos in which RhoA is knocked out in the mesencephalon demonstrate midbrain expansion similar to our embryos and subsequently the brain protrudes, without any sign of failure to close the neural tube (Katayama et al., 2011).

1 <sup>st</sup> round	Embryo								Summary
	1	2	3	4	5	6	7	8	
Skin blebs	Y	Y	N	Y	N	N	Y	Y	5
Vascular buds	Y	N	Y	N	Y	Y	N	Y	5
Malformed forelimbs	0	0	0	0	0	0	0	0	0 individuals
Malformed hindlimbs	0	0	0	1	0	0	0	0	1 individual
Midbrain expansion	N	N	Y	N	Y	Y	N	N	3
Exencephaly	N	N	N	N	Y	N	N	N	1

2 <sup>nd</sup> round	Embryo										Summary
	1	2	3	4	5	6	7†	8	9	10	
Skin blebs	Y	N	N	Y	Y	Y	Y	Y	Y	Y	8
Vascular buds	Y	Y	N	Y	N	Y	N	N	Y	N	5
Malformed forelimbs	1	2	0	0	2	2	2	1	0	0	6 individuals
Malformed hindlimbs	0	0	0	0	0	0	0	1	0	0	1 individual
Midbrain expansion	N	Y	Y	Y	Y	N	N	N	N	N	4
Exencephaly	N	Y	N	N	Y*	N	N	N	N	N	2

	Number of chimeras	Deformity					
		Skin blebs	Blood sacs	Malformed limbs	Midbrain expansion	Exencephaly	Other
1 <sup>st</sup> round	8	5	5	1	3	1	0
2 <sup>nd</sup> round	10	8	5	6	4	2	1
Total	18	11 (61%)	10 (56%)	7 (39%)	7 (39%)	3 (17%)	1 (6%)

Table 3.2 Score of malformations by embryo and summary of malformations

E12.5 embryos were harvested on two occasions following blastocyst injection with 1-5 ESCs and transfer to pseudo-pregnant females. Embryos were imaged prior to dissection for derivation of MEF and NS lines or fixation for later analysis, and these images were examined for malformations. Note, limb malformations were not noticed during harvesting or imaging of the first round of embryos; consequently images were typically taken of one side, obscuring two limbs. As a result, the number of embryos harbouring limb malformations may be higher than reported for the first round. Brain sizes were not measured due to the fact that embryos appeared to be at slightly different stages resulting in variation in their overall size. Instead, midbrain expansion was counted if the overall structure of the midbrain was obviously protruding out from the normal brain cavity while remaining covered by surface ectoderm.

\* Embryo 5 displayed partial exencephaly; only the hindbrain and the rear of the midbrain were exposed. † Embryo 7 displayed an obviously underdeveloped lower jaw.

Figure 3.8

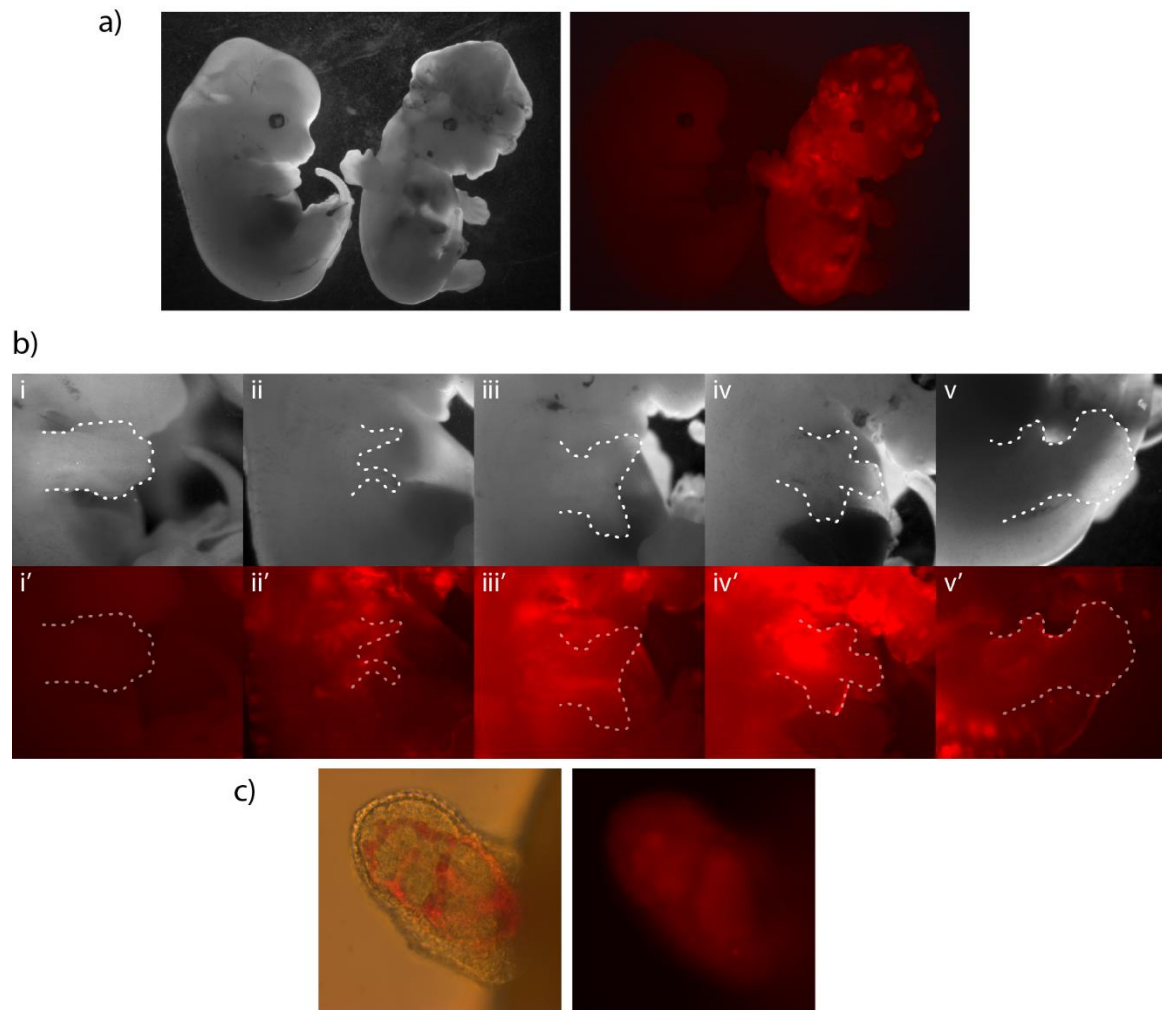


Figure 3.8 E12.5 fixed-Oct4 chimeric embryos show a range of defects, often with partial penetrance  
a) Phase contrast and fluorescence images of a normal, non-chimeric E12.5 embryo (left), and the most exencephalic chimeric embryo (right). Note that this chimeric embryo also displays malformed forelimbs. b) Images of limbs of E12.5 embryos. i-v: phase contrast images; i'-v': fluorescence images. i-iv and i'-iv': forelimbs, v and v': hindlimb. i and i' are of a non-chimeric embryo and represent normal limb structure at E12.5. c) Brightfield (left) and fluorescence (right) images of a vascularized bud structure. Note that the red colouring in the brightfield image is intrinsic to the cells and not caused by fluorescence.

Roughly one third of chimeras demonstrated dramatic limb malformations with various levels of penetrance (Figure 3.8b), though only one or two limbs were ever affected (Table 3.2). Some of these malformations (Figure 3.8b ii. and iii.) resemble the phenotype observed in classical transplantation experiments involving engraftment of an additional zone of polarizing activity (ZPA) to the anterior side of the limb bud. This results in an anterior-posterior (A-P) mirroring of limb formation (Saunders, J. W., 1968; Tickle et al., 1975) as the limb A-P axis is established by a gradient of SHH secreted by the ZPA (Riddle et al., 1993). This is a striking phenotype, normally only seen on ZPA transplantation or exogenous application of SHH or retinoic acid (López-Martínez et al., 1995;

Summerbell, 1983; Tickle et al., 1982). It would be interesting to investigate the mechanism behind this phenotype further; in particular future work could elucidate whether constitutive Oct4 expression results in aberrant formation of a second ZPA at the anterior side of the limb bud or if it affects the way cells interpret the normal SHH gradient. Unfortunately, this is beyond the scope of this thesis, but such work could uncover interesting details relating to the combinatorial manner in which local signalling environments interact with transcriptional networks to make cell fate and patterning decisions in development.

The final phenotype we observed was the generation of small, vascularized buds of tissue on the exterior surface of roughly half of the chimeric embryos (Table 3.2). These structure typically contained blood within a complex structure of branched vessels (Figure 3.8c). It is not clear what these structures represent, nor whether they are connected to the embryonic vasculature or if they are a site of haematopoiesis. They were most often found growing in around the mouth.

### 3.3. Discussion

By working with cells constitutively expressing Oct4 at roughly an ESC level, we have demonstrated that Oct4, in combination with naïve-specifying environmental conditions, is sufficient to efficiently reprogram somatic cells to an iPSC identity. The property of reprogramming iPSCs to self-select for an ESC level of Oct4 (Radzisheuskaya et al., 2013) makes it trivial to generate a naïve cell line constitutively expressing Oct4 at this level from a transgene. Using this reagent we have confirmed the results of Radzisheuskaya et al. (Radzisheuskaya et al., 2013) that continued expression of Oct4 is compatible with exit from pluripotency (Figure 3.3 and Figure 3.6). Oct4 overexpression is sufficient to induce differentiation of naïve pluripotent stem cells *in vitro* (Niwa et al., 2000), so it is not entirely surprising that maintenance of Oct4 expression is compatible with differentiation *in vivo*; however, given the level-specific phenotypes that Oct4 demonstrates, with reduced Oct4 expression compromising differentiation both *in vitro* (Karwacki-Neisius et al., 2013; Radzisheuskaya et al., 2013) and *in vivo* (Radzisheuskaya et al., 2013), it is valuable to validate this finding. Notably, differentiation of pluripotent cells constitutively expressing Oct4 has been demonstrated in other systems *in vitro* and *in vivo*, but in these cases the transgene level was known to be significantly lower (Niwa et al., 2000; Ramos-Mejía et al., 2005) or far greater (Niwa et al., 2000) than that seen in ESCs. Additionally, it was introduced into cells already expressing endogenous Oct4, likely resulting in selection for greatly reduced transgene levels.

However, Radzisheuskaya *et al.* did not investigate the reprogramming capacity of differentiated fixed-Oct4 cells. It has previously been shown that overexpression of Oct4 can lead to reprogramming of somatic cells, especially under permissive conditions, though this typically takes 3-6 weeks (Kim *et al.*, 2009; Li *et al.*, 2011; Tsai *et al.*, 2011; Yuan *et al.*, 2011). In our permissive reprogramming experiments, cells were maintained in basal media containing ascorbic acid, 5-azacitidine and LIF for one week before switching to naïve growth conditions (supplementing the basal media with 2i); after five days we selected for activation of the endogenous Oct4 locus, and colonies emerged from all chimeric embryos. This compares favourably with Oct4-induced reprogramming in the presence of multiple chemical reprogramming factors (Li *et al.*, 2011; Yuan *et al.*, 2011).

However, it is remarkable that we observed the generation of iPSCs in the same short timespan in our restrictive reprogramming conditions; we cultured cells for one week in basal media with LIF, lacking any naïve specifiers or epigenetic modifiers, and again when we switched to naïve culture media we obtained lines with activation of the endogenous Oct4 locus within five days from 70% of our embryos (Table 3.1). In earlier experiments (not shown), we had assumed that addition of small molecules such as ascorbic acid and 5-azacitidine would be necessary to overcome the epigenetic barriers established during differentiation, and that Oct4 alone would only be able to reprogram a small fraction of cells over a protracted period in the absence of these factors, as has previously been observed (Kim *et al.*, 2009; Tsai *et al.*, 2011). Instead, it appears that when Oct4 is present at an ESC level it is capable of rapidly reverting cells to naïve pluripotency, requiring less than two weeks as opposed to the 4-5 weeks reported following retroviral transduction of Oct4 (Kim *et al.*, 2009).

It is important to note, however, that the constitutive expression of Oct4 could have affected the transcriptional and epigenetic state of these cells. This might have generated a state in which cells were more prone to reprogram. This is difficult to test, as it is not straightforward to allow cells to differentiate normally with endogenous gene expression, then remove the endogenous allele and transgenically express the gene at that specific level. An additional factor is that we are utilizing a secondary system, reprogramming cells that have already been reprogrammed once before. Typically, secondary systems have far greater reprogramming efficiencies than primary systems (Nagy, 2013). Ideally, to gauge the relative efficiency of reprogramming of fixed-Oct4 cells they should be directly compared to iPSCs that are wild-type with respect to Oct4 to control for this. This sort of experiment would also require accurate counts of starting cells, ideally isolated through FACS sorting to remove



wild-type host cells, and counts of generated colonies. In this way we could conclusively determine whether the fixed-Oct4 cells have an advantage in reprogramming.

It should be noted that cells required a period in media lacking 2i. While PGCs from the allantois reprogrammed efficiently to EGCs on direct application of N2B27-2iL, similar treatment did not result in the generation of any iPSC colonies from the anterior segment of our chimeras. This is indicative that they did not contain a small population of cells retaining pluripotency or any teratocarcinomas, which would be capable of outgrowth in 2iL conditions.

Interestingly, it has previously been reported that cells of the post-implantation epiblast lose the capacity to form primed pluripotent EpiSC cultures between E7.5 and E8.25, but exogenous Oct4 expression extends this window to E14.5, albeit with significantly reduced efficiency at later times (Osorno et al., 2012). In the work presented in this chapter, we dramatically expand on this, demonstrating that maintaining an ESC level of Oct4 with simple naïve-specifying culture conditions is sufficient to reprogram *in vivo* differentiated cells to naïve pluripotency.

We observed a range of interesting phenotypes in E12.5 chimeras (Table 3.2). In normal development, Oct4 is downregulated in most somatic cells during and soon after gastrulation (Osorno et al., 2012). However, some expression is observed broadly in the gastrulating embryo, particularly in the mesoderm until the 8 somite stage, at which point it becomes restricted to the germ lineage (Downs, 2008). Constitutive ubiquitous Oct4 expression in embryogenesis has been studied before (Ramos-Mejía et al., 2005), using a CAG promoter to drive Oct4 expression in all cells of the embryo. There are similarities and differences in the developmental abnormalities we observe, which may relate to differences in Oct4 expression level, or non-cell-autonomous interactions with the wild-type cells present in our chimeras.

At E9.5, Ramos-Mejía *et al.* identified a reduction in the size of the forebrain and midbrain due to increased cell death in Oct4-expressing embryos, with recovery to normal brain morphology by E12.5. The effect of Oct4 on the brain is dramatically different in our chimeric embryos. Although we have not quantitated brain sizes, in part due to the difficulty in identifying identically staged embryos, there was no obvious difference in the scale of the forebrain or midbrain between wild-type embryos and fixed-Oct4 chimeras at E8.5. However, at E12.5 many of our chimeric embryos showed gross expansion of the midbrain (Figure 3.8b and Table 3.2). It is interesting to note that deletion of Oct4 in

the post-implantation embryo at around E7.5 results in a diminished midbrain and greatly reduces cranial mesenchyme density (DeVeale et al., 2013); however, it was suggested that this was due to reduced proliferation in the primitive streak, where Oct4 expression normally persists at this time. This suggests that either expansion of the primitive streak continues for a longer time in our chimeric embryos, or that the expansion of the midbrain that we observe is due to a different mechanism. Furthermore, we observed a small number of exencephalic embryos at E12.5 (Table 3.2). While the exact cause here is unclear, exencephaly is typically the result of failure in anterior neural tube closure (Harris and Juriloff, 2007; Macdonald et al., 1989; Wallace et al., 1978); this could be related to the defective closure of the posterior neural tube observed by Ramos-Mejía *et al.* (Ramos-Mejía et al., 2005).

Ramos-Mejía *et al.* also found that E12.5 embryos were smaller overall, with failed development of digits and zeugopod elements in the limbs, a kinked tail, and a clear reduction in the mandibular arch resulting in a small lower jaw. The fixed-Oct4 chimeric embryos did not appear any smaller than their non-chimeric littermates. We did observe a dramatic phenotype in the limbs, similar to that seen previously. However, we noted highly variable penetrance, with many embryos displaying three normal limbs and a single defective limb, and a particular prevalence in forelimbs (Table 3.2). The defect also appeared to be variable, with some embryos appearing to duplicate the limb axis and others generating secondary limb outgrowths. We did not examine the tail in detail, but there was no obvious sign of posterior failure to close the neural tube, and no gross increase in the number of somites (Aires et al., 2016). Similarly, the structure of the jaw was not examined thoroughly, but was clearly greatly reduced in a single chimera (Table 3.2).

It is also interesting to compare our findings to the effects of ectopic Oct4 expression in adult mice. Previously, ES-derived and chimeric mice carrying a doxycycline-inducible Oct4 transgene have been studied (Hochedlinger et al., 2005). On application of doxycycline, Oct4 is expressed to varying levels in tissues throughout these animals, though not in the brain or testes. On induction of Oct4, mice rapidly developed dysplasia in the stomach, intestines and skin. Notably these tumours appears to derive primarily as a result of inhibition of the differentiation of adult stem cells in these tissues. Remarkably, on withdrawal of doxycycline these tissues rapidly returned to normal, with appropriate differentiation and no sign of teratomas. This suggests that in this context, Oct4 was incapable of reverting these cells to pluripotency. Nonetheless, it would be interesting to investigate whether a

similar block in terminal differentiation and exit from the cell cycle is responsible for the embryonic phenotypes we and Ramos-Mejía *et al.* observed.

It should be noted that in ongoing work I have derived NSC and MEF cell lines from our E12.5 fixed-Oct4 chimeras. This allows us to examine the gene expression profile of these somatic cells and compare them to lines derived from non-chimeric littermates, to generate a greater number of cells to test alternative reprogramming strategies, and to generate a useful resource for future work. In collaboration with Hannah Stuart, I have been working with these cells, and preliminary data suggest that under appropriate conditions it is possible to revert these MEFs to naïve pluripotency solely through manipulating their environment. This builds on our finding that somatic cells from E8.5 chimeras can reprogram. It demonstrates that cells in advanced stages of differentiation can be converted to naïve pluripotency in the presence of an appropriate level of Oct4, and it goes further towards demonstrating that there is not a small, undetected population of pluripotent cells maintained in these embryos as we are able to obtain iPSC lines from a relatively well defined somatic population.

The high contribution of fixed-Oct4 cells to chimeras demonstrates that an ESC level of Oct4 is not incompatible with advanced cellular differentiation, although clearly it interferes with signalling in some contexts. It is interesting to note that in the early embryo, Oct4 is present during each of the major differentiation / cell fate decision events. Maternally contributed Oct4 is present in the zygote, and zygotic Oct4 expression is initiated at around the 4-cell stage. Oct4 can be detected in all cells during the initial segregation of trophectoderm and ICM, though it has been shown that maternal zygotic Oct4<sup>-/-</sup> embryos successfully establish this compartmentalization (Le Bin *et al.*, 2014). Oct4 is then present in all cells of the ICM, and is required for maturation and segregation of the ICM into the naïve epiblast and primitive endoderm. Subsequently, Oct4 is present during the transition and differentiation from the apolar, naïve epiblast to polarized epithelium of the post-implantation epiblast. Studies have shown that Oct4 is required for the equivalent process *in vitro*, where knockout or knockdown of Oct4 prevents this forward progression (Niwa *et al.*, 2000; Radzisheuskaya *et al.*, 2013). Oct4 is still present during the initial stages of gastrulation as cells establish their prospective somatic fate, although the precise role of Oct4 at this point has not been established. Embryos in which Oct4 is deleted around the time of implantation suffer from disorganisation of the epiblast, with poor axis establishment (Mulas *et al.*, 2018), however. Together with the reprogramming data, this suggests

Oct4 plays an important role in facilitating transitions between different cellular identities rather than specifically acting to maintain the pluripotent state.

# Chapter 4: Loss of Oct4 reveals that it is both antagonistic to and necessary for expression of the naïve transcription factor network

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## 4.1. Introduction

Oct4 is known to be necessary for the maintenance of pluripotency *in vivo* and *in vitro*. In both cases, loss of Oct4 ultimately leads to exit from pluripotency and eventually cells take on characteristics of the trophoblast lineage, including expression of marker genes Cdx2, Pl-1 and Eomes, and adoption of trophoblast-like morphology (Nichols et al., 1998; Niwa et al., 2000; Velkey and O'Shea, 2003). Despite this, it is not clear precisely why Oct4 is essential to the naïve state; Oct4 is a highly promiscuous transcription factor, binding to thousands of sites in the genome (King and Klose, 2017; Simandi et al., 2016), and many of its target genes are known not to be essential for the maintenance of ESCs (Hall et al., 2009; Matoba et al., 2006; Zwaka, 2012). At the same time, no target has been identified that can rescue Oct4-loss driven differentiation through overexpression or knockout (Hall et al., 2009; Matoba et al., 2006). It therefore seems likely that differentiation upon loss of Oct4 is the result of misregulation of a number of genes rather than of any single critical target.

Nonetheless, existing models of the action of Oct4 suggest that Oct4 may act on a relatively defined set of targets. The limiting cofactor model suggests that a factor or complex that interacts with Oct4 is present in limiting quantities such that key pluripotency regulatory elements are active normally, but on Oct4 overexpression the cofactor is distributed more broadly resulting in inefficient activation of these important pluripotency genes. Meanwhile the see-saw model proposes that Oct4 is essential for the repression of ectodermal specifiers, counteracting the pro-neural differentiation influence of Sox2.

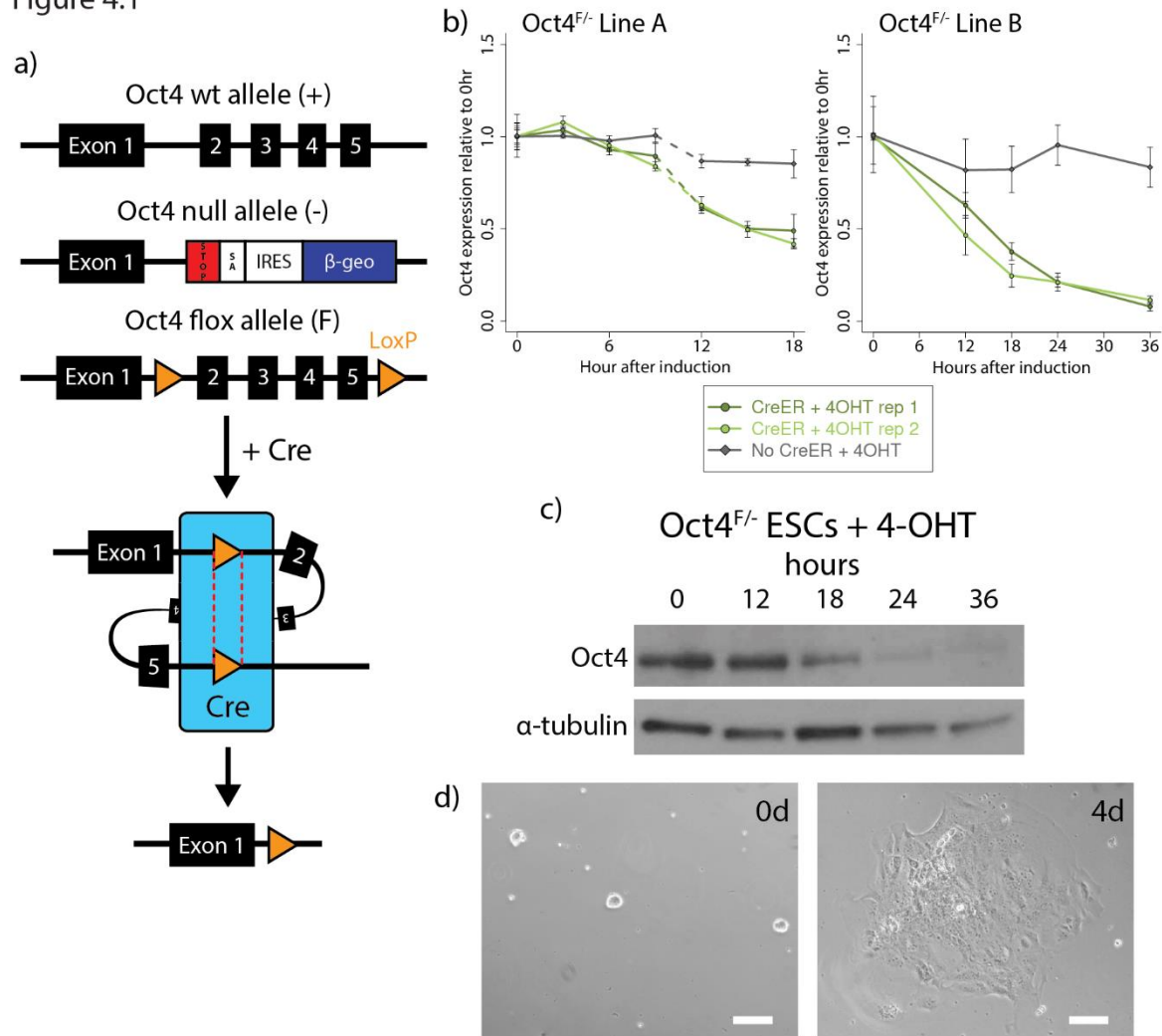
With this in mind, I set out to investigate early responses to loss of Oct4 in ESCs in order to determine the proximal cause of differentiation. I reasoned that later events would be the cumulative result of a large number of expression changes, while the direct targets of Oct4 responsible for initiating this cascade should be some of the most immediately affected by removing Oct4.

## 4.2. Results

### 4.2.1. Studying Oct4 depletion using a Lox-Cre system

Initially I decided to utilize a conventional conditional Lox-Cre system to study the effect of loss of Oct4 in ESCs. This system uses cell lines containing one floxed Oct4 allele (Oct4<sup>F</sup>) and one knocked out by targeted deletion (Oct4<sup>-</sup>) (Nichols et al., 1998) (Figure 4.1a), which I transfected with a construct for expression of CreER<sup>T2</sup>. In the absence of induction, the oestrogen receptor subunit maintains cytoplasmic localisation of the Cre recombinase, preventing it from interacting with the floxed Oct4 allele in the nucleus. When 4-hydroxytamoxifen (4-OHT) is added and binds to the oestrogen receptor subunit, CreER<sup>T2</sup> translocates to the nucleus and recombines LoxP sites, resulting in excision of the remaining Oct4 allele (Oct4<sup>F</sup> → Oct4<sup>-</sup>).

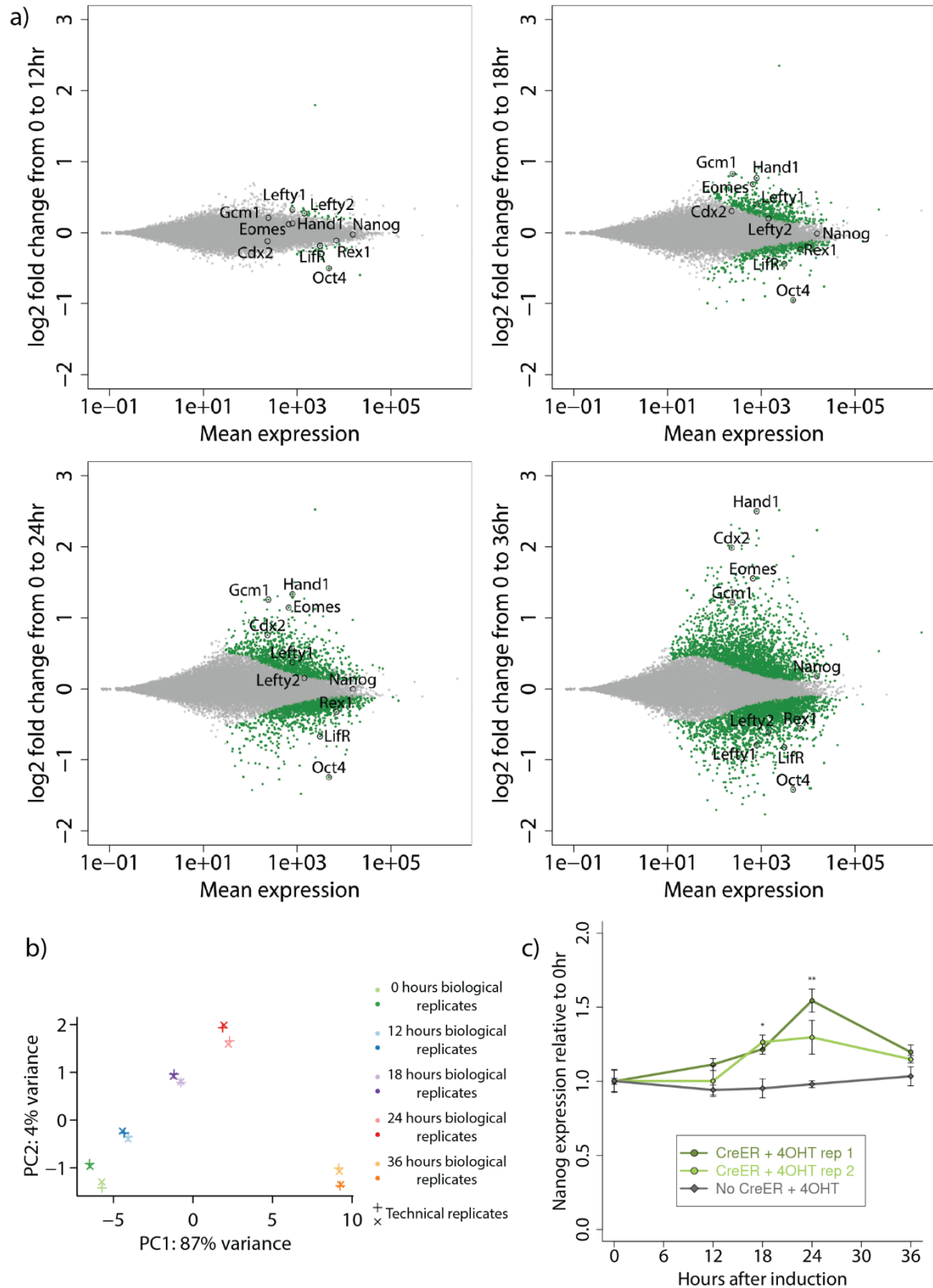
Figure 4.1

Figure 4.1 Oct4 is depleted from Oct4<sup>F/-</sup> cells following Cre activation

a) Schematic depicting the wild-type Oct4 allele, the Oct4 null  $\beta$ -geo knock-in allele (Oct4<sup>-</sup>), and the Oct4 floxed exon 2-3 allele (Oct4<sup>F</sup>) which recombines in the presence of Cre to yield an Oct4 <sup>$\Delta$ Ex2-5</sup> (Oct4<sup>-</sup>) allele. b) Comparison of Oct4 expression, measured by RT-qPCR, in Oct4<sup>F/-</sup> Line A and Line B following induction of Oct4 deletion by addition of 4-OHT. For each line, parental Oct4<sup>F/-</sup> cells that had not been transfected with a randomly integrating, constitutively expressed CreERT2 vector were used as a negative control. Two replicate timecourses are shown for each induction. Values represent the mean of three replicate qPCR reactions and error bars show  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalized to 0 hours for each sample. The dotted lines for Line A represent the fact that later timepoints were induced independently from the earlier timepoints and do not directly follow on in the same induction. Cells were maintained in N2B27-2iL. c) Western blot analysis of Oct4 protein in whole cell lysates from cell line B at time points following addition of 4-OHT. Cells were maintained in N2B27-2iL. d) Phase-contrast images of Oct4<sup>F/-</sup> ESCs before induction (left) and after 4 days with 4-OHT. Cells were maintained in naïve-specific N2B27-2iL and transitioned to differentiation-permissive SL on addition of 4-OHT to increase long-term survival. Scale bars represent 100 $\mu$ m.

After briefly characterizing cell lines from two genetic backgrounds, I found that one line (Line A, Figure 4.1b) typically only led to Oct4 excision in roughly 50% of cells. Since the second line (Line B, referred to as Oct4<sup>F/-</sup> ESCs hereafter) gave complete Oct4 depletion, I used this for all further work.

Figure 4.2

Figure 4.2 Analysis of transcriptional changes following loss of Oct4 in Oct4<sup>F/-</sup> ESCs

a) Analysis of global gene expression changes following addition of 4-OHT to Oct4<sup>F/-</sup> ESCs. MA plots showing each gene as a single point. The mean expression of each gene between 0 hours and the indicated timepoint is shown on the x-axis, and the log2 fold change is shown on the y-axis; positive values indicate an increase in expression from 0 hours to the indicated time point and negative values indicate a decrease. Genes showing a statistically significant change (adjusted P-value < 0.05) are highlighted in green. A subset of genes relevant to naïve pluripotency,



trophoblast identity and loss of Oct4 are labelled. Expression normalization and statistical analysis were performed using DESeq2, see Materials and Methods for more details. Number of genes significantly different (adjusted P-value < 0.05) between 0 hours and 12 hours: 55; between 0 hours and 18 hours: 1059; between 0 hours and 24 hours: 2732; between 0 hours and 36 hours: 5233. b) Principal component analysis (PCA) showing the variance between RNA-seq datasets prior to collapsing technical replicates. Note that for each sample there are two biological replicates, and two technical replicates for each of these. PCA performed using DESeq2. c) RT-qPCR analysis of Nanog expression in Oct4<sup>F/-</sup> ESCs following addition of 4-OHT. For each line, parental Oct4<sup>F/-</sup> cells that had not been transfected with a randomly integrating, constitutively expressed CreERT2 vector were used as a negative control. Two replicate timecourses are shown for each induction. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalized to 0 hours for each sample. Cells were maintained in N2B27-2iL to minimize perturbation.

Loss of Oct4 protein in these cells is gradual, and is completed within ~36 hours of addition of 4-OHT.

Four days after induction, cells began to show morphological changes, resembling trophoblasts then more differentiated trophectodermal cells (Figure 4.1d). I carried out a timecourse over early timepoints after loss of Oct4 in an attempt to identify important direct targets of Oct4. I decided to analyse this data using high throughput RNA-seq methods in order to see global changes in gene expression levels with greater reliability and coverage than traditional microarray approached. Note, principal component analysis of the normalized data prior to collapsing technical replicates shows little variance between technical replicates indicating that there were no problems with the libraries, and little variance between biological replicates indicating the robustness of these changes (Figure 4.2b). Additionally, the principal component of variance maps well with progression in time. As Figure 4.2a shows, in the earliest stages the expression of very few genes changes; within 12 hours of addition of 4-OHT, 55 genes show a statistically significant change in expression. However, few of these are obvious candidates for factors essential to naïve pluripotency. One fifth are general metabolic enzymes with no specific link to pluripotency, which could be responses to the treatment rather than changes in Oct4. A small number of receptors, ligands and transcription factors show expression changes which may imply their regulation by Oct4 in pluripotency. For instance Lefty1 and Lefty2 are upregulated and Fgf4 and Lifr are downregulated on loss of Oct4; direct regulation of the Lefty1 and Fgf4 loci by Oct4 binding have been described before (Dailey et al., 1994; Nakatake et al., 2006; Niwa et al., 2002; Yuan et al., 1995), though Oct4 has previously been reported to promote Lefty1 expression. However, none of these are essential in ESCs. One essential factor downregulated in these cells is G2e3, an E3-ubiquitin ligase. Knockout embryos fail at the blastocyst stage, though this is due to extensive apoptosis rather than trophectodermal differentiation (Brooks et al., 2008).

18 hours after induction, other 1000 genes are differentially expressed. By this point it is impossible to identify any likely key targets over all of the other factors that are changing. That said, it is noteworthy

that several important trophoctoderm-associated transcripts are significantly upregulated, including *Gcm1*, *Hand1* and *Eomes*, while expression of several key pluripotency genes like *Nanog* remain unchanged (Figure 4.2a). This could suggest that dismantling of the naïve network is a consequence of de-repression of the extraembryonic transcriptional network. By 36 hours, when the majority of Oct4 is finally lost at the protein level (Figure 4.1c), over 5000 genes are differentially expressed. At this time, *Lefty1* and *Lefty2* are downregulated, as the literature suggests they should be in the absence of Oct4 (Nakatake et al., 2006; Niwa et al., 2002). Surprisingly, however, *Nanog* expression is increased in these cells (Figure 4.2a), even as they begin to exit pluripotency. This was validated by RT-qPCR, where *Nanog* expression could be seen to increase as Oct4 protein levels were decreasing (Figure 4.2c).

Direct comparison to the work of Hall et al. (Hall et al., 2009), in which transcriptional repression of Oct4 was used to studying downstream changes, shows similar overall trends. A large amount of variance between the two datasets can be seen in a principal component analysis (Figure 4.3a), likely due to multiple factors such as the use of different cell lines, different growth conditions, RNA treatment and measurement of expression. Despite this, the two systems show very similar trends across the second principal component of variance (Figure 4.3a). Notably, however, progression along the second principal component is more continuous in the Oct4 deletion dataset presented here, whereas the Hall et al. dataset shows a shows less robust separation of earlier timepoints and a large jump to the final timepoint.

As expected, examining the contributions of differentially expressed genes to the principal component analysis shows that timepoints are separated by expression of pluripotency- vs differentiation-associated genes (Figure 4.3b). Comparing the differentially expressed genes in each system, there is a lot of overlap; around a half of the genes that show statistically significant variation in the gene deletion system are also differentially expressed in the transcriptional inhibition system (Figure 4.3c). Oddly, a very large number of genes are differentially expressed even at the earliest timepoint in the Hall et al. dataset, despite there being only a minor change in Oct4 protein level at this time; this agrees with the analysis performed in Hall et al. On the other hand, in the Oct4 deletion dataset there is a gradual accumulation of differentially expressed genes. It is unclear why this is the case, and this is not explained by Hall et al. It may be that there are a number of changes early in the timecourse relating to the induction procedure rather than the downregulation of Oct4, but picking this apart would require a greater amount of data to be generated from control lines by both Hall et al. and myself.

Figure 4.3

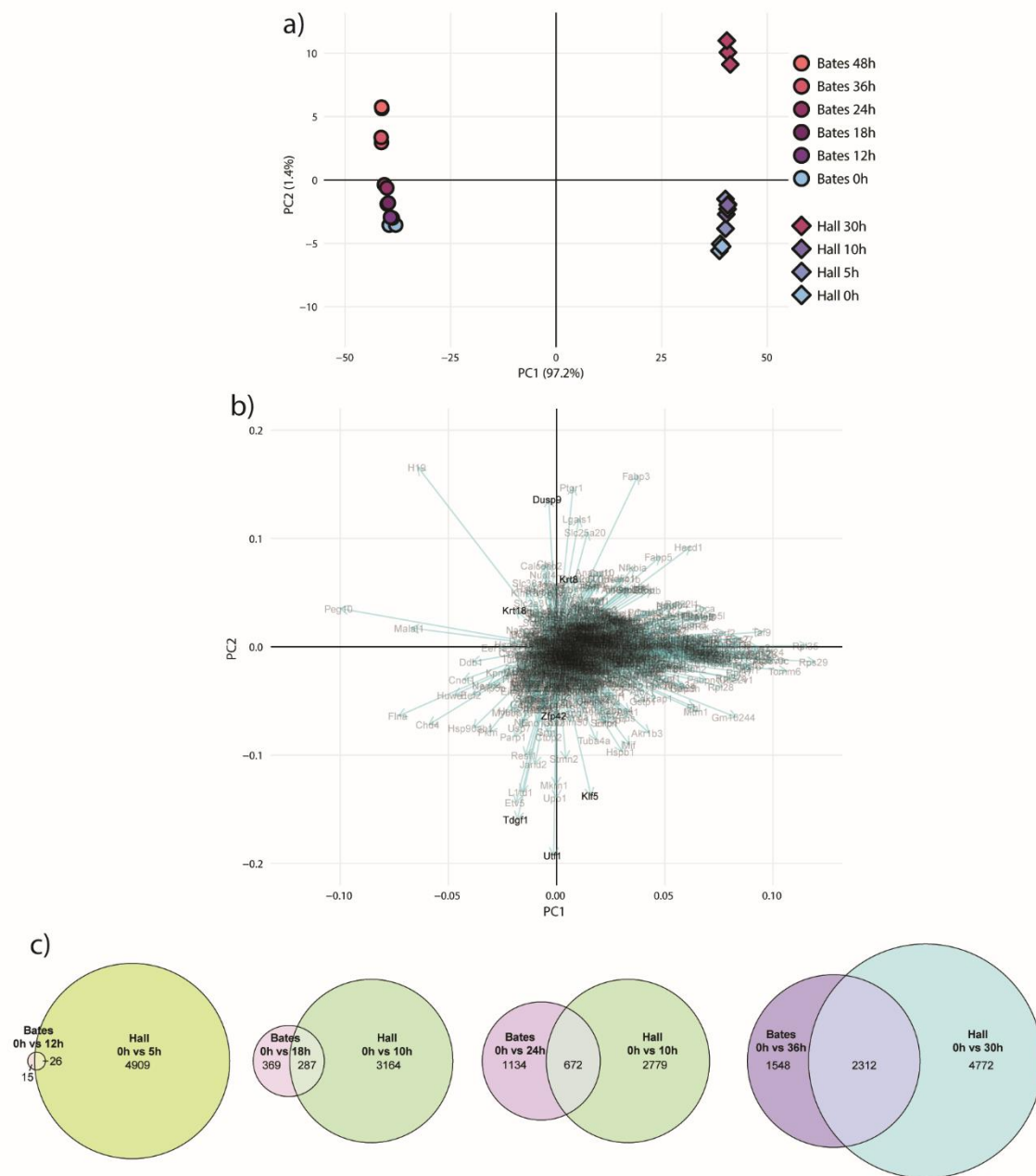


Figure 4.3 Comparison of transcriptional changes following loss of Oct4 in Oct4<sup>F/-</sup> ESCs vs Oct4 TetOFF ESCs. Comparison of the transcriptional data from Figure 4.2 and that generated by Hall et al. (Hall et al., 2009). a) Principal component analysis of both datasets. Gene annotations for both datasets were converted to Ensembl IDs to allow data to be combined. The top 1000 most variable genes across the complete dataset were normalised with DESeq2 using the variance stabilizing transform, and these values were used to generate the principal components. b) Plot of the loadings for the 1000 genes used to generate the principal components shown in a. Several pluripotency- and differentiation-associated genes are highlighted. c) Euler plots showing the number and overlap of genes showing significantly different expression (adjusted P-value < 0.01) in the two systems at different timepoints.

Despite the similarities between my results and those of Hall et al., these results were somewhat counterintuitive. Some gene expression changes were contrary to published data, and they did not provide any clear clues as to the essential targets of Oct4. However, I noticed that sustained, and even

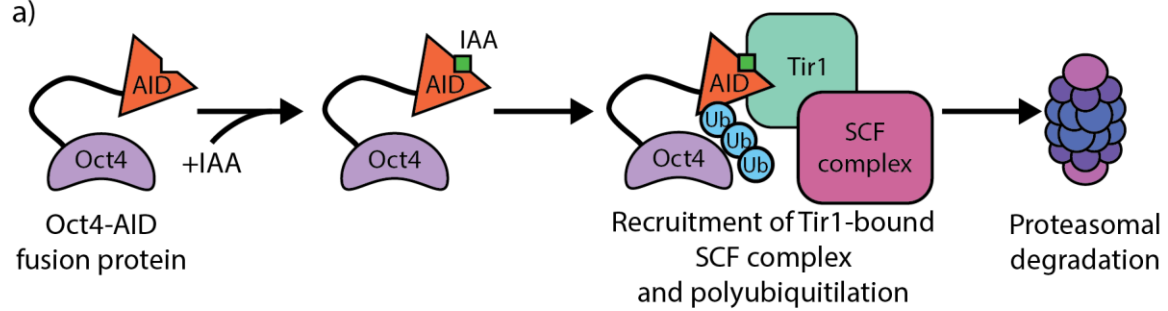
upregulated, Nanog expression is a feature of Oct4-low cells (Karwacki-Neisius et al., 2013; Radziskeuskaya et al., 2013). It therefore seemed plausible that the unexpected transcriptional changes could be a result of cells transiently passing through an Oct4-low state rather than transitioning directly from a wild-type to a null identity. This is also suggested by the protracted period over which Oct4 protein is lost (Figure 4.1c). The half-life of OCT4 is variously stated at 2-12 hours (Buckley et al., 2012; Lin et al., 2012; Saxe et al., 2009; Wei et al., 2007; Yao et al., 2014), with post-translational modification such as ubiquitilation and sumoylation having a significant impact on the stability of the protein (Wei et al., 2007; Yao et al., 2014). As a result, it is not trivial to study the immediate impact of loss of Oct4 on target genes.

#### 4.2.2. Establishing a novel system to study the immediate effects of loss of Oct4

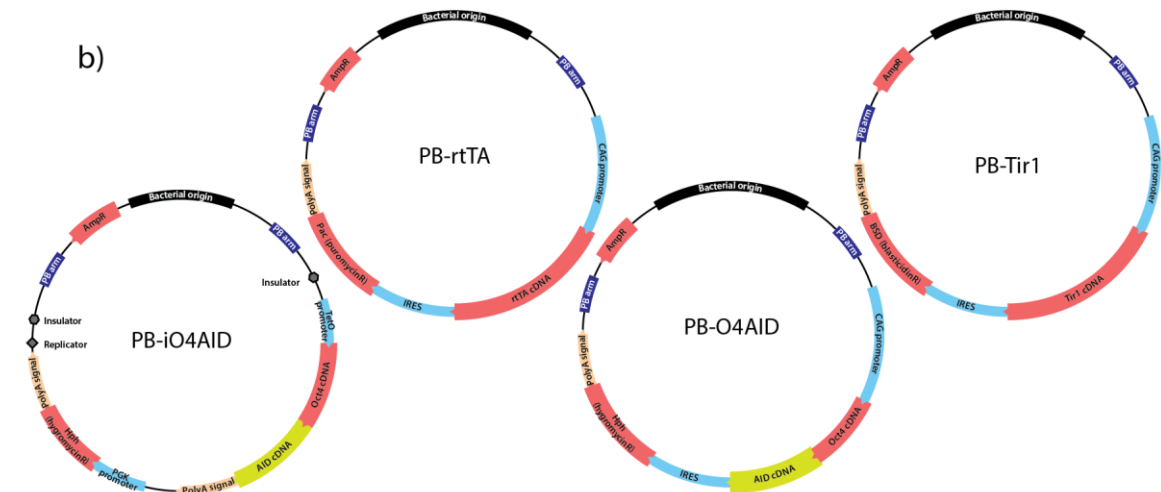
In order to avoid issues with gradual protein decay, I decided to establish a system for depleting Oct4 via conditional proteasomal degradation. The auxin-inducible degron (AID) is a domain found in some auxin-responsive plant proteins (Abel and Theologis, 1996) which, on co-binding the small molecule auxin (IAA), binds the SCF ubiquitin ligase complex (Dharmasiri et al., 2005; Kepinski and Leyser, 2005; Tan et al., 2007). On polyubiquitilation, the AID-containing protein is destroyed by the proteasome. The SCF complex is functionally conserved across eukaryotes (Deshaies, 1999), with expression of different F-box proteins providing spatio-temporal specificity through direct interactions with targets (Skowyra et al., 1997). IAA-bound AID is recognised by the plant-specific F-box protein Tir1 (Gray et al., 1999; Ruegger et al., 1998). Exogenous expression of this protein in mammalian cells allows targeted degradation of any fusion protein containing the AID domain by adding auxin to the culture medium (Holland et al., 2012; Nishimura et al., 2009).

Figure 4.4

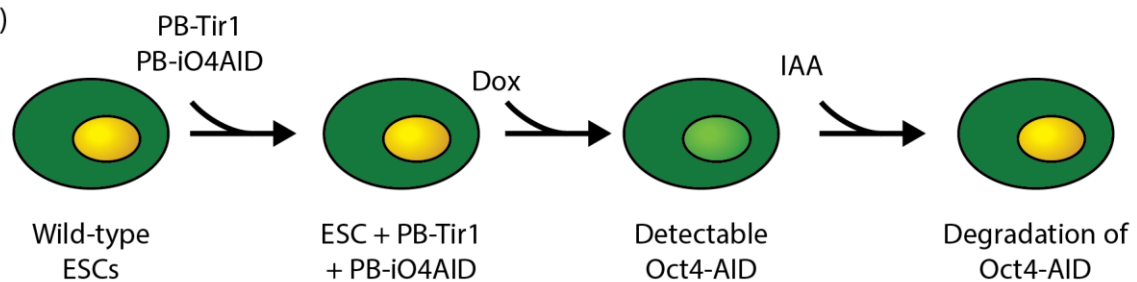
a)



b)



c)



d)

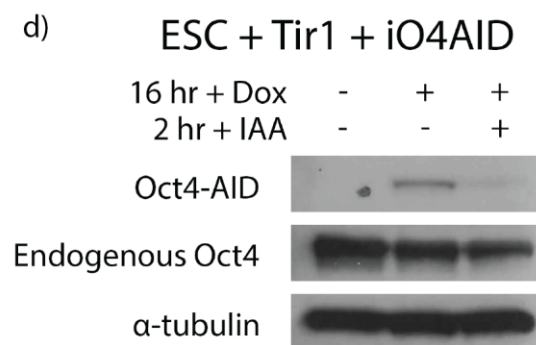


Figure 4.4 Designing and testing a degradable Oct4-AID fusion protein

a) Oct4 is expressed as a fusion protein with the AID domain. When IAA is present, it binds to the AID domain and transgenic Tir1 protein, resulting in polyubiquitilation of Oct4-AID by the endogenous SCF complex. This ubiquitilation results in proteasomal degradation of Oct4-AID. b) Plasmid maps of pPB-CAG-rtTA-IRES-Pac (PB-rtTA), pPB-CAG-NLS-m.OsTir1-IRES-bsd (PB-Tir1), pPB-TRE-O4AID-PGK-Hph (PB-iO4AID), and. c) Schematic for testing degradation of the Oct4-AID fusion protein. Wild-type ESCs were transfected with constructs for Tetracycline response element (TRE) Oct4-AID and doxycycline- (dox-) activated rtTA (together iO4AID) and the F-box protein Tir1. After selection for transgene integration, dox was added to the culture to induce expression of Oct4-AID. While Oct4-AID was induced, IAA was added to cause proteasomal degradation. d) Western blot analysis of Oct4-AID and wild-

type Oct4 in uninduced, dox induced, and dox + IAA induced ESCs previously transfected with iO4AID and Tir1.  $\alpha$ -tubulin is shown as a loading control. Dox was added for a total of 16 hours, IAA was added for the final 2 hours. Induction and partial depletion of Oct4-AID fusion protein can be seen.

I designed a construct for the expression of an Oct4-AID C-terminal fusion protein. When co-expressed with Tir1, this should result in rapid depletion on addition of IAA (Figure 4.4a). To test that the AID domain was functional in the fusion protein, I cloned the construct into a Piggybac tetracycline response plasmid to achieve efficient random genomic integration and inducible expression (Figure 4.4b). I transfected this, alongside constitutively expressed Tir1 and rtTA (a transcription factor that activates transcription downstream of a tetracycline response element (TRE) in the presence of doxycycline (dox)) Piggybac vectors, into wild-type mouse ESCs, generating inducible Oct4-AID ESCs (iO4AID ESCs). After selecting for transgene integration, I treated cells with dox to induce expression of Oct4-AID, then added IAA to induce its degradation. As a fusion protein, Oct4-AID has a higher molecular weight than wild-type Oct4, so the two proteins can be readily separated by SDS polyacrylamide gel electrophoresis (SDS-PAGE). Western blot analysis using antibodies specific to the N-terminus of Oct4 shows that the fusion protein is expressed on addition of dox, and largely depleted in the presence of IAA (Figure 4.4c).

Since I had confirmed the degron to be functional, I needed to test that Oct4 was not compromised within the fusion protein. Since Oct4 is essential for the maintenance of pluripotency I decided to confirm this by expressing Oct4-AID in ESCs lacking wild-type Oct4. A TetOFF-Oct4 cell line (ZHBTc4, Oct4<sup>-/-</sup> TetOFF-Oct4 hereafter) has previously been established through multi-stage genetic manipulation of CGR8 mouse ESCs (Niwa et al., 2000). Both endogenous Oct4 alleles are knocked out in this line. They constitutively express tTA and contain TetOFF controlled Oct4 expression driven from a TRE; on addition of dox, tTA dissociates from the promoter and transcription stops. Functional Oct4 is required for the maintenance of ESCs, and non-functional transgenes cannot rescue self-renewal on dox-induced inhibition of wild-type Oct4 in these cells (Niwa et al., 2002), allowing them to be used in a complementation assay. I transfected these cells with a constitutively expressed Piggybac Oct4-AID and Tir1 constructs to generate Oct4<sup>-/-</sup> TetOFF-Oct4 Oct4-AID ESCs (Oct4-AID ESCs hereafter) and maintained cells in the presence of dox to inhibit expression of wild-type Oct4 (Figure 4.5a). Cells continued to proliferate and showed normal morphology (Figure 4.5b). Cells expressed the fusion protein with no detectable wild-type Oct4 present (not shown), and did not show substantially altered expression of key pluripotency genes

(Figure 4.5c). This demonstrates that the Oct4 fusion protein retains its original capacity to maintain naïve pluripotency and is not substantially altered in its function by the addition of the AID domain. Finally, I tested that the degron was functional in the context. Where the parental Oct4<sup>-/-</sup> TetOFF-Oct4 cells downregulated Oct4 after around 3 hours, losing expression 4 hours after induction, OCT4-AID protein was almost undetectable within one hour of addition of IAA (Figure 4.5d).

Figure 4.5

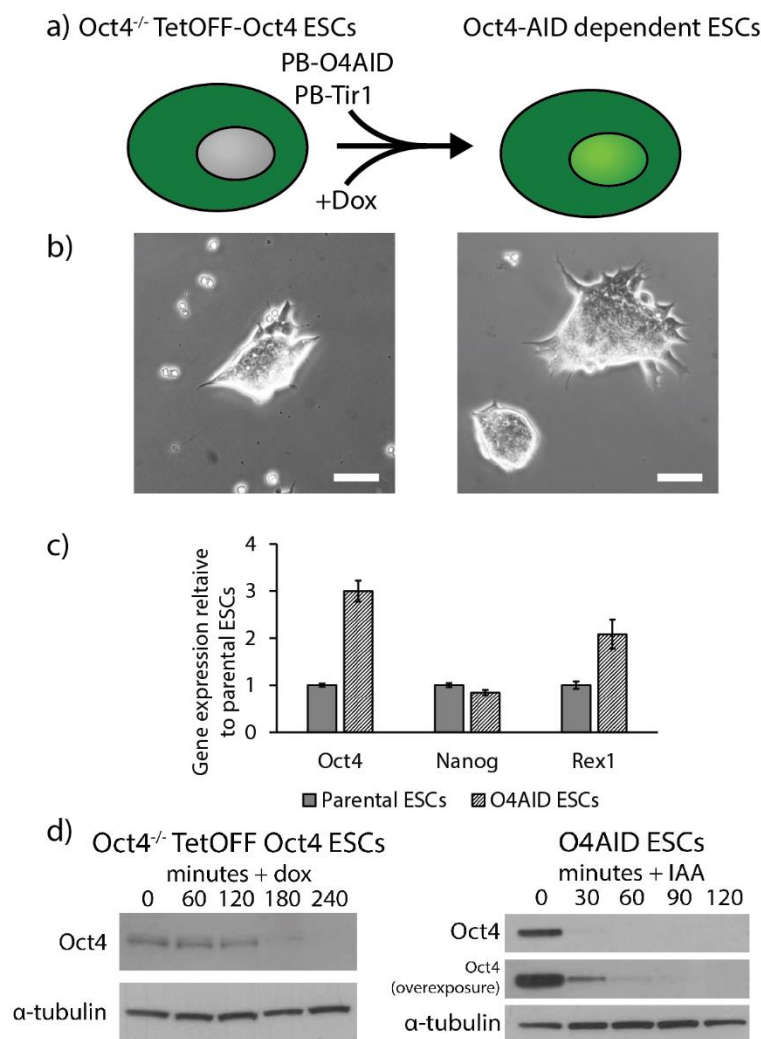


Figure 4.5 Establishing Oct4-AID dependent ESCs (Oct4-AID ESCs)

a) Schematic showing strategy to generate Oct4-AID dependent ESCs. Oct4<sup>-/-</sup> TetOFF-Oct4 ESCs constitutively express transgenic Oct4, but Oct4 expression is completely abrogated in the presence of dox. These cells were transfected with a randomly integrating piggyBac vector for constitutive expression of the Oct4-AID fusion protein and subsequently maintained in medium containing dox at all times. b) Phase contrast images of Oct4<sup>-/-</sup> TetOFF-Oct4 ESCs (left) and Oct4-AID ESCs (right). Note that cells maintain a dome-shaped morphology, with similar amounts of spontaneous differentiation between the two lines. Scale bar represents 50µm. Cells were maintained in N2B27-2iL. c) RT-qPCR analysis of expression of Oct4, Nanog and Rex1 in parental Oct4<sup>-/-</sup> TetOFF-Oct4 ESCs and Oct4-AID ESCs. Values represent the mean of three replicate qPCR reactions and error bars represent ± SD; values were calculated by the ΔΔCt method using the housekeeping gene Gapdh as a reference gene and normalized to parental ESCs. Cells were maintained in N2B27-2iL. d) Western blot analysis of Oct4 levels following depletion from Oct4<sup>-/-</sup> TetOFF-Oct4 ESCs by addition of dox (left) and Oct4-AID ESCs by addition of IAA (right).

To further validate the function of Oct4 within the fusion protein, I decided to generate iPSCs, null for endogenous Oct4 and therefore wholly reliant on the Oct4-AID transgene for their maintenance. As a starting point, I took the Oct4<sup>-/-</sup> NSCs used by Aliaksandra Radzisheuskaya to generate fixed-Oct4 cells used in Chapter 3, which had been made by Cre treatment of Oct4<sup>F/-</sup> NSCs (with the same knockout strategy shown in Figure 4.1a). I transfected these cells with constitutively expressed Piggybac Oct4 or Oct4-AID and transduced them with retroviral Oct4, Klf4 and cMyc. After a period of outgrowth, cells initiated reprogramming and generated intermediate pre-iPSCs (Silva et al., 2008; T. W. Theunissen et al., 2011). I replated these highly proliferative cells in naïve-specific conditions. One of the null Oct4 alleles has a  $\beta$ -geo cassette knocked in which is driven by the endogenous Oct4 regulatory elements. I used this geneticin resistance to select for cells that had activated transcription from this locus in order to ablate non-reprogramming cells. As Figure 4.6b shows, colonies with a domed, iPS-like morphology were readily obtained using either wild-type or Oct4-AID constructs. Pre-iPS reprogramming intermediates showed strong expression of retroviral transgenes, but the pluripotency gene Nanog was not present (Figure 4.6c). In the fully reprogrammed iPSCs, Nanog was robustly expressed while retroviral transgenes were efficiently silenced (Figure 4.6c). The ability of the Oct4-AID transgene to maintain pluripotency in this clean Oct4 knockout background demonstrates that the essential function of Oct4 is maintained. It should also be possible to reprogram cells with Oct4-AID in the absence of any wild-type Oct4 if the fusion construct fully maintains the functionality of the untagged protein; unfortunately this has not been tested thoroughly. In a preliminary experiment in which Oct4<sup>-/-</sup> NSCs were transfected with wild-type Oct4 or Oct4-AID and transduced with retroviral Klf4 and cMyc, neither were able to generate fully reprogrammed iPS lines. All cells collapsed in 2iL under selection with either form of Oct4. This could indicate that the level of Oct4 used was not appropriate for complete somatic cell reprogramming or that retroviral transduction was inefficient. Since the positive control failed to reprogram in this early work, further experimentation will be required to determine the capacity of Oct4-AID to perform in this role. The generated Oct4-AID iPSCs did not display detectable endogenous Oct4 (Figure 4.6d), while the Oct4-AID fusion protein was robustly expressed as in the Oct4-AID ESCs. Again, I checked that the AID domain was functional, and indeed Oct4 was almost undetectable within 2 hours of IAA treatment.



Figure 4.6

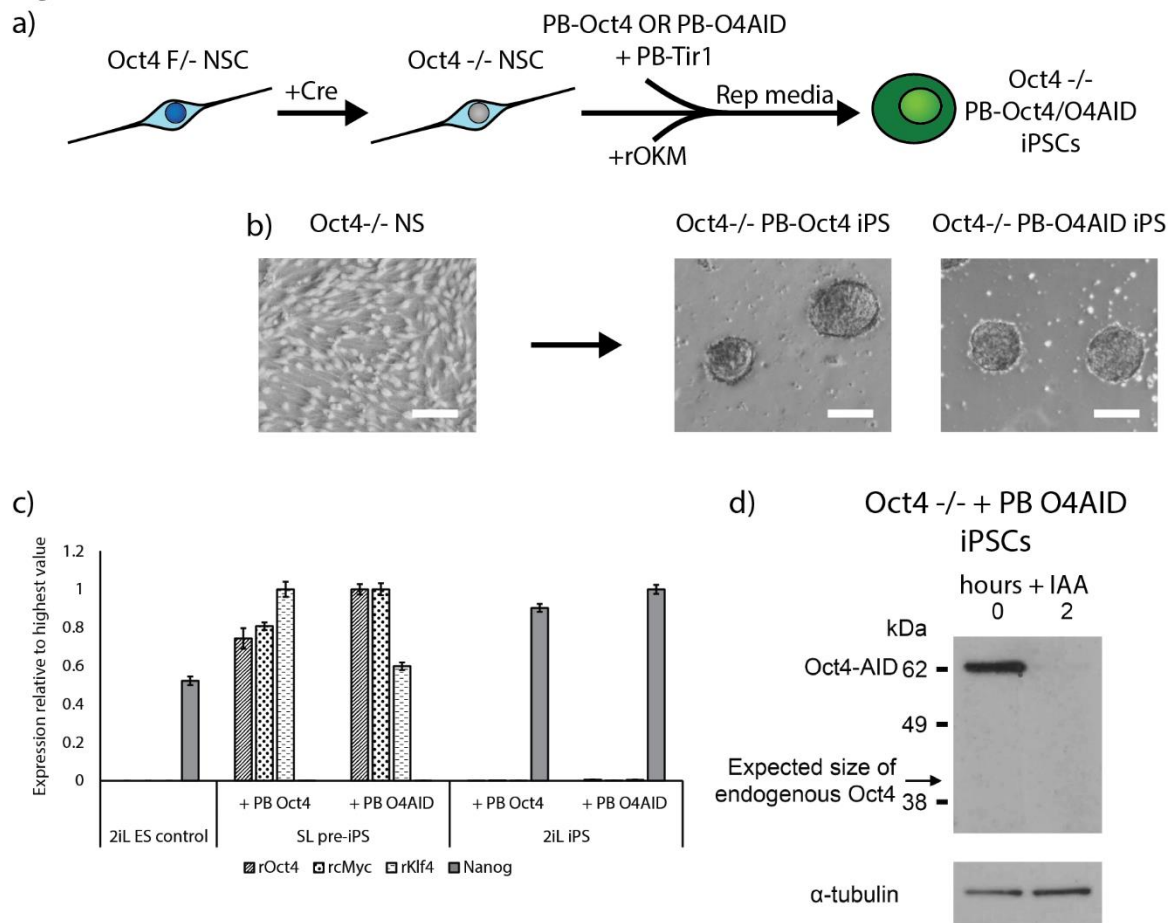


Figure 4.6 Establishing Oct4-AID dependent iPSCs (Oct4-AID iPSCs)

a) Schematic of the approach taken to generate iPSCs dependent on the Oct4-AID construct. Previously, Oct4<sup>F/-</sup> NSCs were derived and the remaining Oct4 allele was removed via Cre treatment by Aliaksandra Radzishchanskaya. Cells were transfected with a randomly integrating piggyBac vector containing constitutively expressed wild-type Oct4 or the Oct4-AID fusion protein. Subsequently cells were transduced with retroviruses encoding Oct4, Klf4 and cMyc transgenes. Cells were transitioned to SL conditions for several days until pre-iPSC reprogramming intermediates emerged. Cells were subsequently passaged into reprogramming media (KSR-2iL) until colonies with an iPSC morphology were identifiable, at which point selection for activation of the endogenous Oct4 locus was applied. Once stable iPSC lines were established, cells were transitioned to naïve-specific N2B27-2iL. b) Initial NSCs (left) successfully reprogrammed with either Oct4 or Oct4-AID constructs, yielding iPSC lines with normal morphology (right) that could be serially passaged and survived selection for active transcription from the endogenous Oct4 locus. Scale bars represent 100µm. c) RT-qPCR analysis demonstrating complete silencing of retroviral transgenes and expression of the pluripotency marker Nanog at levels equivalent to wild-type ESCs. Taqman assays specific to the retroviral Oct4, Klf4 and cMyc transgenes (rOct4, rKlf4, rcMyc) were used to distinguish retroviral expression from endogenous expression. Pre-iPSC intermediates robustly express retroviral transgenes but have not yet activated the naïve transcriptional network. Transition to mature iPSC state is marked by silencing of retroviral transgenes and expression of markers of naïve pluripotency. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalized to the sample with greatest expression of each gene. d) Western blot analysis of Oct4-AID iPSCs showing expression of the fusion protein independent of the wild-type protein, and efficient depletion on addition of IAA.

#### 4.2.3. Using rapid depletion to probe the essential function of Oct4 in ESCs

With this system that allows rapid depletion of Oct4 at the protein level, I planned to identify the essential role of Oct4 in ESCs. While existing systems did not show full depletion of Oct4 until long after transcriptional changes were already evident, the Oct4-AID system achieves complete loss of Oct4 in such a short space of time that I expected cells to avoid passing through the confounding Oct4-low state. I therefore hoped that this system would allow me to identify early transcriptional changes caused by Oct4 deletion that correspond to essential targets of Oct4 in the maintenance of naïve pluripotency.

I performed qRT-PCR analysis of expression of several pluripotency genes in a timecourse following acute depletion of Oct4 in these ES and iPSCs. Many naïve-associated factors were rapidly downregulated on loss of Oct4 (Figure 4.7a-b). While some of these downregulated genes, such as *Nr0b1*, were repressed relatively rapidly in Oct4<sup>F/-</sup> ESCs on genomic ablation of Oct4, others such as *Nanog* appeared to be actively maintained in the slower system. This shows that there are important differences between reducing Oct4 levels and removing Oct4 entirely in terms of transcriptional responses. Examining several trophoblast-associated genes confirmed that these cells exit pluripotency following loss of Oct4 and differentiate towards trophoctodermal lineages (Figure 4.7a-b), in keeping with conventional Oct4 depletion systems.

Figure 4.7

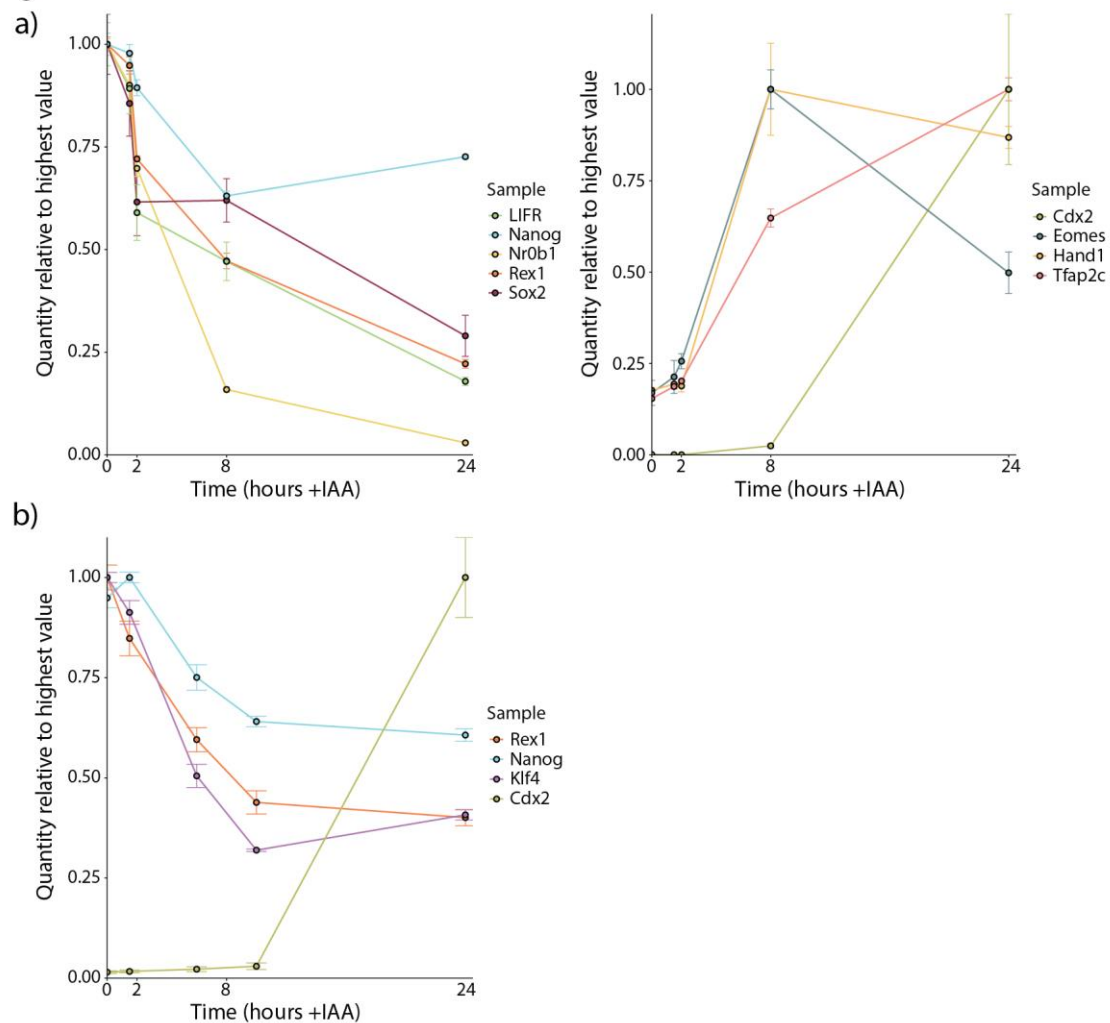


Figure 4.7 Profiling transcriptional changes in Oct4-AID ESCs and iPSCs following depletion of Oct4

a - b) RT-qPCR analysis of several pluripotency factors immediately following Oct4 depletion by addition of IAA in a) Oct4-AID ESCs and b) Oct4-AID iPSCs. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta C_t$  method using the housekeeping gene Gapdh as a reference gene and normalized to 0 hours. Cells were maintained and induced in naïve-specific N2B27-2iL conditions to minimize perturbations.

Global transcriptional changes were examined by RNA-sequencing, though only a single sample for each timepoint was analysed. Consequently, statistical and advanced bioinformatics analysis is impossible. A conservative analysis suggests that cells do not undergo conventional exit from pluripotency on loss of Oct4. In typical differentiation from naïve pluripotency cells begin to lose naïve-specific gene expression, but maintain expression of general pluripotency genes such as Sox2 and Tdgf1 (Brons et al., 2007; Kalkan et al., 2017; Tesar et al., 2007). Coincident with this is upregulation of early post-implantation genes, which are upregulated in primed pluripotent EpiSCs relative to naïve ESCs (Brons et al., 2007; Hayashi et al., 2011; Kalkan et al., 2017; Tesar et al., 2007). Subsequently, lineage markers are upregulated (Han et al., 2010; Joo et al., 2014; Kojima et al., 2014), prior to loss of pluripotency gene expression and lineage commitment. However, in the case of

differentiation induced by loss of Oct4, we observe concurrent loss of both naïve-specific and general pluripotency gene expression (Figure 4.8a). There is no notable increase in expression of markers of primed pluripotency or of the early post-implantation epiblast. Less surprisingly, subsequent upregulation of embryonic lineage markers never occurs either. Instead, we observe upregulation of extraembryonic genes, particularly trophoblast-associated transcripts (Figure 4.8a), though the earliest changes remain the rapid downregulation of specific naïve transcription factors. Comparing global transcriptional changes between the genetic ablation system and induced protein degradation system, it is clear that cells follow the same trajectory of transcriptional change, indicating that the overall pattern of differentiation is comparable (Figure 4.8b). However, the AID system yields far more rapid changes.

Figure 4.8

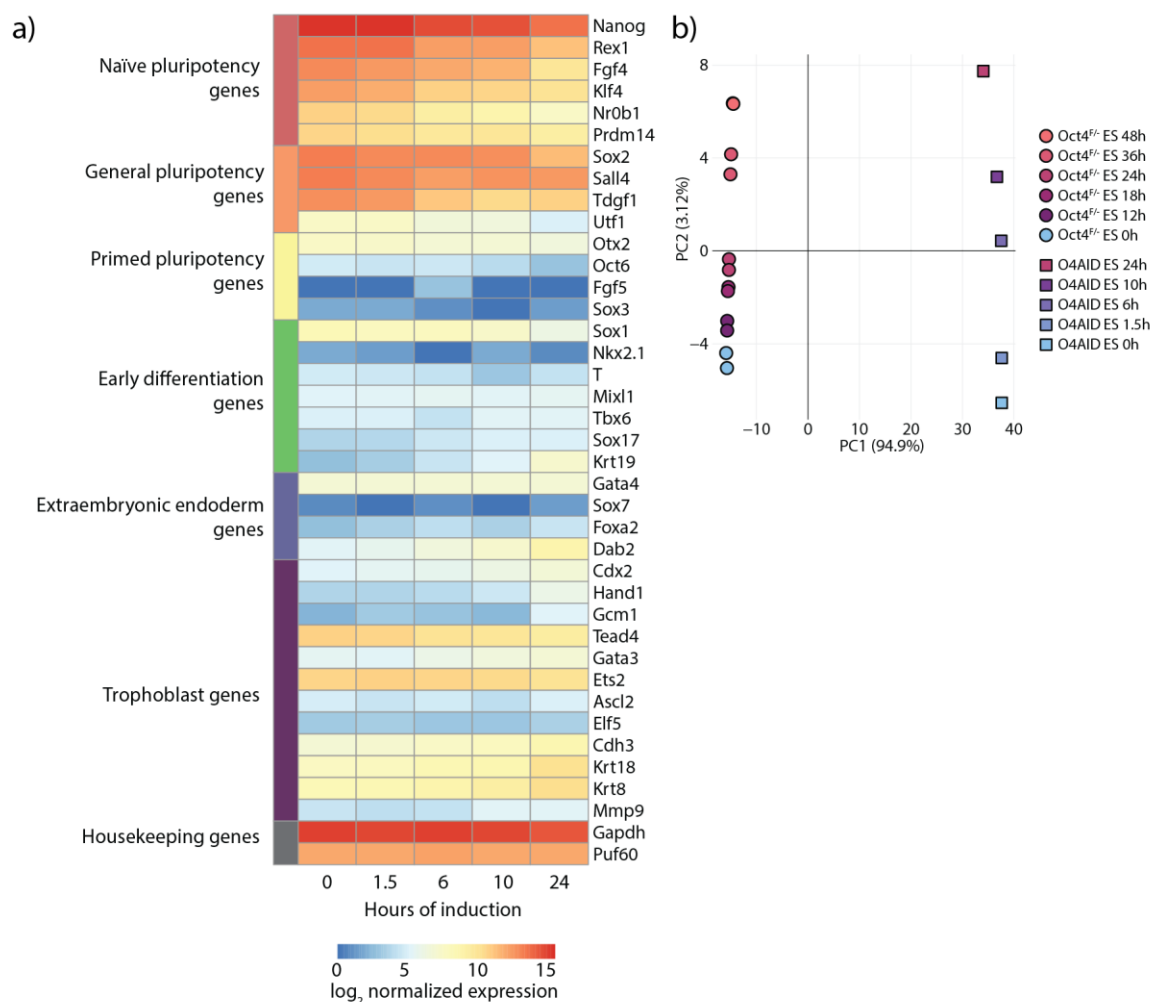


Figure 4.8 Global transcriptional changes in Oct4-AID ESCs reveals non-conventional exit from pluripotency

a) Heatmap showing expression of genes representative of several cell identities in Oct4-AID ESCs over a timecourse following addition of IAA. Intensities represent  $\log_2$  normalized expression calculated from RNA-seq data using DESeq2. There is a single replicate per timepoint. Note that unlike descriptions of conventional exit from pluripotency,

there is no increase in expression of primed pluripotency genes coincident with the decrease in expression of naïve pluripotency expression. Instead, expression of a subset of trophoblast markers genes are upregulated. b) PCA plot showing variance among and between samples from Oct4<sup>F/-</sup> ESCs in Figure 4.2 and Oct4-AID ESCs following Oct4 deletion.

#### 4.2.4. Studying the role of Oct4 in the binding of other transcription factors to DNA

Many pluripotency-associated transcription factors bind to a large number of enhancer elements throughout the genome (Kim et al., 2008; Loh et al., 2006), including many genes that are not actively transcribed in ESCs. To explain this phenomenon, it has been suggested that binding of several transcription factors may be required for the activation of genes in naïve cells. This is supported by the fact that many active loci in ESCs display binding of multiple pluripotency factors at their enhancer elements (Chen et al., 2008; Göke et al., 2011). With this in mind, it has been hypothesised that a key role of Oct4 may be to recruit other transcription factors to pluripotency genes (King and Klose, 2017), either through direct interactions or by creating a less restrictive chromatin environment through epigenetic modifications.

It has been found that Oct4 and Sox2 form a complex on DNA (Fong et al., 2011; Kuroda et al., 2005; Lam et al., 2012; Merino et al., 2014), and bind to their respective motifs in a cooperative manner (Ambrosetti et al., 2000, 1997; Reményi et al., 2003; Tokuzawa et al., 2003). Oct4 has also been shown to form complexes with Nanog (Liang et al., 2008; Pardo et al., 2010; Wang et al., 2006), and they co-bind to many loci throughout the genome (Chen et al., 2008; Göke et al., 2011; Kim et al., 2008; Loh et al., 2006). I was therefore interested to see how the binding of these pluripotency transcription factors to the genome would be affected immediately following loss of Oct4.

Western blot analysis confirmed that 90 minutes after addition of IAA, Oct4 was efficiently depleted while the level of Sox2 and Nanog proteins were yet to be strongly affected in Oct4-AID ESCs (Figure 4.9a). Pulling down regions associated with Sox2 by chromatin immunoprecipitation (ChIP) and analysing enrichment by qPCR revealed a small decrease in Sox2 binding to the Oct4 distal enhancer (Figure 4.9b). This did not achieve statistical significance due to the small number of replicates, so it should be interpreted cautiously, but is in agreement with previous studies (Ambrosetti et al., 2000, 1997; King and Klose, 2017; Reményi et al., 2003; Tokuzawa et al., 2003). On the other hand, the immediate response of Nanog to loss of Oct4 was an increase in binding to the Oct4 regulatory region, though again this data requires replication to give confidence to these findings (Figure 4.9c). A previous study using TetOFF-Oct4 ESCs suggested that Nanog binding decreased in the absence of Oct4 (King and Klose, 2017); however, in that work ChIP was performed 24 hours after induction of

Oct4 depletion, leaving ample time for secondary effects to alter the Nanog binding profile. Interestingly, other work has suggested that in the Oct4-low state Nanog has increased genomic occupancy, though it is unclear whether this is associated with increased or decreased Oct4 at the same sites (Karwacki-Neisius et al., 2013; Radziskeuskaya et al., 2013). In the data presented here, we actively avoid the Oct4-low state; this common finding suggests that Oct4 may indeed actively reduce the ability of Nanog to bind to enhancer elements.

Figure 4.9

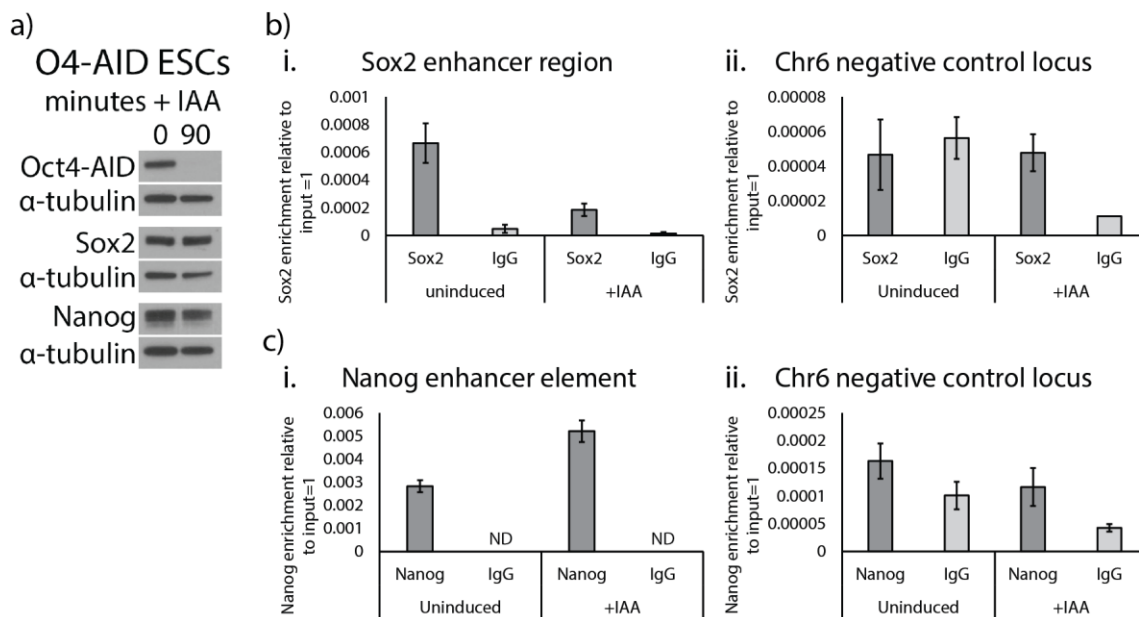


Figure 4.9 Oct4 affects the binding of other pluripotency factors to the genome

a) Western blot analysis of Oct4-AID fusion protein, Sox2 and Nanog in Oct4-AID ESCs before and two hours after addition of IAA. b) ChIP-qPCR analysis of Sox2 binding to i. a proximal downstream enhancer element of Sox2 and ii. a gene desert known to lack Oct4, Sox2 or Nanog binding sites on chromosome 6 before and two hours after depletion of Oct4. c) ChIP-qPCR analysis of Nanog binding to i. the Nanog enhancer element and ii. the gene desert. Values represent the mean of three IPs for Sox2/Nanog and the mean of three replicate qPCR reactions for IgG, and error bars represent  $\pm$  SD; values were calculated by the  $\Delta$ Ct method using an input control for each sample such that 100% of input = 1. Cells were maintained and induced in N2B27-2iL with dox.

To further validate this, I repeated the experiment in Oct4-AID iPSCs. Again, addition of IAA rapidly and efficiently depleted Oct4 from cells with minimal impact of Nanog protein levels (Figure 4.10a). In this experiment there was little change in Nanog binding to the Oct4 distal regulatory element, but there was a significant increase in the pulldown efficiency of the Nanog enhancer (Figure 4.10b). This confirmation of increased Nanog bound to DNA in an independent cell line lends further strength to this dataset.

Figure 4.10

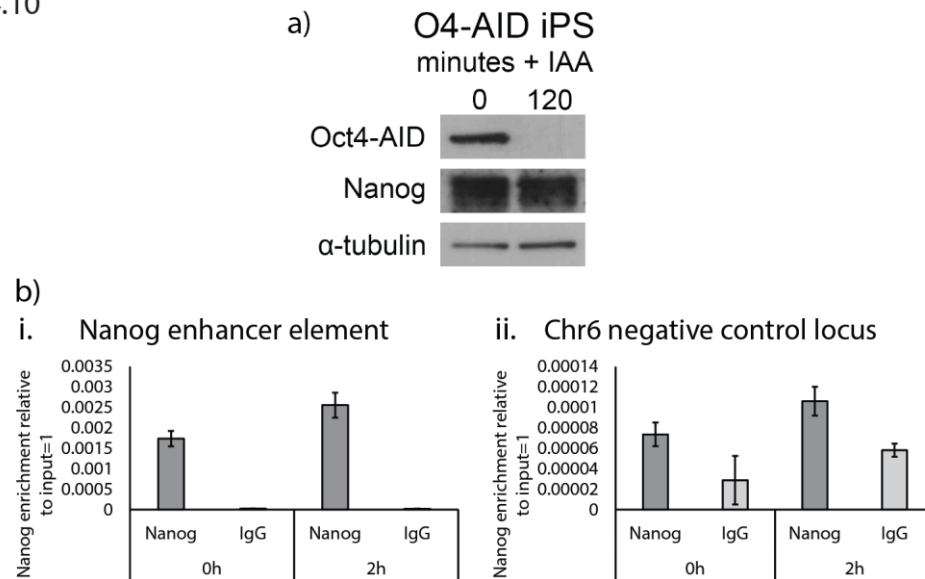


Figure 4.10 Nanog binding increases at some loci following Oct4-AID depletion in Oct4-AID iPSCs

a) Western blot analysis of Oct4-AID and Nanog in Oct4-AID iPSCs before and two hours after addition of IAA. b) ChIP-qPCR analysis of Nanog binding to i. the Nanog enhancer element and ii. a gene desert known to lack Oct4 or Nanog binding sites on chromosome 6, before and two hours after depletion of Oct4. Values represent the mean of three IPs for Nanog and the mean of three replicate qPCR reactions for IgG, and error bars represent  $\pm$  SD; values were calculated by the  $\Delta$ Ct method using an input control for each sample such that 100% of input = 1. Oct4-AID iPSCs were maintained and induced in N2B27-2iL.

#### 4.2.5. Global analysis of Nanog distribution following loss of Oct4

To test the impact of loss of Oct4 on Nanog localisation globally, I submitted Nanog immunoprecipitated chromatin for high throughput sequencing. After aligning the reads to the mouse genome, I examined the Nanog binding profile at the regulatory regions of several key pluripotency genes using the UCSC Genome Browser (Kent et al., 2002). There was a clear increase in the read density, represented by a greater peak area, following depletion of Oct4 (Figure 4.11). This confirms that Nanog binding increases at key pluripotency loci in the absence of Oct4.

Figure 4.11

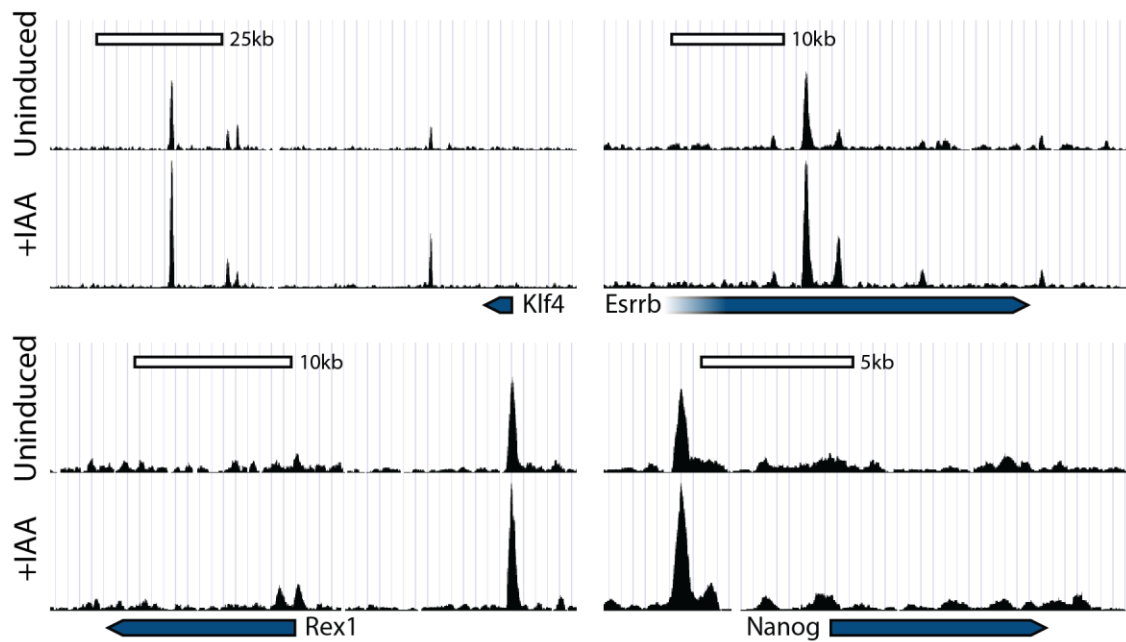


Figure 4.11 Nanog genomic occupancy at key pluripotency regulatory elements

Nanog ChIP-seq in Oct4-AID ESCs displayed on the UCSC genome browser in uninduced and IAA-induced Oct4-AID ESCs at several representative genomic loci. Each track was generated by merging the sequencing output of 2 IPs. The y-axis represents read counts normalized to library size, and scaled to the maximum peak height at each locus using the same scale for each sample. Peaks can be seen at enhancer elements, and peak height is greater in Oct4-depleted samples. ChIP was performed using a Nanog-specific antibody. Using the output DNA, NGS library preparation was performed by the SCI genomics facility, and sequencing was performed at the CRUK CIGC. I performed subsequent analysis. Oct4-AID ESCs were maintained and induced in N2B27-2iL conditions.

I decided to look globally at all Nanog peaks, generated from the Oct4-depleted samples since they appeared to show increased Nanog binding. By plotting the change in read counts at all 12622 loci, it is clear that Nanog binding increases at the majority of binding sites, though it does decrease at a small number of loci (Figure 4.12a). I also looked more specifically at the complementary subsets of peaks that either overlap with an Oct4 peak (1812 sites) or do not co-bind with Oct4 (10810 sites) (Figure 4.12a-b). At Oct4-Nanog co-binding sites there was a detectable increase in overall Nanog binding; globally this was statistically significant (Mann-Whitney test,  $P < 10^{-10}$ ), and many individual peaks did show greater binding (52 increased and 6 decreased with an FDR  $< 0.05$  out of a total of 1810 peaks). However, Nanog peaks that do not overlap with Oct4 displayed a more dramatic increase in Nanog binding (Mann-Whitney test,  $P < 10^{-10}$ ), with more than 10% of peaks showing greater Nanog occupancy in the absence of Oct4 (1650 increased and 2 decreased with an FDR  $< 0.05$  out of a total of 10753 peaks). Examining heatmaps of Nanog enrichment (Figure 4.12bi. and ii.), it appears that Nanog is enriched at all of the binding sites identified in Oct4-depleted samples prior to removal of Oct4. This



suggests that Nanog is not binding to new loci in the absence of Oct4, even if the overall level of binding is increasing.

While counterintuitive, this implies that Oct4 may be able to regulate the ability of Nanog to interact with chromatin indirectly, perhaps through sequestering a binding partner or Nanog itself in the nucleoplasm. Alternatively, it may be that Nanog is recruited to sites that it only weakly binds to, and are therefore undetected, by Oct4, and thus in the absence of Oct4 this pool of Nanog would be free to associate with stronger binding sites; however, it seems unlikely that this is sufficient to account for the level of increased binding observed. It would be interesting to more precisely quantitate the amount of Nanog bound to chromatin, either by relative quantitation in chromatin-enriched samples by mass spectrometry or through single-molecule imaging of Nanog and determination of the chromatin-bound fraction by their residence time, in order to clarify whether there is a broad increase in genomic occupancy by Nanog, or whether the changes observed represent a redistribution across Nanog binding sites.

Figure 4.12

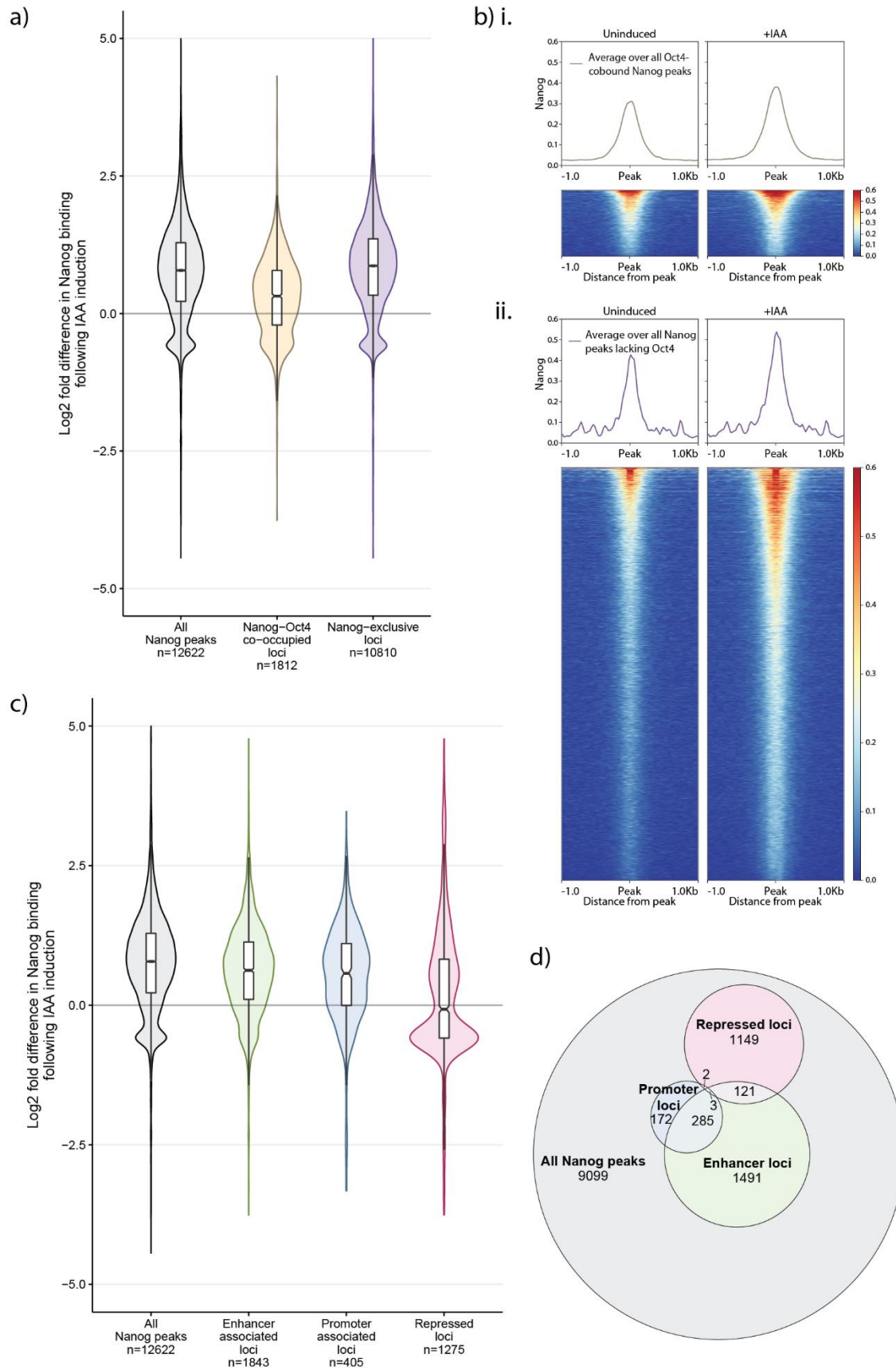


Figure 4.12 Global analysis of Nanog binding sites

Analysis of Nanog occupancy globally and at Nanog binding sites that are cobound by Oct4 or do not show enrichment for Oct4 (right). a) Violin and box plots showing the log<sub>2</sub> fold change in normalised read counts in induced vs uninduced O4-AID ESCs at every Nanog peak (n=12622), and split into those that are co-bound by Oct4 (n=1812) or lack Oct4 (n=10810). b) Top: summary plots showing the mean Nanog binding intensity (counts per million) 1kb either side of Nanog binding sites that normally i. do or ii. do not display co-bound Oct4 in uninduced and Oct4-depleted Oct-AID ESCs. Bottom: heatmaps showing Nanog binding intensity 1kb either side of Nanog binding sites that normally i. do or ii. do not display Oct4 co-binding. Intensities correspond to values shown to the side in counts per million. c) Violin and box plots showing the log<sub>2</sub> fold change in normalised read counts in induced vs uninduced O4-AID ESCs, shown for different genomic contexts. Log-fold-change is shown for all Nanog peaks (n=12622), those at loci enriched for signs of active enhancer elements (1843), those at loci enriched for promoter associated marks (405), or those at loci enriched for repressive marks (1275). d) Euler plot showing the overlap of Nanog peaks with marks of active enhancers, active promoters, and repressed loci. Nanog binding sites were calculated using MACS2 with reads from the +IAA dataset as this had a greater signal to noise ratio. Oct4 binding sites were calculated against whole-cell extract background using MACS2 with publically available datasets (Marson et al., 2008). Nanog binding sites at active enhancers were defined as loci that both overlap by at least 1bp with H3K27ac and are within 1kb of a p300 ES binding site; Nanog binding sites at active promoters were defined as loci that both overlap by at least 1bp with H3K4me3 and are within 1kb of a PolII binding site; Nanog binding sites at repressed loci were defined as loci that overlap with either H3K9me3 or H3K27me3. Publically available peak-called ChIP data for H3K4me3, H3K9me3, H3K27ac, H3K27me3, p300 and PolII in Bruce4 mouse ESCs were downloaded from the ensembl website. Oct4-AID ESCs were maintained and induced in N2B27-2iL with dox.

I additionally examined the effect of chromatin context on the change in Nanog binding following loss of Oct4. Using publically available data for the binding of histone marks associated with active enhancer or promoters or repressed loci, as well as the highly abundant transcription factor p300 and RNA PolII, I assigned Nanog binding sites as associated with one or more of these loci. As expected, the largest group was enhancer associated Nanog binding sites (Figure 4.12d). Looking at the change in Nanog binding following loss of Oct4, there is an increase in binding at many enhancer- and promoter-associated sites (Figure 4.12c). However, at repressed loci there appears to be a bimodal distribution of changes in Nanog binding; a small number of sites show very large increases in Nanog binding, but loci in which Nanog binding decreases appear to be enriched in this group (Figure 4.12c).

One possible explanation for an increase in enhancer-bound Nanog would be if the protein is more stable in the absence of Oct4. To test whether this is the case I performed a cycloheximide chase assay (Figure 4.13a). Cycloheximide inhibits protein synthesis by binding within ribosomes and preventing translocation by blocking the passage of tRNAs out of the complex (Schneider-Poetsch et al., 2010). As a result, no new proteins are synthesized, and by monitoring the levels of proteins over time their rate of decay can be determined. I quantitated Nanog levels following addition of cycloheximide, with or without addition of IAA, by Western Blot, using a standard curve to adjust for the non-linear relation between protein quantity and band intensity (Figure 4.13b). As expected, Nanog degradation followed exponential decay kinetics and as a result can be described by Equation 1. Plotting the natural log of the protein quantity yields the linear equation in Equation 2, so the decay constant,  $\lambda$ , can be

calculated as the gradient of the line. Doing this gives the decay constants shown in Figure 4.13b-iii. Finally, using Equation 3, we can calculate the half-life of Nanog; in the presence of Oct4 this is 2.3 hours, and this is reduced to 1.4 hours in the absence of Oct4. This fits with previous findings that Nanog displays increased stability in the presence of Oct4 (Muñoz Descalzo et al., 2013). Again, however, this previous study investigated Nanog stability 24 hours after the initiation of Oct4 depletion, whereas the work presented here examines the immediate impact of loss of Oct4. Regardless, this suggests that stability is not the cause of the increased genomic occupancy of Nanog that we observed in Figures 4.9-4.12.

Figure 4.13

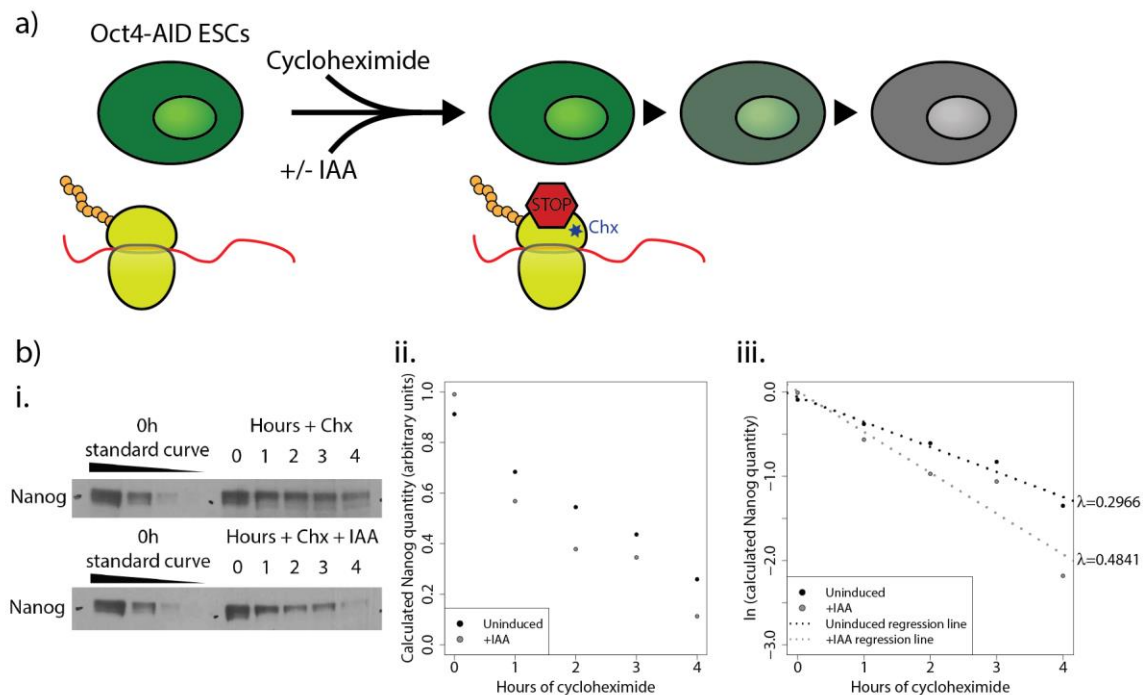


Figure 4.13 Nanog protein is more stable in the presence of Oct4-AID

a) Schematic of the experiment. Oct4-AID ESCs were plated in replicate and cycloheximide + or - IAA was added. Samples were taken every hour for 4 hours. Since cycloheximide blocks translation by ribosomes, protein levels decrease over time as they are degraded. b) Analysis of Nanog protein half-life in presence and absence of Oct4. i. Western blot of Nanog protein used for further analysis. A standard curve was used to calculate protein quantity from band intensity using ImageJ 1.52e. ii. Graph of calculated Nanog quantity over time following addition of cycloheximide + or - IAA. The data show exponential decay kinetics. iii. Graph showing the natural log of Nanog quantity over time. A straight line was fit to the data using regression analysis (Uninduced  $R^2=0.9683$ ; +IAA  $R^2=0.9148$ ) with gradients equal to the decay constant indicated to the right. Oct4-AID ESCs were maintained and induced in SL to minimize cell death.

$$x(t) = x_0 e^{\lambda t}$$

Equation 1: Exponential decay equation

The quantity of a protein undergoing exponential decay can be described as a function of time,  $x(t)$ , where  $x_0$  is the initial concentration of the protein and  $\lambda$  is the decay constant.

$$\ln(x(t)) = \ln(x_0) + \lambda t$$

Equation 2: Natural log of Equation 1

Taking the natural log of Equation 1 creates a linear equation, making it trivial to calculate the decay constant,  $\lambda$ , as it is the gradient of a straight line.

$$t_{1/2} = \frac{\ln(2)}{\lambda}$$

Equation 3: Relationship of half-life and decay constant

The half-life of a protein,  $t_{1/2}$ , is equal to the natural log of 2 divided by the decay constant,  $\lambda$ .

#### 4.2.6. Studying the epigenetic impact of loss of Oct4

Given this increase in Nanog binding to pluripotency genes, including at enhancer elements where it acts as a transcriptional activator (Festuccia et al., 2012; Shi et al., 2006; Stuart et al., 2014), I was interested to see if there were epigenetic changes associated with increased enhancer usage in cells following loss of Oct4.

Figure 4.14

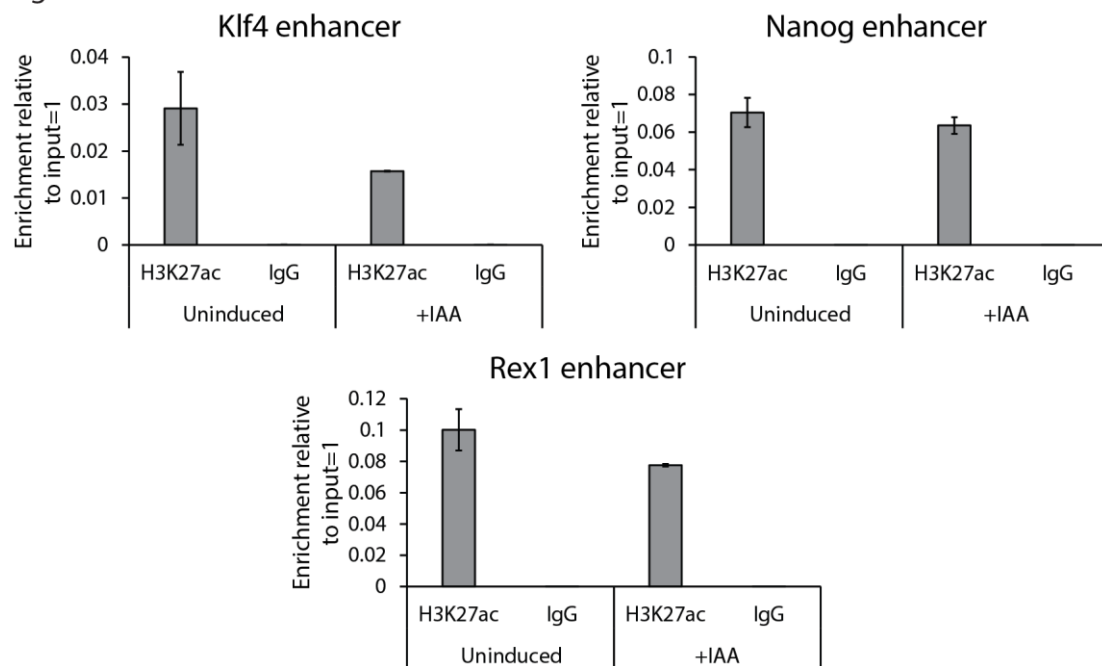


Figure 4.14 Changes in active histone modification H3K27ac following Oct4 depletion in Oct4-AID ESCs

ChIP-qPCR analysis of H3K27ac enrichment at the regulatory elements of Klf4, Nanog and Rex1 before and two hours after depletion of Oct4 by addition of IAA. Values represent the mean of two IPs and error bars represent  $\pm$  SD; values were calculated by the  $\Delta$ Ct method using an input control for each sample such that 100% of input = 1. Oct4-AID ESCs were maintained and induced in SL + dox.

Acetylation of histone H3 at lysine 27 (H3K27ac) is a marker of active enhancer elements; surprisingly, despite the increase in Nanog binding, H3K27ac enrichment did not increase at the regulatory elements of several pluripotency genes short after depletion of Oct4, instead decreasing at several loci (Figure 4.14).

Given this apparent decrease in enhancer usage, despite increased Nanog occupancy, I examined the expression of enhancer RNAs in cells shortly after removal of Oct4. Again, despite the increase in Nanog binding at the regulatory elements of pluripotency genes, and in line with the reduced level of H3K27ac at some loci, there was also a rapid decrease in the level of some enhancer RNA transcripts (Figure 4.15).

Figure 4.15

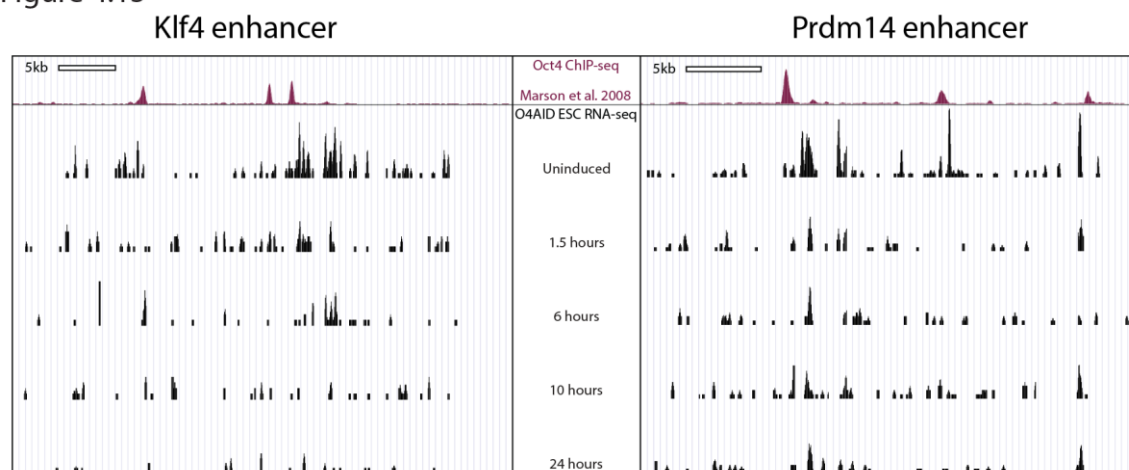


Figure 4.15 Changes in enhancer RNA expression following Oct4 depletion in Oct4-AID ESCs  
Visualization of enhancer RNA expression at the Klf4 and Prdm14 enhancers in Oct4-AID ESCs following Oct4 depletion by RNA-seq. Oct4 ChIP-seq data from Marson et al., 2008 (publically available at GEO, accession GSE11724), are shown to indicate enhancer elements. The enhancer elements are centred ~52kb and ~35kb downstream of from the 3' end of the Klf4 and Prdm14 loci respectively. For each locus, the y-axis represents library size normalised read density. Oct4-AID ESCs were maintained and induced in naïve-specific N2B27-2iL to minimize perturbations and were permanently maintained in the presence of dox.

### 4.3. Discussion

The phenomenon of pluripotency has been of great interest for many years, perhaps since it was first shown that cells taken from a donor blastocyst could integrate into a recipient blastocyst and contribute to normal embryonic development (Gardner, 1968). Since then, we have learned how to capture pluripotency *in vitro* (Evans and Kaufman, 1981; Martin, 1981), and how to maintain cells in a state equivalent to the preimplantation epiblast (Boroviak et al., 2015, 2014; Ying et al., 2008). Oct4 was perhaps the first factor described as essential for the establishment of the naïve epiblast (Nichols et al., 1998). Since then it has been shown that Oct4 is dispensable for initial generation of the

blastocyst structure, but required for segregation of the inner cell mass into naïve epiblast and primitive endoderm (Le Bin et al., 2014). We have also learned that Oct4 is essential for maintaining naïve pluripotency *in vitro* (Niwa et al., 2000). Despite this, the manner in which Oct4 is required for these processes is unclear; no downstream target, exogenous expression or knockout of which can rescue the Oct4 knockout phenotype, has been identified (Hall et al., 2009; Matoba et al., 2006; Niwa et al., 2000).

#### 4.3.1. Conventional systems for depletion of Oct4 pass through an Oct4-low state

In order to identify essential targets of Oct4, I wanted to examine initial transcriptional changes in response to Oct4 depletion. It is notable that similar experiments have been performed before (Hall et al., 2009; Matoba et al., 2006) and have failed to yield an essential role for Oct4, but I anticipated that studying a larger number of timepoints would reveal more specific targets that could be further characterized. This system did reveal some interesting results, such as the rapid upregulation of several key trophectodermal transcription factors prior to downregulation of many pluripotency genes (Figure 4.2). It is tempting to interpret this as evidence that Oct4 is primarily required for the repression of trophectodermal genes, and following their depression these lead to loss of pluripotency and adoption of an extraembryonic fate. However, I wanted to rule out the possibility that my results were skewed as a result of cells passing through an Oct4-low state. It is known that cells exhibiting a low level of Oct4 can sustain expression of pluripotency markers under mild differentiation conditions (Karwacki-Neisius et al., 2013; Radziskeuskaya et al., 2013), and I wondered if transcription of such factors was being artificially maintained by the low levels of Oct4 that remain until all Oct4 protein eventually gets degraded. The half-life of Oct4 is sometimes reported to be very long (Lin et al., 2012; Wei et al., 2007); it took about a day for Oct4 to be fully removed from the cells used in this work (Figure 4.1c), and published work shows more than 10 hours for complete Oct4 depletion using a TetOFF system (Hall et al., 2009; Niwa et al., 2000). This is a significant amount of time, especially when one is trying to study direct and immediate responses to loss of Oct4. No timescale has been reported for cells to start showing responses to the Oct4-low state, and it is unclear what represents direct changes caused by lower Oct4 levels and what are compensatory changes induced by feedback loops or downstream responses to altered transcriptional networks.

Utilizing advances in imaging and counting mRNA molecules in single cells, it has recently been described that transcription occurs in bursts in prokaryotes (Cai et al., 2006; Golding et al., 2005) and

eukaryotes (Chubb et al., 2006), including in mammals (Raj et al., 2006). These bursts are characterized by pulses of polymerase activity interspersed with inactive periods. The length of these intervals between transcription are highly variable between genes, but are typically in excess of an hour and up to several hours (Suter et al., 2011). Reports suggest that the ‘off’ time for Nanog is between 1 and 4.5 hours (Muñoz Descalzo et al., 2013; Skinner et al., 2016), which would allow ample time for low Oct4 levels to affect Nanog burst frequency or size using conventional Oct4 depletion systems.

In order to avoid this confounding effect, I chose to establish new cell lines which utilise rapid depletion of Oct4 at the protein level (Figure 4.4a). It has previously been reported that the auxin inducible degron can reduce the half-life of tagged proteins to ~20 minutes in mammalian systems (Holland et al., 2012; Nishimura et al., 2009), and indeed I found that tagged Oct4 protein was greatly reduced within half an hour and almost undetectable within an hour of addition of IAA (Figure 4.5d and Figure 4.6d).

#### 4.3.2. DNA binding of pluripotency factors is affected immediately following loss of Oct4

After ensuring that both the AID domain and Oct4 were unaltered in their function in this fusion protein (Figure 4.5 and Figure 4.6), I proceeded to re-examine the immediate effects of loss of Oct4. Since depletion of Oct4 was so rapid, I was able to examine the effect of loss of Oct4 prior to changes in the protein level of other pluripotency transcription factors. The interaction between Oct4 and Sox2 has been relatively well described; a model of the Oct4-Sox2-DNA complex has been generated via homology to an Oct1-Sox2-DNA crystal structure (Reményi et al., 2003; Yesudhas et al., 2016), and the cooperative binding of these proteins on DNA has been studied extensively *in vitro* (Ambrosetti et al., 2000, 1997; Reményi et al., 2003; Tokuzawa et al., 2003). These previous findings suggest that in the absence of Oct4, Sox2 should not interact as strongly at their co-binding sites. That said, single-molecule studies into the kinetics of Oct4 and Sox2 binding to DNA have suggested that Oct4 only slightly increases the residence time of Sox2 at specific binding sites (Chen et al., 2014). Despite this, I observed a decrease in Sox2 binding at the Sox2 regulatory element following loss of Oct4 (Figure 4.9b). As Biddle et al. suggest, there may be significant diversity in the cooperativity of Oct4 and Sox2 across the genome (Biddle et al., 2019). Further studies into genome-wide changes in Sox2 binding following acute loss of Oct4 could be helpful in further understanding the interactions of these two factors. It should be noted that these results are not very robust, due to sub-optimal ChIP conditions and lack of biological replicates. Nonetheless, to the best of my knowledge, this represents the first



time it has been shown that Oct4 is required for efficient binding of Sox2 to DNA *ex vivo* in mouse ESCs.

ChIP-qPCR and ChIP-seq against Nanog protein yielded an interesting and surprising result; in the absence of Oct4, greater levels of Nanog were found bound to the genome (Figure 4.9c, Figure 4.10b, Figure 4.11 and Figure 4.12). Remarkably, this extended beyond Oct4 co-bound sites, with a significant increase in Nanog levels observed at many binding sites lacking Oct4 (Figure 4.12a and b). While it seems plausible that Oct4 may physically occlude Nanog binding sites where they colocalise, resulting in competitive binding, this is somewhat contradicted by previous reports of simultaneous binding of Oct4 and Nanog to regulatory regions as detected by sequential ChIP (Medeiros et al., 2009). It is noteworthy, however, that while there is significant overlap between Oct4 and Nanog binding sites, the two proteins are typically separated by several nucleotides and may not physically interact on the DNA (Ferraris et al., 2011). To the best of my knowledge, it has not been shown whether Oct4 and Nanog interact cooperatively or competitively on DNA through *in vitro* assays, rather assumed from indirect evidence such as overlap in binding sites as described above.

On the other hand, it has been demonstrated that Nanog and Sox2 strongly interact, even in the absence of DNA (Gagliardi et al., 2013). Despite the fact that Oct4 and Sox2 are commonly presented as important co-binding factors, Sox2 actually co-localises more frequently with Nanog than Oct4 in genome-wide studies (Chen et al., 2008; Göke et al., 2011). It would be very interesting to look globally at changes in Sox2 binding in the immediate aftermath of Oct4 withdrawal; it may be that Sox2 is liberated from Oct4 co-binding sites, and recruits other transcription factors including Nanog to non-Oct4 bound sites. A previous study examined the localisation of Nanog and Sox2 24 hours after transcriptional depletion of Oct4 (King and Klose, 2017). In this work, they found that Sox2 and Nanog binding was reduced at many Oct4 co-bound sites correlating with reduced chromatin accessibility in the absence of Oct4. However, a subset of co-bound sites retained chromatin accessibility after Oct4 depletion, and at these sites binding of both Nanog and Sox2 was increased. Of course, this carries the caveat that such changes may be due to subsequent transcriptional and epigenetic changes downstream of loss of Oct4 rather than direct effects, but this could represent a similar redistribution of Sox2 and Nanog to sites where Oct4 binding is of less functional relevance.

It is currently unclear how the global chromatin state changes following acute depletion of Oct4. Previous work has suggested that Oct4 may act as a pioneer factor, opening chromatin that would

otherwise be highly compacted (King and Klose, 2017; Soufi et al., 2015). As a result, removal of Oct4 might result in the eviction of other transcription factors and repression of gene expression. Additionally, there could be significant changes in the chromatin architecture due to altered topological domains in the absence of Oct4. Decommissioning of super enhancers, many of which are bound by Oct4 in ESCs (Whyte et al., 2013), could lead to a reorganisation of the nuclear structure as these elements can interact with multiple promoter regions, even over large distances (Novo et al., 2018). It would be interesting to examine the chromatin state of cells before and after depletion of Oct4 to test these hypotheses. DNA FISH approaches could be used to examine the interactions of individual loci, while techniques such as Hi-C and Promoter-Capture Hi-C could be used to build a global picture of chromatin interactions and particularly promoter-superenhancer interactions (Novo et al., 2018). Other approaches such as MNase-seq and ATAC-seq could provide information about chromatin accessibility to determine whether chromatin is more highly compacted overall, and specifically in the region of Oct4 binding sites.

In agreement with existing literature, it appears that the half-life of Nanog is increased in the presence of Oct4 (Muñoz Descalzo et al., 2013). As a result, increased Nanog stability is not likely to be responsible for the increased genomic occupancy I observed. However, it would be interesting to see what other attributes of Nanog protein are altered in the absence of Oct4. It has been suggested that phosphorylation of Nanog by Erk1 results in ubiquitylation and subsequent degradation (Kim et al., 2014), a process antagonised by the de-ubiquitylase USP21 (Jin et al., 2016; Kwon et al., 2017; Liu et al., 2016). Nanog phosphorylation at partially overlapping sites has also been associated with an increase in stability via interaction with the prolyl isomerase Pin1 (Moretto-Zita et al., 2010). It would therefore be interesting to examine whether post-translational modification of Nanog is affected by the presence/absence of Oct4, to see whether Oct4 plays a role in recruiting these factors or protecting Nanog from their binding. It would also be interesting to see if the residence time of Nanog on DNA is altered in the absence of Oct4. It is becoming increasingly common to use single molecule tracking techniques to calculate the kinetics of the interactions between transcription factors and chromatin (Hemmerich et al., 2011; Loffreda et al., 2017; Mazza et al., 2012; Morisaki et al., 2014). This could indicate whether Nanog interacts more strongly with DNA in the absence of Oct4, possibly explaining the increase in occupancy we observe. It could also reveal whether the increased binding we observe

via ChIP-seq is caused by an increase across all cells, or a reduction in the heterogeneity of Nanog binding between cells (Mueller et al., 2013).

#### 4.3.3. Oct4 is required for transcription of key pluripotency factors

As previously established, conventional systems used to study loss of Oct4 are characterized by transit through an Oct4-low state. It has previously been shown that this can result in altered responses to signalling molecules and differential expression of several key pluripotency associated genes (Karwacki-Neisius et al., 2013; Radzsheuskaya et al., 2013). Therefore, while thousands of differentially expressed genes have been identified following depletion of Oct4 (Hall et al., 2009; Matoba et al., 2006), it is unclear what are genuine responses to removal of Oct4 rather than responses to reduced levels of Oct4. Consequently, the rapid Oct4 depletion system is well suited to examining the immediate impacts in a way that existing systems cannot.

As I have described, expression of several key pluripotency factors drops rapidly as a result of loss of Oct4 (Figure 4.15a-c). Some of these factors show contrasting responses to acute versus prolonged depletion of Oct4; for instance, in my *LoxCre* system *Klf4* responds slowly to removal of Oct4, whereas upon rapid loss of Oct4 it is quickly downregulated. Similarly, by microarray analysis *Nanog* was not differentially expressed on transcriptional inhibition of Oct4 (Hall et al., 2009), while transcript levels dropped prior to substantial trophoblast gene expression in my system (Figure 4.15a and ).

Given that *Nanog* binding is sustained at these loci, these data suggest that Oct4 binding may be required for the expression of a subset of pluripotency genes. It would be beneficial to explore this more directly by examining changes in nascent transcript levels in the immediate aftermath of acute Oct4 depletion. Interestingly, expression of enhancer RNAs at some pluripotency loci is decreased rapidly on loss of Oct4 (Figure 4.7). Transcription of enhancer RNAs is strongly correlated with enhancer activity (Azofeifa et al., 2018; De Santa et al., 2010; Kim et al., 2010), so this finding implies that some Oct4-bound enhancers rapidly lose their functionality when Oct4 is removed.

These differences go some way to explaining past discrepancies in the perceived role of Oct4 in the pluripotency network. As Hall *et al.* note, *Nanog* has been described as an Oct4 target gene, and there is significant biochemical data validating that Oct4 binds to the *Nanog* enhancer region and is required for its expression (Kuroda et al., 2005; Rodda et al., 2005), yet Oct4 depletion appeared to have a limited, even positive impact on *Nanog* mRNA. I propose that the reason for this is that while Oct4

may be essential for Nanog expression, above a minimal level Oct4 negatively regulates the binding of other transcriptional activators. Thus, as Oct4 levels drop and cells pass through an Oct4-low state, binding of Oct4 to the Nanog enhancer is reduced and other transcription factors can be recruited, buffering Nanog expression levels. Once Oct4 is entirely depleted, very rapidly in the case of induced degradation, Nanog expression falls as the locus is no longer transcribed. Clearly this is highly speculative, and requires a significant amount of work to validate. However, this would reproduce the phenotypes observed with varying Oct4 levels (Figure 4.16): a minimal level would be required for expression of key pluripotency factors, and below this cells would not be able to maintain pluripotency; above this, a low level of Oct4 would be optimal for self-renewal, with strong expression of many pluripotency factors; higher, at an ESC level, Oct4 would begin to show a repressive effect on genes such as Nanog, generating a state which is permissive for both self-renewal and differentiation; finally, on overexpression of Oct4, transcription factors would be excluded from the regulatory regions of key pluripotency genes, resulting in collapse of the naïve network and induction of differentiation.

Figure 4.16

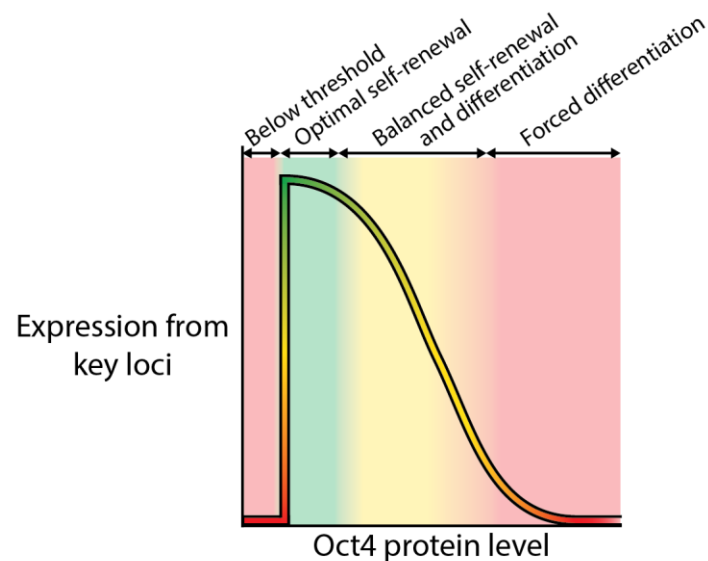


Figure 4.16 A model of the relationship between Oct4 protein level and expression of a set of pluripotency genes. A graph showing the relationship between the level of Oct4 protein in a cell and expression from key pluripotency loci with the corresponding effect on cellular identity. At very low levels, Oct4 is below the threshold required for efficient expression of pluripotency genes. A slight increase in Oct4 protein level results in strong expression of these key genes, resulting in optimal self-renewal. At this level, differentiation is inhibited as a result of the strong expression of naïve-specific genes. Cells expressing a higher level of Oct4, similar to the level seen in ESCs, have weaker expression of key pluripotency genes due to effects such as displacement of Nanog protein from regulatory elements. In such cells, there is a balance between self-renewal and differentiation; depending on culture conditions, cells can be maintained or induced to exit pluripotency. At even higher levels, Oct4 reduces expression of key pluripotency factors even further, to such a point that the naïve network is no longer stable and self-sustaining. As a result, these cells can no longer retain their pluripotent identity and are forced to differentiate.

Under this model, there would be several key pluripotency factors which require Oct4 for their expression. Thus, co-expression of several exogenous genes would be required to rescue the Oct4 knockout phenotype. Unfortunately, the data generated so far are not statistically rigorous, preventing the generation of a full list of candidates, though likely factors include Nanog, Esrrb, Klf4 and Lifr, which are rapidly downregulated upon proteolytic Oct4 loss, and in some cases on conventional Oct4 depletion.

#### 4.3.4. Exit from pluripotency following loss of Oct4 follows a non-conventional trajectory

Typically, exit from pluripotency occurs in stages as cells pass through progressively more differentiated states, until eventually they undergo fate restriction and lose their potential to form other lineages. *In vivo*, cells of the naïve pre-implantation epiblast undergo polarization as the blastocyst implants into the host (Bedzhov and Zernicka-Goetz, 2014). At the same time, these cells undergo global chromatin remodelling switching to a much less permissive state (Auclair et al., 2014; Smith et al., 2012; Surani et al., 2007), with coincident transcriptional rewiring and exit from the naïve state (Boroviak et al., 2015, 2014). The cells of the post-implantation epiblast rely on different external signals and internal transcription factors and enhancer usage to promote survival and proliferation. As the surrounding extraembryonic tissues mature they polarize the epiblast, which begins to specify the fate of cells in a regional manner (Tam and Loebel, 2007). Subsequently, as gastrulation occurs and presumptive mesendoderm cells pass through the primitive streak, they differentiate into one of the three germ layers (Tam and Loebel, 2007). Within about one day of this, around the time of somitogenesis, all cells have lost their capacity for pluripotency (Damjanov et al., 1971; Osorno et al., 2012).

These events are recapitulated *in vitro* through the differentiation of ESCs, where they have been examined in molecular detail. ESCs maintained in 2iL culture conditions are equivalent to the E4.5 naïve epiblast (Boroviak et al., 2015; Silva et al., 2008; Ying et al., 2008). As these cells are subjected to differentiation-inducing stimuli, they exit naïve pluripotency via downregulation of naïve-specific transcription factors and upregulation of markers of the post-implantation epiblast. Initially, cells appear to pass through a state more advanced than naïve pluripotency yet more permissive than primed, with competency for germ cell differentiation (Hayashi et al., 2011; Kalkan et al., 2017; Kalkan and Smith, 2014; Mulas et al., 2017). Subsequently, cells progress to the primed state of pluripotency (Brons et al., 2007; Buecker et al., 2014; Joo et al., 2014; Tesar et al., 2007; Yang et al.,

2014), a heterogeneous state with fluctuating expression of lineage markers and similarities to the primitive streak *in vivo* (Kojima et al., 2014; C. Liu et al., 2018; Song et al., 2016; Tsakiridis et al., 2014). Further differentiation involves downregulation of general and primed pluripotency factors and upregulation of lineage marks as cells become committed (Semrau et al., 2017; Trott and Martinez Arias, 2013).

Coincident with the collapse of the naïve network in Oct4-AID ESCs, expression of general pluripotency factors begins to drop. There is no upregulation of primed pluripotency genes in these cells, nor are lineage markers expressed. Instead, cells directly start to upregulate silenced extraembryonic genes (). However, this makes sense in the context of previous observations that Oct4 plays an important role in exit from the naïve state (Karwacki-Neisius et al., 2013; Radzisheuskaya et al., 2013) and is recruited to different regulatory elements to drive differentiation (Yang et al., 2014).

Notably, published microarray data from TetOFF Oct4 cells show downregulation of naïve pluripotency genes accompanied by extended expression of general pluripotency genes such as *Sall4* and *Nanog* and upregulation of some post-implantation genes like *Otx2* and *Sox4*, but with a failure to upregulate others including *Fgf5* and *Lef1* (Hall et al., 2009). It is therefore striking that exit from pluripotency follows such a radically different trajectory following acute depletion of Oct4.

#### 4.3.5. Exploring the mechanistic properties of Oct4

Together, this body of work demonstrates that there is an absolute requirement for a minimal level of Oct4 for expression of a subset of factors that help to maintain the naïve state (Figure 4.7). This is despite an increase in *Nanog* binding to the regulatory elements of many such genes (Figure 4.9-12). The fact that a threshold level of Oct4 is required for the expression of a set of core pluripotency genes, and the observation that binding of the strong pluripotency agonist *Nanog* to the genome is globally lower in cells expressing Oct4 than in cells depleted for Oct4 suggests a coherent mechanistic explanation for the various phenotypes associated with different levels of Oct4. In Oct4-low cells, Oct4 binds and activates transcription of pluripotency-associated genes, resulting in the establishment of a robust network that is relatively insensitive to differentiation cues. As levels of Oct4 increase, factors such as *Nanog* are excluded from the genome, resulting in weaker activation of core pluripotency genes, until at sufficiently high Oct4 levels pluripotency can no longer be maintained. Meanwhile, in the absence of Oct4 these genes cannot be activated and so the naïve state can neither be induced nor maintained (Figure 4.16).

# Chapter 5: Exit from naïve pluripotency induces expression of Xist, which is misregulated in extraembryonic differentiation

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## 5.1. Introduction

X-chromosome inactivation is a key developmentally-regulated process in mice. It is well established that female cells exiting naïve pluripotency inactivate one randomly-selected X-chromosome in order to balance X-linked gene expression between sexes. The long non-coding RNA Xist is transiently expressed from both X-chromosomes prior to being silenced on one chromosome and highly upregulated on the other. It coats the chromosome from which it is expressed *in cis*, recruiting factors which silence and inactivate this chromosome. It has previously been established that depletion of pluripotency factors induces expression of Xist (Navarro et al., 2008), demonstrating a role of for these components in the regulation of this key inactivation factor; however, ablation of the primary pluripotency-factor-bound Xist regulatory element does not interfere with either XCI or reactivation of the silenced X chromosome in the establishment of naïve pluripotency (Minkovsky et al., 2013).

Under the conventional model of initiation of XCI, in early differentiation cells undergo a process of identifying their X chromosomes through “sensing”, counting their number relative to autosomes, then cells with multiple X chromosomes (i.e. females for euploid cells) choose which of these are to undergo inactivation, and initiate inactivation through upregulation of Xist which subsequently spreads along the X chromosome, coating it and inducing transcriptional silencing (Boumil and Lee, 2001; Goto and Monk, 1998; Starmer and Magnuson, 2009) (Figure 5.1a).

### 5.1.1. A new model for XCI

Figure 5.1

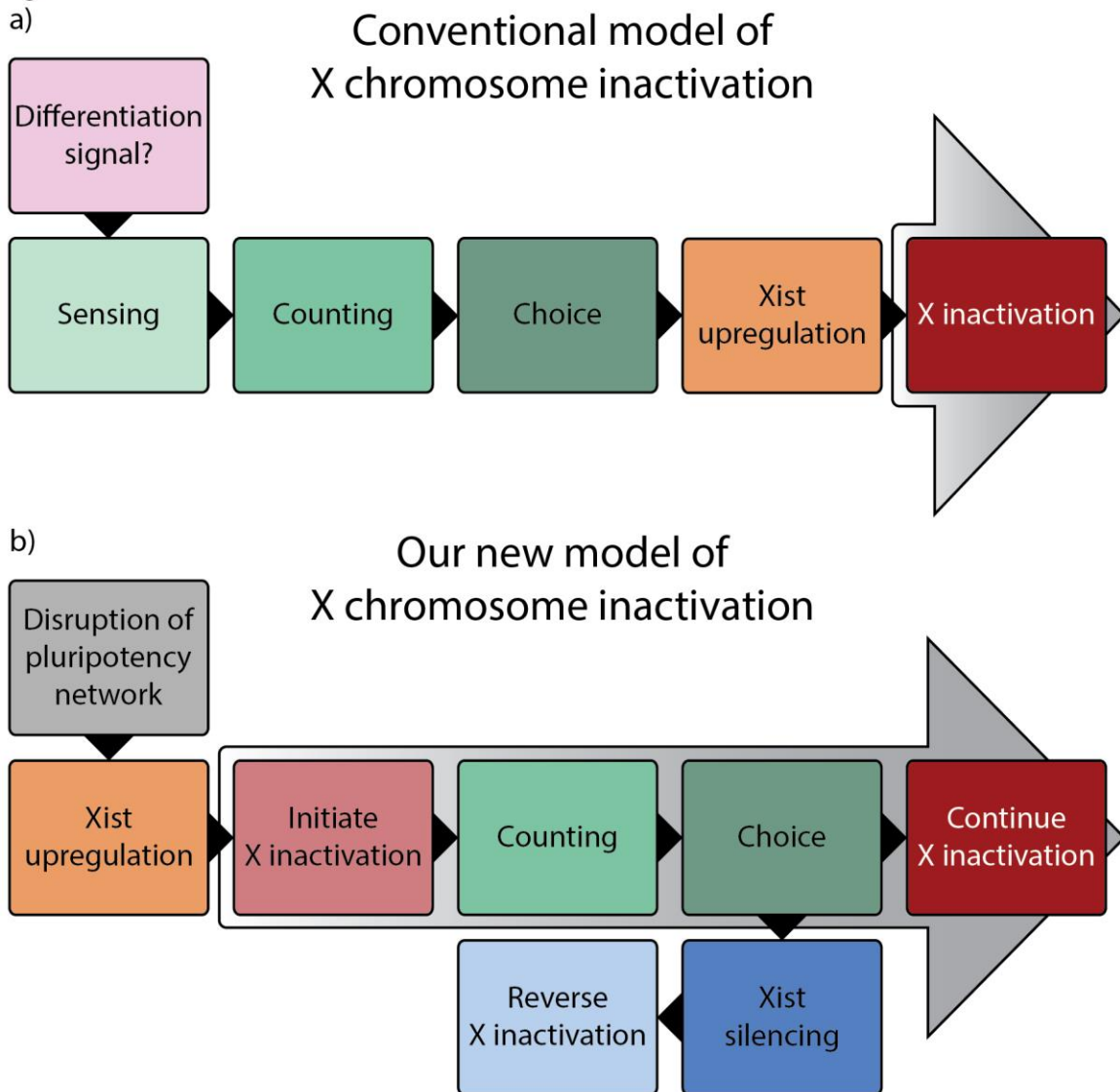


Figure 5.1 Schematic of the conventional model for XCI and our prospective model for XCI

a) The conventional model of XCI. An unknown signal in differentiating ESCs causes sensing of X chromosomes and counting. If XCI is required, the choice of which chromosome(s) to inactivate is made, followed by upregulation of Xist which induces XCI. b) Our model of XCI. The collapse of the naïve transcription network results in upregulation of Xist in all differentiating cells, resulting in the initial stages of XCI. Subsequently, cells undergo counting and choice. Xist is silenced on chromosomes that are not destined for XCI, allowing the early inactivation events to be reversed. Meanwhile, XCI is completed on chosen chromosomes.

Data from our group have suggested that initially Xist expression is induced by the collapse of the naïve network, regardless of gender. In studying this effect, Elsa Sousa observed that the upregulation of Xist in male and female cells appears to occur after downregulation of pluripotency markers and prior to upregulation of differentiation genes, suggesting that a reduction in the level of naïve factors could be responsible for de-repression of Xist, leading to its initial upregulation; counting and choice



may be later events that lead to silencing of Xist on future active chromosomes rather than activation of Xist from presumptive inactive chromosome (Sousa et al., 2018) (Figure 5.1b). This is based on observations *in vivo* and *in vitro* that Xist is transiently upregulated on exit from pluripotency in males and is initially expressed biallelically in females, though it is unclear whether this occurs in all cells or a subpopulation. Expression in males initially matches that in females; however, it only reaches a small fraction of the level attained in females as they continue to accumulate Xist RNA, whereas transcription is rapidly shut down in males (Sousa et al., 2018).

Notably, upon deletion of Nanog from male or female mouse ESCs, Sousa et al. observed upregulation of Xist over a time period in which several other pluripotency markers maintained their expression. This suggests a very direct role for some pluripotency factors in the repression of Xist in naïve cells. In order to expand our understanding of this phenomenon, I chose to examine the effect of loss of Oct4 on expression of Xist.

## 5.2. Results

In order to test whether repression of Xist is a general property of pluripotency factors, I decided to examine the response of Xist on loss of Oct4. Unfortunately, the presence of the antisense Tsix lncRNA prevents analysis of Xist expression from non-strand specific RNA-seq data; I therefore repeated the Oct4 deletion timecourse using a conventional Lox-Cre system and analysed Xist expression using RT-qPCR with a probe that spans the Xist exon 1-2 boundary to achieve specificity. This also had the advantage of allowing me to examine the response in both male and female cell lines derived from littermates; ESCs were previously derived from male and female Oct4<sup>F/-</sup> blastocysts that constitutively express CreER from a Rosa26 knock-in allele. Surprisingly, regardless of whether male or female ESCs were used, Xist was upregulated to levels seen in female somatic cells, rather than the limited level of upregulation observed in normal differentiating male ESCs or on loss of Nanog (Figure 5.2) (Sousa et al., 2018); in normal differentiation, expression of Xist is only transiently upregulated to very low levels in male cells (Sousa et al., 2018). Even more interestingly, expression of Xist was sustained for at least 7 days on removal of Oct4, whereas Xist is only expressed for ~24-48 hours on conventional differentiation of male ESCs. In male trophoblasts Xist is entirely silenced by imprints (Goto and Takagi, 2000; Latham, 1996; Lee, 2000), so it is somewhat surprising that it is so strongly upregulated on deletion of Oct4. Examination of two long non-coding RNA regulators of Xist, Tsx and Tsix,

showed that these were both rapidly downregulated on removal of Oct4 (Figure 5.2), unlike regular differentiation in which both of these factors are transiently upregulated (Sousa et al., 2018)

Figure 5.2

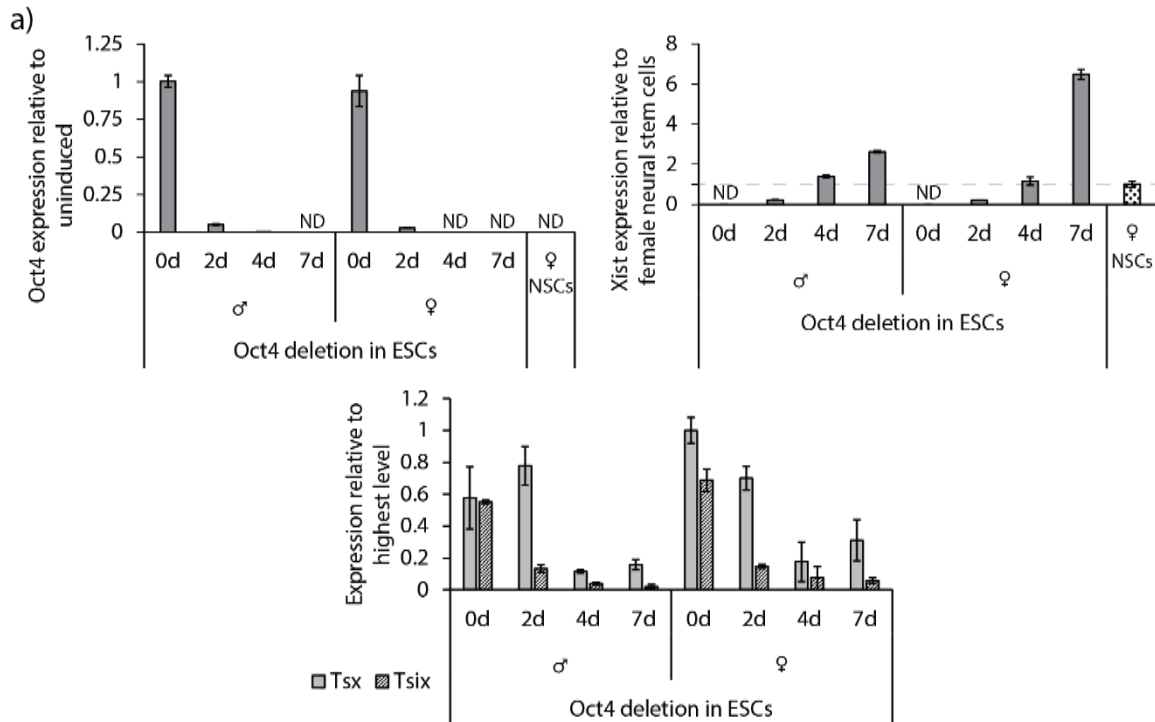


Figure 5.2 Xist transcription is induced to high and sustained levels in male ESCs following depletion of Oct4 RT-qPCR analysis of Oct4 (left), Xist (right), and Tsx and Tsix (bottom) expression in male and female Oct4<sup>F/-</sup> CreERT2 ESCs following genetic ablation of Oct4 by addition of 4-OHT and female neural stem cells (NSCs). Female NSCs are used to indicate the level of Xist expression in female somatic cells. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene; Oct4 expression was normalized to uninduced male Oct4<sup>F/-</sup> Rosa26<sup>+/CreER</sup> ESCs, Xist expression was normalized to female NSCs, Tsx and Tsix expression were normalized to highest value. One representative male and female Oct4<sup>F/-</sup> Rosa26<sup>+/CreER</sup> ESC line are shown of two male and two female littermate-derived ESC lines tested. ESCs were maintained in naïve-specific N2B27-2iL conditions then switched to differentiation-permissive SL conditions on addition of 4-OHT.

Trophoblast stem cells (TSCs) can be maintained *in vitro* by plating trophectoderm tissue in chemically defined media. In order to test whether cells could self-renew with such high levels of Xist, I differentiated male Oct4<sup>F/-</sup> ESCs by transferring them to TSC maintenance conditions at the same time as inducing deletion of Oct4 with 4-OHT. I was able to generate a TSC line (Figure 5.3a); however, every passage was accompanied with extreme cell death, and cells had to be transferred into a fresh well without removing any material in order to maintain the line. These cells exhibit a TSC-like morphology (Figure 5.3a) and express the marker Elf5, and to a lower level Cdx2 (Figure 5.3b). They have fully downregulated the ESC marker Rex1. However, they proliferated slowly, only requiring passaging every 8-9 days. Xist appears to have been strongly downregulated in these cells (Figure 5.3b), perhaps suggesting that cells that continued to express Xist were outcompeted or died.

Figure 5.3

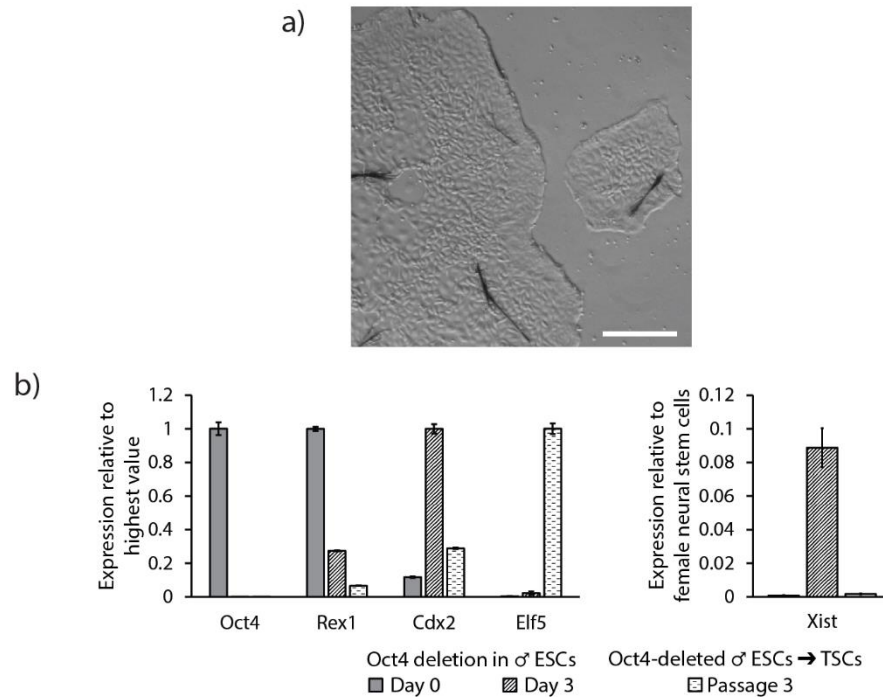


Figure 5.3 Following deletion of Oct4 in ESCs, trophoblast stem cells can be captured *in vitro*. Male ESCs can be captured *in vitro* following removal of Oct4. Male Oct4<sup>F/-</sup> Rosa26:CreER were maintained in N2B27-2iL; Oct4 deletion was induced by addition of 4-OHT, and at the same time the growth medium was changed to TSC media. a) Phase contrast image of cells following deletion of Oct4 and transition to TSC media after one passage. Crystals are the result of precipitation of XAV939 from the media. Scale bar represents 250µm. b) RT-qPCR analysis of gene expression in male Oct4<sup>F/-</sup> Rosa26:CreER cells following addition of 4-OHT. Cells were maintained and induced in N2B27-2iL (Oct4 deletion in male ESCs) or induced in TSC media (Oct4-deleted male ESCs → TSCs). Values represent the mean of three replicate qPCR reactions and error bars represent ± SD; values were calculated by the  $\Delta\Delta C_t$  method using the housekeeping gene Gapdh as a reference gene and normalized to the highest value, or to female neural stem cells in the case of Xist.

### 5.2.1. Generating cell lines to study XCI in extraembryonic differentiation from ESCs

In order to test whether this observation that Xist is strongly upregulated in male cells on depletion of Oct4 is a result of this unconventional exit from the naïve state or a peculiarity of this non-physiological disruption to the transcription factor network, it would be useful to examine the response of ESCs to alternative methods of induced extraembryonic differentiation. I therefore sought to establish systems to achieve extraembryonic differentiation in this manner, and examine the trajectory of differentiation and the impact that this has on the regulation of Xist.

It has previously been demonstrated that overexpression of certain transcription factors is sufficient to cause differentiation of mouse ESCs to extraembryonic fates (Blij et al., 2015; Fujikura et al., 2002; Kinoshita et al., 2015; Niwa et al., 2005; Shimosato et al., 2007). I designed dox-responsive constructs for inducible expression of Cdx2 and Gata6 in order to promote differentiation towards trophectoderm and extraembryonic endoderm respectively (Fujikura et al., 2002; Niwa et al., 2005). I

generated Piggybac plasmids harbouring these constructs and randomly integrated either *Cdx2* or *Gata6*, as well as constitutively expressed rtTA3, into male mouse ESCs carrying a GFP reporter at the *Rex1* locus (Figure 5.4). I validated the gender of the cell lines by profiling expression of X- and Y-linked gene expression; genes from both sex chromosomes were robustly expressed, demonstrating that the cells were indeed male (Figure 5.5a).

Figure 5.4

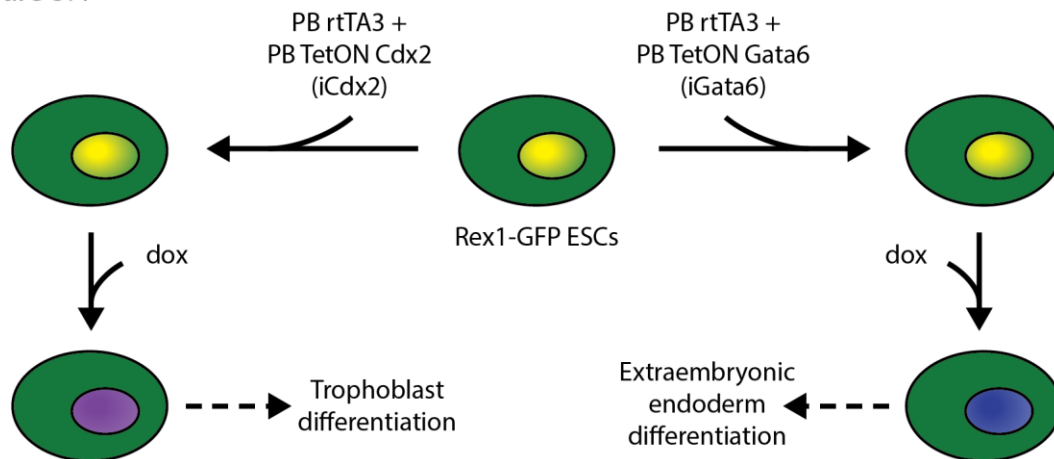


Figure 5.4 Experimental design to generate cell lines capable of induced extraembryonic differentiation. Male mouse ESCs were transfected with a randomly integrating, constitutively expressed rtTA3 (dox-inducible transactivator) construct and a randomly integrating TRE *Cdx2* or TRE *Gata6* cassette, together iCdx2 and iGata6 respectively. These cells were induced to differentiate to extraembryonic lineages by addition of dox towards trophoblast or extraembryonic endoderm identities respectively.

After selection, once the constructs had stably integrated, I tested that the transgenes induced appropriately and the cells differentiated to their appropriate lineages as expected. Inducible *Cdx2* cells downregulated the naïve gene *Nanog* and upregulated the trophoblast marker *Elf5* (Figure 5.5b, left), and flattened on addition of dox. After four days in culture, trophoblast giant cells also began to appear (not shown). Inducible *Gata6* cells immediately adopted a characteristic cobblestone morphology, which became more pronounced as induction continued (not shown). They also lost *Nanog* expression and expressed the extraembryonic gene *Gata4* (Figure 5.5b, right).

Figure 5.5

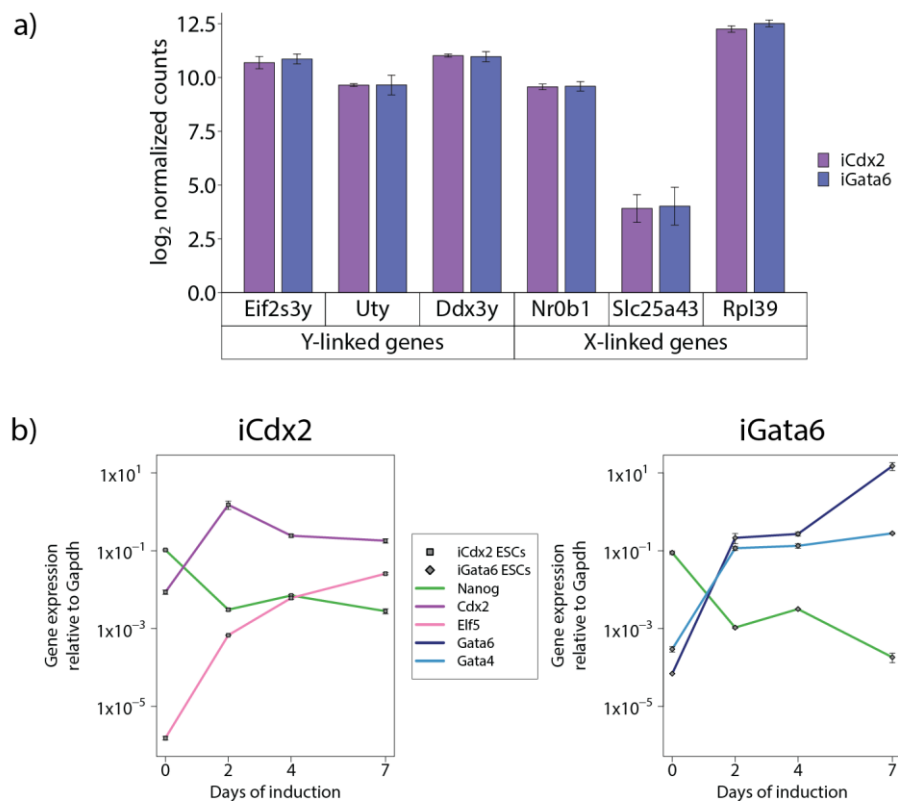


Figure 5.5 Characterizing cell lines for inducible extraembryonic differentiation

a) Male gender of iCdx2 and iGata6 ESCs was confirmed by measuring expression of X and Y-linked gene expression. Graph shows  $\log_2$  library size normalized read counts of three X-linked and three Y-linked genes in uninduced cells maintained in N2B27-2iL, taken from RNA-seq data with normalization performed using DESeq2. Values represent the mean of three biological replicates, and error bars represent  $\pm$  SD. b) RT-qPCR analysis of iCdx2 (left) and iGata6 (right) ESCs in a timecourse following dox induction of transgenes. Expression of the pluripotency factor Nanog, the induced transgene, and a representative extraembryonic differentiation marker are shown on a  $\log_{10}$  scale. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene. Cells were maintained in naïve-specific N2B27-2iL and transferred to differentiation-permissive SL on addition of dox.

### 5.2.2. Extraembryonic differentiation from male mouse ESCs results in Xist upregulation

Once I had confirmed that the cell lines responded as anticipated to dox induction, i.e. they exited pluripotency and differentiated towards extraembryonic lineages, I performed a timecourse and sent samples for strand-specific high throughput RNA sequencing. Analysis of these data shows that exit from pluripotency differs across different routes to extraembryonic lineages. iCdx2 cells continue to express naïve-specific and general pluripotency genes longer than iGata6 (Figure 5.6a and Figure 5.7a), although they are strongly downregulated. Both iGata6 and iCdx2 lines still show little activation of markers of primed pluripotency, however. Instead they directly activate the appropriate extraembryonic gene network following downregulation of naïve factors (Figure 5.6a, Figure 5.7a), with iCdx2 cells upregulating TSC markers such as Hand1, Elf5 and Cdh3, and iGata6 cells expressing

high levels of Gata4, Sox7 and Foxa2. Interestingly, induction of either Cdx2 or Gata6 results in a rapid diversion from the path of normal embryonic differentiation (Figure 5.6b).

Figure 5.6

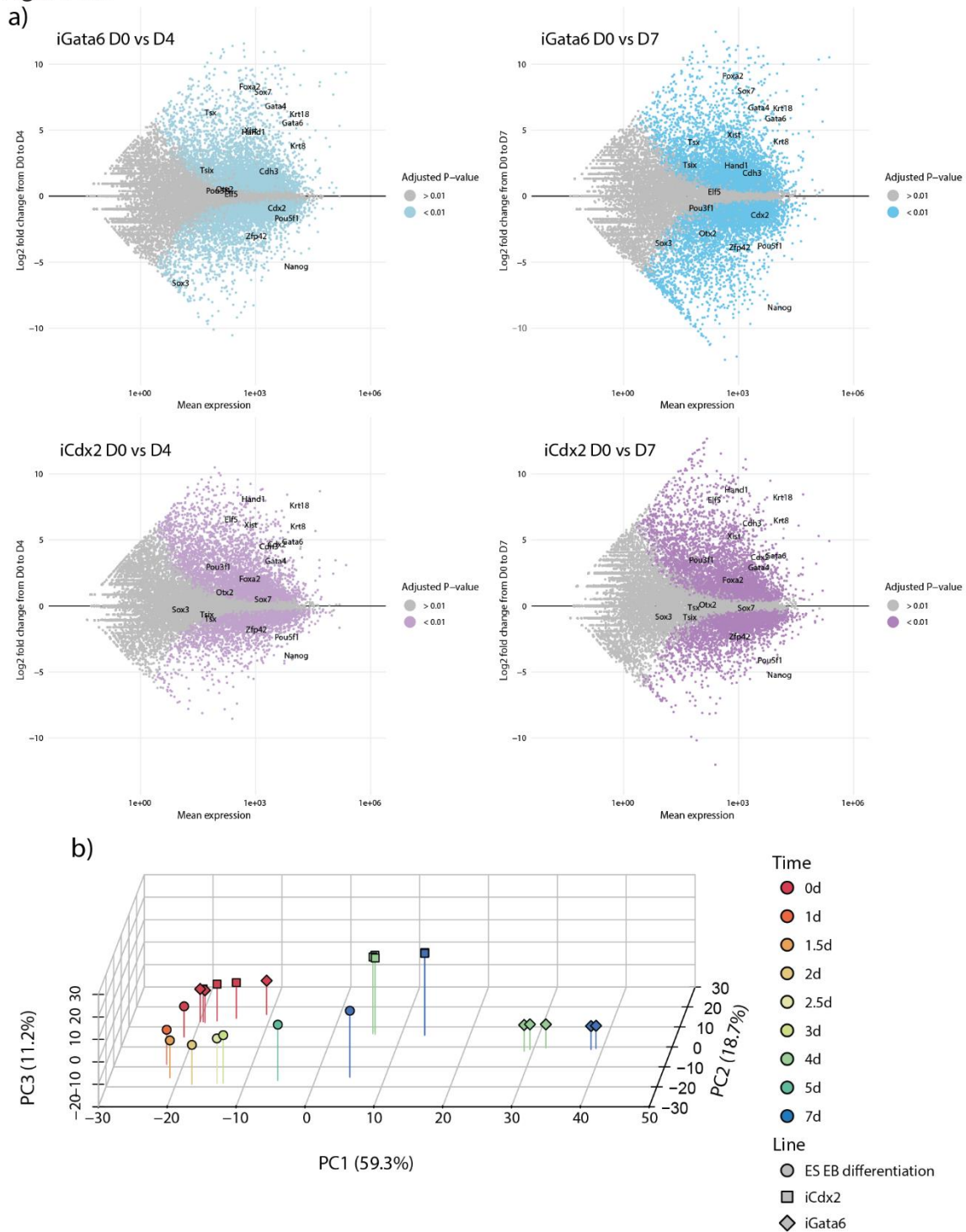


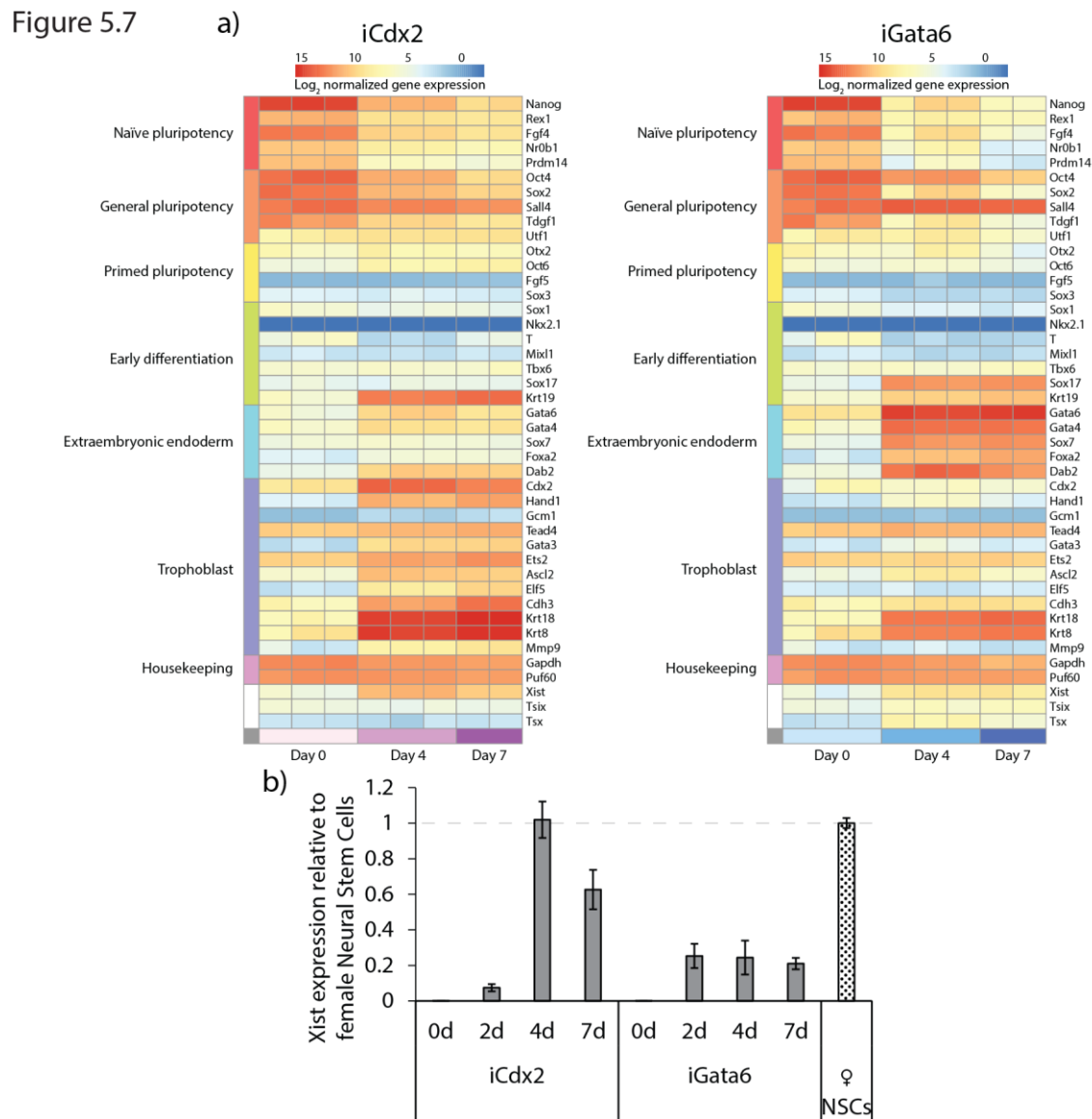
Figure 5.6 RNA-sequencing analysis of iGata6 and iCdx2 differentiation

a) MA plots showing each gene as a single point. The mean expression of each gene between day 0 and the indicated timepoint is shown on the x-axis, and the log<sub>2</sub> fold change is shown on the y-axis; positive values indicate an increase in expression from day 0 to the indicated time point and negative values indicate a decrease. Genes showing a statistically significant change (adjusted P-value < 0.01) are coloured, and several key pluripotency, extraembryonic differentiation and XCI-associated genes are annotated. b) PCA plot showing the first three principal components of variance for the iCdx2 and iGata6 induction timecourses in addition to an embryoid body differentiation timecourse from ESCs (data from Elsa Sousa).

As with Oct4 knockout induced trophectoderm differentiation, overexpression of Cdx2 resulted in prolonged expression of Xist to a high level (Figure 5.6a, Figure 5.7a), validating this finding and demonstrating that it is not an artefact specifically caused by non-physiological Oct4 manipulation. Remarkably, Gata6-induced extraembryonic endoderm differentiation also caused significant transcription of Xist over the period of a week. This indicates that protracted Xist expression may be a general property of male cells differentiating to extraembryonic lineages.

I validated the induction of Xist following extraembryonic differentiation by RT-qPCR, using female neural stem cells to gauge the level of expression (Figure 5.7b). In the case of Cdx2 induction, Xist RNA was detected at approximately the same level as female somatic cells, while overexpression of Gata6 induced Xist around one fifth of this; this level of Xist expression without manipulation of the X inactivation centre in male differentiation is only paralleled in my previous Oct4 deletion experiments to the best of my knowledge.





**Figure 5.7** Expression analysis of iGata6 and iCdx2 cells

a) Heatmap showing expression of genes representative of several cell identities in iCdx2 (left) and iGata6 (right) ESCs over a timecourse following addition of dox. Three biological replicates are shown for cells prior to induction and four days post-induction, and two biological replicates are shown for cells seven days post-induction. Intensities represent  $\log_2$  normalized expression calculated from RNA-seq data using DESeq2. b) RT-qPCR analysis of Xist in iCdx2 and iGata6 ESCs and female NSCs. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta C_t$  method using the housekeeping gene Gapdh as a reference gene and normalised to female NSCs. ESCs were maintained in naïve-specific N2B27-2iL and transferred to differentiation-permissive SL on addition of dox.

It is possible to probe expression across the XIC using strand-specific RNA-sequencing. Elsa Sousa performed a timecourse of embryoid body differentiation from male ESCs and generated strand-specific RNA-seq data in order to demonstrate transient upregulation of Xist. In reviewing this data, we can see that embryoid body differentiation is associated with rapid upregulation of Tsix and Tssx, negative regulators of Xist (Figure 5.8). Interestingly, on induction of Gata6, Tsix and Tsix are only



gradually induced (Figure 5.8), which might restrain the upregulation of Xist without robustly extinguishing its expression as seen in embryoid differentiation. Meanwhile, neither Tsx nor Tsix are strongly upregulated on overexpression of Cdx2 (Figure 5.8). It appears that in the absence of sufficient induction of inhibitors, expression of Xist continues unimpeded.

Figure 5.8

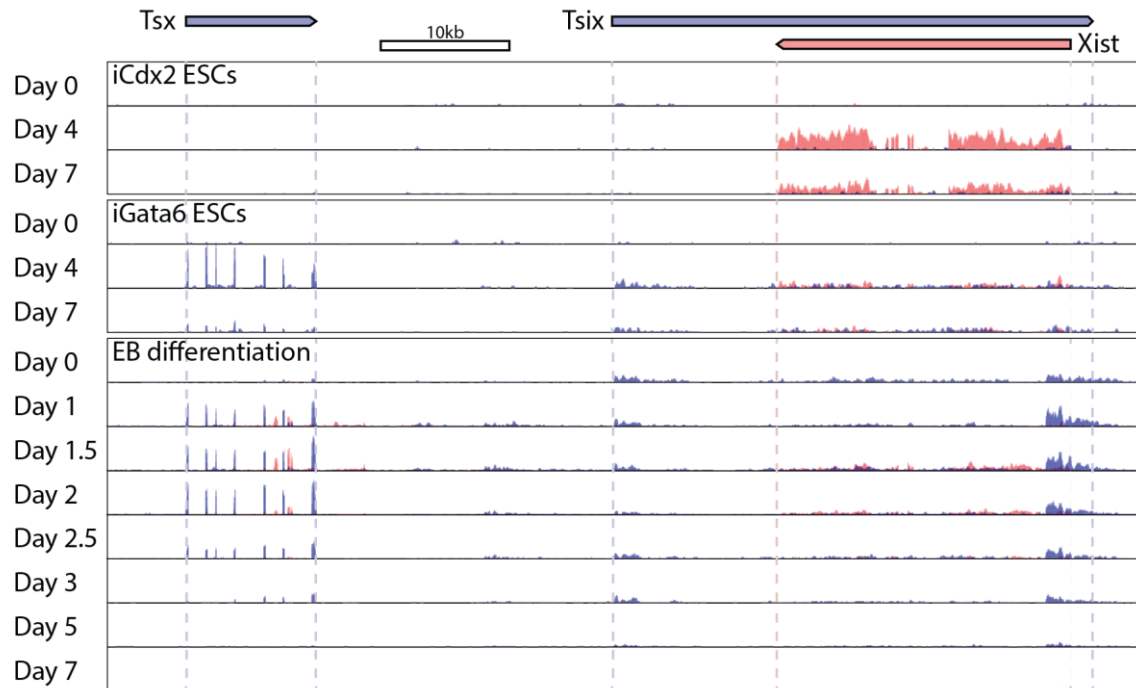


Figure 5.8 Expression within the XIC during extraembryonic and embryonic differentiation

Visualization of strand-specific RNA-seq data from male iCdx2 and iGata6 ESCs following dox induction and wild-type male cells during embryoid body (EB) differentiation. Strand-specific expression is indicated in blue (sense) and red (antisense) at Tsx, Tsix and Xist. iCdx2, iGata6 and wild-type ESCs were maintained in N2B27-2iL. iCdx2 and iGata6 ESCs were induced with dox in SL. Wild-type ESCs were plated in low-attachment dishes in serum-containing medium without LIF. The y-axis represents library size normalised read density, and scaled to the maximum expression for each cell line.

Embryoid body differentiation and corresponding RNA preparation was performed by Elsa Sousa, data are publically available at GEO, accession number GSE109173. Strand-specific alignment of RNA-seq data was performed by Sabine Dietmann and Michael Barber.

Oct4 binds in the region of Tsx and Tsix in ESCs, while other pluripotency factors such as Nanog only bind within intron 1 of Xist (Figure 5.9a). This is particularly relevant as Oct4 is far more rapidly downregulated following Cdx2 induction than following Gata6 induction or in normal embryonic differentiation (Figure 5.9b). It is plausible that the lack of Oct4 prevents induction of Tsx and Tsix, resulting in cells achieving high and sustained levels of Xist expression following Cdx2 overexpression or Oct4 depletion. Meanwhile, the sustained expression of Xist seen after Gata6 induction could relate to the absence of factors involved in the normal silencing of Xist in somatic differentiation, or changes in the Oct4 binding profile resulting in weaker induction of Tsx and Tsix.

Figure 5.9

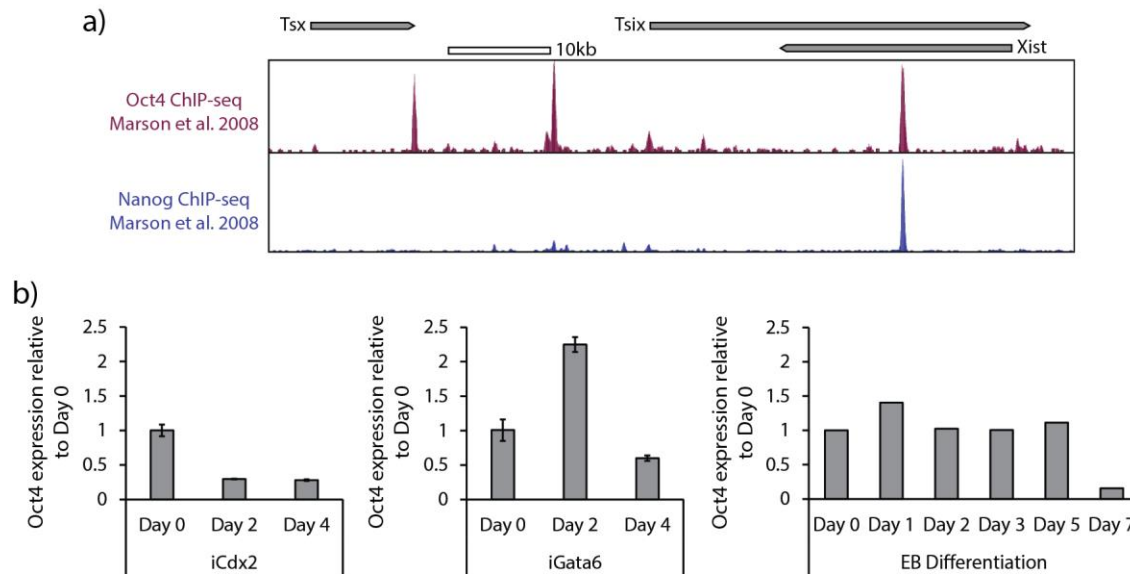


Figure 5.9 Oct4 expression in extraembryonic and EB differentiation, and Oct4 binding sites within the XIC  
 a) Visualization of Oct4 and Nanog binding within the XIC by ChIP-seq using data from Marson et al. 2008. b) Expression of Oct4 at early timepoints in iCdx2, iGata6 and EB differentiation. iCdx2 and iGata6 timecourses were analysed by RT-qPCR, Oct4 expression was quantified from RNA-seq data. For iCdx2 and iGata6, values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalised to day 0. For EB differentiation, values represent library size normalized read counts, normalized to day 0. Embryoid body differentiation and corresponding RNA preparation was performed by Elsa Sousa, data are publically available at GEO, accession number GSE109173. Oct4 and Nanog ChIP-seq data are taken from Marson et al. 2008, and are publically available at GEO, accession number GSE11724.

### 5.2.3. Oct4 plays a direct role in repression of Xist

It is notable that in all of the experiments so far I have demonstrated strong and persistent upregulation of Xist in exit from pluripotency. ESCs differentiate when subjected to increased Oct4 levels (Niwa et al., 2000), unlike some pluripotency factors such as Nanog or Esrrb, which strengthen the naïve state when overexpressed (Chambers et al., 2003; Festuccia et al., 2012). Initial reports suggested that overexpression of Oct4 in ESCs primarily induces mesendodermal differentiation (Niwa et al., 2000; Thomson et al., 2011). However, it appears that this is highly dependent on the culture environment, with Oct4 overexpression leading to efficient neurectodermal (Shimozaki et al., 2003) and cardiac differentiation (Zeineddine et al., 2006) in appropriate conditions, and markers from all three germ layers are upregulated following induction of Oct4 in permissive conditions (Radzisheuskaya et al., 2013; Thorold W. Theunissen et al., 2011).

Given that I have demonstrated such strong induction of Xist on loss of Oct4, it seems plausible that overexpression of Oct4 could have an antagonistic effect. Additionally, male ESCs display persistent expression of Xist, albeit at a very low level, in differentiation permissive SL conditions. Oct4

overexpression is therefore an ideal system to test both whether Xist upregulation is a common feature of differentiation induced by transcription factor overexpression, and more excitingly, whether Oct4 is able to inhibit induction of Xist.

With this in mind, I took advantage of a previously established clonal TetON Oct4 cell line (iOct4 ESCs), generated by former colleague Aliaksandra Radziskeuskaya (Figure 5.10a). She transfected wild type male mouse ESCs in the same manner that I established iCdx2 and iGata6 lines, then picked a clonal line that strongly induces Oct4 on addition of dox.

I set up parallel timecourses of induced and uninduced samples, transferring them to SL media with or without dox. Cells exposed to dox rapidly upregulated Oct4, while Oct4 levels remained consistent in the uninduced samples (Figure 5.10b). Uninduced cells showed partial downregulation of Nanog, consistent with previously described heterogeneity and reduced expression of Nanog in SL conditions compared to 2iL (Chambers et al., 2007; Sousa et al., 2018; Ying et al., 2008). On the other hand, Oct4 overexpressing cells showed more rapid and complete repression of Nanog (Figure 5.10b).

Figure 5.10

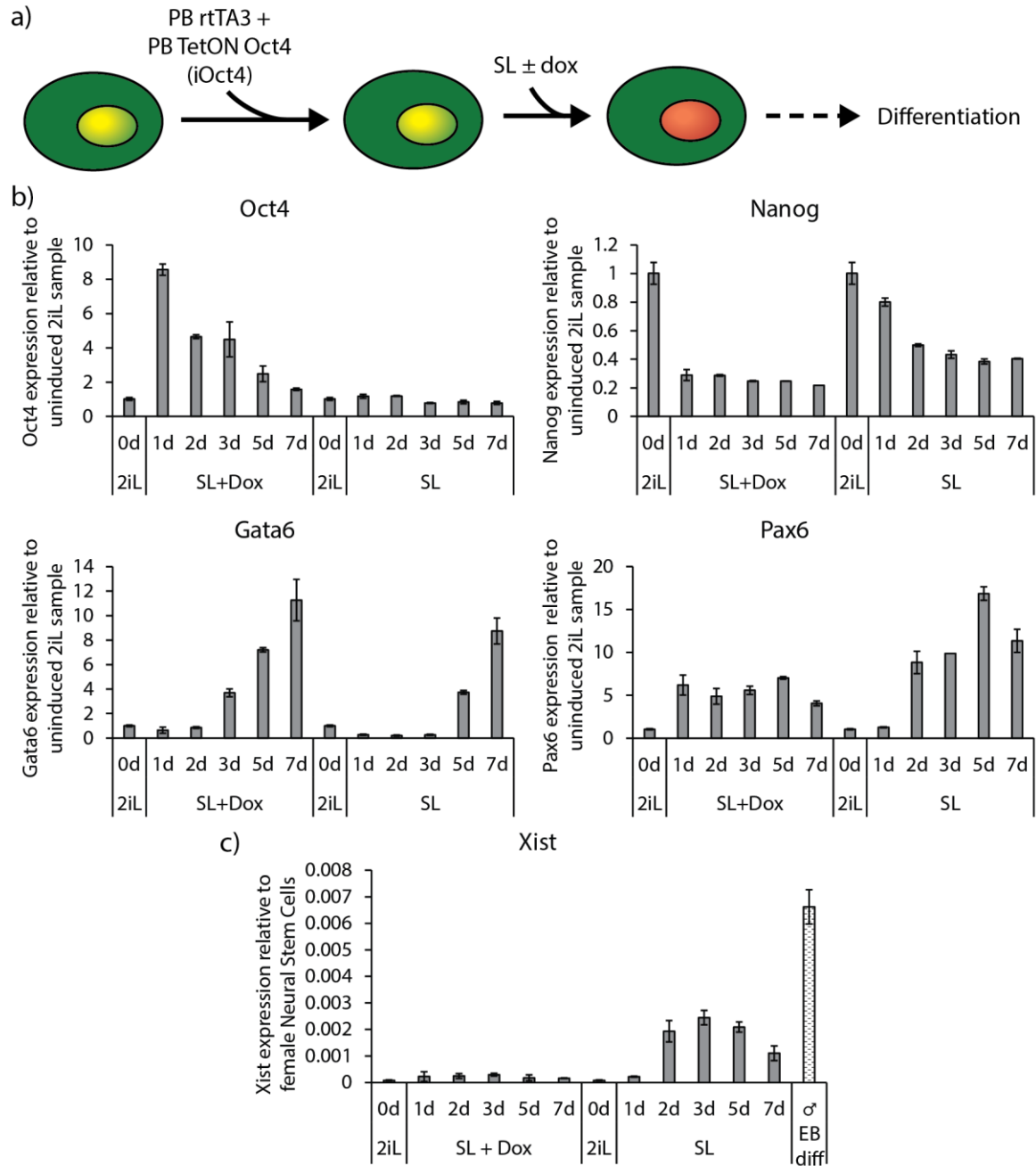


Figure 5.10 Overexpression of Oct4 in male ESCs results in differentiation with repression of Xist

a) Schematic for generating and inducing iOct4 male ESCs. Wild-type male ESCs were transfected with a randomly integrating constitutive rtTA construct and a randomly integrating TRE Oct4 cassette (together iOct4). b) Replicate wells of iOct4 ESCs were maintained in N2B27-2iL and transferred to differentiation-permissive SL, with or without addition of dox. Expression of Oct4, the pluripotency factor Nanog, the ectoderm marker Pax6 and the mesoderm marker Gata6 were detected by RT-qPCR. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated using the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalized to 0 hours. c) Samples in b) were examined for expression of Xist which was detected by RT-qPCR. Values represent the mean of three replicate qPCR reactions and error bars represent  $\pm$  SD; values were calculated by the  $\Delta\Delta$ Ct method using the housekeeping gene Gapdh as a reference gene and normalized to female NSCs as in Figure 5.7b, with the peak Xist expression detected in male ESCs during embryoid body differentiation shown for scale.

Uninduced cells transferred to these conditions showed a degree of differentiation, with upregulation of the lineage markers Pax6 (ectoderm) and Gata6 (mesoderm) (Figure 5.10b). Concurrently they showed mild upregulation of Xist; note that this also serves to demonstrate that while Xist is upregulated on transition of ESCs to SL, the scale is incomparable to that seen on overexpression of Cdx2 or Gata6 where levels are 100-500 fold greater. Overexpression of Oct4 resulted in faster induction of lineage markers. Despite this, and the far greater percentage of the cells undergoing differentiation, upregulation of Xist was greatly reduced in Oct4-overexpressing cells compared to uninduced cells simply transiting to SL. Not only does this demonstrate that Xist upregulation is not caused by our dox-inducible transgene expression system, it also indicates that Oct4 plays an active role in the repression of Xist even as the rest of the naïve transcription network collapses.

### 5.3. Discussion

Random X chromosome inactivation is a common mechanism by which eutherians achieve dosage compensation of X linked genes. Female cells of the preimplantation epiblast, and ESCs *in vitro*, have two active X chromosomes, and one of these undergoes inactivation at the onset of differentiation. Xist is a master regulator of this process, coating the prospective inactive X chromosome and recruiting chromatin modifiers to inhibit expression. Meanwhile, differentiating male cells show very transient Xist upregulation and rapidly reverse any associated gene inactivation that may occur. As described in the previous chapter, the long-standing model of XCI suggests that a process of counting X chromosomes and choice of the presumptive inactive X follows the decision to initiate differentiation, followed by upregulation of Xist on the chosen chromosome. Work in our group suggests that events unfold differently, with Xist upregulated as a direct consequence of the collapse of the naïve network. Under this new model, counting and choice occur subsequently and result in downregulation of Xist on chromosomes that will not be inactivated.

#### 5.3.1. Xist is misregulated in male cells following depletion of Oct4

The observation that male cells upregulate Xist, the principal factor in the control of X chromosome inactivation (XCI), following depletion of Oct4 is somewhat unexpected. Literature suggests that during differentiation female cells specifically upregulate Xist from the prospective inactive X chromosome (Panning et al., 1997; Sheardown et al., 1997), while male cells entirely silence Xist from the low levels observed in ESCs maintained in SL (Panning et al., 1997; Sheardown et al., 1997). Since male cells have a single X chromosome, it is expected that they should not upregulate Xist as counting

precedes the onset of inactivation. However, recent data suggest that upregulation of Xist is connected to the dissolution of the naïve pluripotency network (Sousa et al., 2018). Since this happens rapidly on removal of Oct4, it is not entirely surprising that Xist is upregulated.

However, following genetic ablation of Oct4 I observe a far greater expression of Xist in male cells than is observed in normal differentiation of male ESCs or on loss of Nanog (Sousa et al., 2018), with Xist levels continuing to rise almost in line with female cells (Figure 5.2b). Initially, it is somewhat surprising that Xist is upregulated so highly and persistently in male ESCs on loss of Oct4, since Xist is not expressed at all in male trophoblast cells. However, it is important to note that during development *in vivo*, Xist expression in the extraembryonic lineages is regulated in a different manner than later in embryonic lineages. The maternal Xist allele is silenced through epigenetic imprinting during oogenesis (Fukuda et al., 2014; Inoue et al., 2017). It is reactivated only in the naïve epiblast (Silva et al., 2009), and therefore remains inactive in extraembryonic tissues in males, while paternal Xist expression maintains silencing of the paternal X chromosome in the extraembryonic compartment in females. It has been shown that removal of these imprints in early embryogenesis results in Xist expression from both X chromosomes in female trophoblast, leading to initiation of XCI on both chromosomes (Inoue et al., 2017). Furthermore, it has been suggested that inheritance of two maternal X chromosomes in parthenogenotes, which results in failure to inactivate either chromosome due to the lack of Xist expression, may be responsible for the very low survival rate shortly after implantation (~25% survive to E9.5) (Tada and Takagi, 1992). While reports vary (Kaufman et al., 1978; Rastan et al., 1980), it appears this could particularly affect extraembryonic tissues, with many implanted parthenogenotes lacking a discernible ectoplacental cone or extraembryonic endoderm (Tada and Takagi, 1992). However, a proportion of cells eventually escape the imprinted inactivation of Xist and successfully inactivate a single X chromosome (Nesterova et al., 2001). It seems plausible that the machinery for counting and inactivating Xist may be absent in less potent cells undergoing transdifferentiation to a trophoblast-like fate since this differentiation normally occurs within the very early embryo. It may be that following induction of Xist on exit from pluripotency, silencing of the lncRNA cannot occur unless cells are progressing normally towards an epiblast-like identity.

This would explain the continued accumulation of Xist RNA in male cells following depletion of Oct4; since Xist is not imprinted in ESCs, the induced trophoblast-like cells would naturally express all Xist

alleles. It would also predict that the trophoblast-like cells generated by removing Oct4 should be defective in proliferation and survival. I attempted to generate a trophoblast stem cell line following Oct4 depletion in male ESCs; while I was able to serially passage a line that expressed trophoderm markers *Elf5* and *Cdx2* (Figure 5.3a and b), cells were very slow growing and a large percentage of the population was lost every passage. After three passages Xist was not detectable (Figure 5.3b), perhaps suggesting that a small percentage of cells are able to recover their normal X status as in parthenogenotes, and these may have a selective advantage.

### 5.3.2. Xist expression is a common feature of extraembryonic differentiation from ESCs

Depletion of Oct4 results in trophoblast-like differentiation. As described above, this results in strong and persistent Xist upregulation in male cells. I considered this to be evidence that collapse of the pluripotency network was the proximal cause of Xist induction and hypothesized that extraembryonic cells lack the machinery for either counting, choice or Xist repression.

I therefore sought to confirm that alternative methods of inducing extraembryonic differentiation of male ESCs would result in stable upregulation of Xist. To do so, I established cells lines for inducible expression of *Cdx2* or *Gata6*, which have previously been demonstrated to stimulate trophoblast and extraembryonic endoderm differentiation of ESCs respectively. After demonstrating appropriate transgene induction and subsequent expression of relevant extraembryonic markers, I proceeded to analyse Xist expression.

I have found that male cells exiting pluripotency and differentiating towards trophoderm show persistent upregulation of Xist to comparable levels to female somatic cells. This is the case whether Oct4 depletion or *Cdx2* induction are used to drive the change in cell identity (Figure 5.7b and Figure 5.8). Notably, I did observe rapid downregulation of Oct4 following overexpression of *Cdx2*, suggesting that the upregulation of Xist in these two systems could occur via a common mechanism. However, it is also possible that *Cdx2* causes Xist to be expressed independent of Oct4 levels. Ongoing work to test this will utilize a fixed-Oct4 ESC background in which Oct4 expression is constitutively maintained. Therefore, if upregulation of Xist is blocked on overexpression of *Cdx2*, this will strongly suggest that the downregulation of Oct4 in normal cells is vital for this phenomenon to occur, demonstrating that Oct4 is a key negative regulator of Xist.

Furthermore, the transition of ESCs to an alternative extraembryonic identity, induction of Gata6 leading to extraembryonic endoderm differentiation, was also marked by significant upregulation of Xist (Figure 5.7b and Figure 5.8). Together with published observations that reduced Nanog expression correlates with enhanced Xist expression in naïve ESCs, and that Xist is rapidly upregulated in male cells following downregulation of the naïve transcriptional network (Sousa et al., 2018), this provides strong evidence that pluripotency-associated factors play a major role in the repression of Xist.

Extraembryonic tissues regulate dosage compensation through the imprinted inactivation of Xist on the maternal X chromosome. They are expected to express Xist from any non-imprinted X inactivation centre, a hypothesis that is confirmed by experiments studying parthenogenetic embryos. Together, these factors explain why Xist upregulation is so dramatic and protracted in my experiments inducing extraembryonic differentiation from ESCs.

What remains unclear, however, is whether these cells continue to express the machinery to enact XCI and what the outcome of this would be. This system could be useful for further studies into the mechanism of X chromosome counting, choice and Xist inactivation, as they appear to be defective in at least one of these stages as these male cells fail to downregulate Xist as in somatic differentiation, instead maintaining a high level of expression over at least several days.

### 5.3.3. Oct4 overexpression prevents Xist upregulation

Remarkably, it appears that increased Oct4 expression is sufficient to prevent upregulation of Xist during somatic differentiation from male ESCs (Figure 5.10c). It should be noted that the level of Xist upregulation in this system in the absence of Oct4 is comparable to very early female differentiation, after which female cells rapidly induce Xist to far greater levels whereas Xist subsequently becomes silenced in male cells. It would therefore be interesting to repeat the Oct4 overexpression induced differentiation experiments in female cells to determine whether Oct4 is capable of repressing Xist from far stronger inductive influences. It is also important to keep in mind that Oct4 levels remain relatively consistent for some time in normal differentiation; wild-type levels of Oct4 are clearly not sufficient to prevent Xist induction. However, it has previously been shown that Oct4 binds to regulatory elements of Tsix and Tsx, inhibitors of Xist, and promotes their expression (Donohoe et al., 2009).



It therefore appears that Xist is upregulated at the onset of both somatic and extraembryonic lineages from male ESCs. This appears to be caused by the general dismantling of the naïve transcription factor network, but can be prevented through overexpression of Oct4. In somatic differentiation, counting and choice result in the silencing of Xist from X chromosomes that are not destined to undergo XCI. Meanwhile, during extraembryonic differentiation the process of counting, choice, or Xist silencing fails, and Xist continues to be expressed to high levels even in male cells. Together this demonstrates novel differences in the machinery associated with XCI between somatic and extraembryonic differentiation; this could provide a platform for future work to establish the exact factors and mechanisms involved in this process. Additionally, it demonstrates a role for Oct4 in preventing Xist induction. Speculatively, this could be related to the mechanism by which Xist expression is silenced following counting and choice, and I hope that future work will elucidate whether this is the case.

## Chapter 6: General Discussion

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### 6.1.1. Transcriptional regulation by Oct4

Typically Oct4 is viewed as a core pluripotency factor, and a master regulator of the naïve state. It is placed as one of a trifecta of key factors, together with Sox2 and Nanog, which together stabilize naïve pluripotency. The three factors were identified as essential for the maintenance of pluripotency, while other factors were found to be redundant in their activity. However, over time it has become increasingly clear that this is not the case. In the absence of external differentiation stimuli, Nanog is not essential for the maintenance of pluripotency in mouse ESCs. Meanwhile, overexpression of Oct4 is sufficient to render Sox2 dispensable for their self-renewal and differentiation. It appears that this leaves Oct4 at the pinnacle of a hierarchy of pluripotency factors.

In a sense, this may be true; from the work presented here, it appears that the binding of Oct4 may be essential for the expression of important pluripotency genes (Figure 4.7). This requirement for Oct4 likely underscores the importance of this factor in reprogramming, where activation of endogenous pluripotency loci is a key step. The rapid reduction in enhancer RNA expression (Figure 4.15) and histone modifications associated with active enhancers (Figure 4.14) suggests that Oct4 is required for the licensing of these elements. It has also been shown that the presence of a promoter is required for expression of enhancer RNAs (Kim et al., 2010); this suggests that a possible essential activity of Oct4 is to induce enhancer-promoter interactions (Figure 6.1), and that this DNA looping could be lost in the absence of Oct4.

Figure 6.1

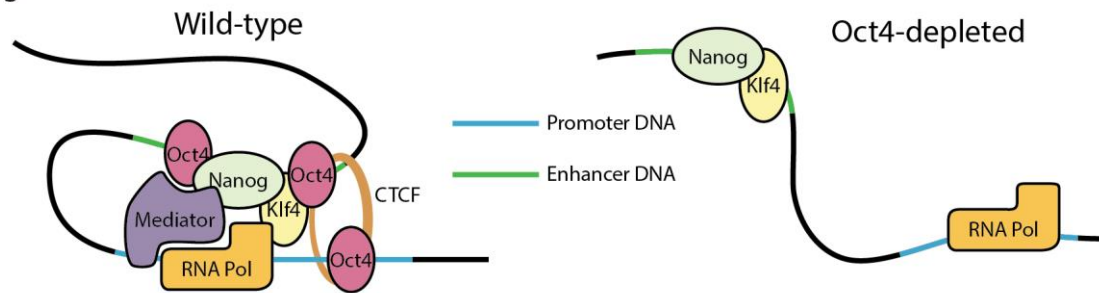


Figure 6.1 A potential role for Oct4 in establishing enhancer-promoter interactions

Schematic showing how Oct4 could be required for enhancer-promoter interactions by recruiting Mediator and CTCF (left). In the absence of Oct4 this looping would be lost, preventing transactivation of gene expression by enhancer-bound factors (right).

It is interesting to note that binding of CTCF and the Mediator complex, both of which support long-range enhancer-promoter interactions (Donohoe et al., 2009; Kagey et al., 2010), is overrepresented at Oct4 binding sites (Göke et al., 2011), and Mediator strongly co-localizes with Oct4-Nanog-Sox2 triple bound loci (Göke et al., 2011). Furthermore, it has been demonstrated that Oct4 physically interacts with CTCF (Donohoe et al., 2009). Moving forward, it would be interesting to examine how chromatin architecture is modified upon loss of Oct4 through chromatin conformation capture studies, in order to identify whether promotion of enhancer looping is a key property of Oct4 in maintaining expression of key pluripotency factors.

However, the role of Oct4 is complex, and does not simply involve activation of pluripotency-associated genes. It has previously been shown that a constitutive trans-activating Oct4 fusion protein can maintain ESC self-renewal; however, these cells are defective in differentiation, demonstrating that a repressive role of Oct4 is essential for its normal function in ESCs (Hammachi et al., 2012). This is in keeping with the fact that overexpression of wild-type Oct4 induces differentiation of ESCs, while a reduced level of Oct4 enhances self-renewal while blocking differentiation. Mechanistically, this may be linked to the enhanced binding of Nanog to the genome following reduction of Oct4 levels that I have observed (Figure 4.9c, Figure 4.10b, Figure 4.11, Figure 4.12). It may be that overexpression of Oct4 leads to sequestration of pluripotency factors at non-essential enhancer elements, depleting them from key loci, or even the formation of complexes in the nucleoplasm without binding to chromatin at all (Figure 6.2). Alternatively, it may be that at higher concentrations enhancer elements spend a greater proportion of time with Oct4 bound, resulting in physical occlusion of binding sites for other factors or allowing sufficient time for the many inhibitory chromatin modifiers that interact with Oct4 to decommission active enhancers (Figure 6.2).

Figure 6.2

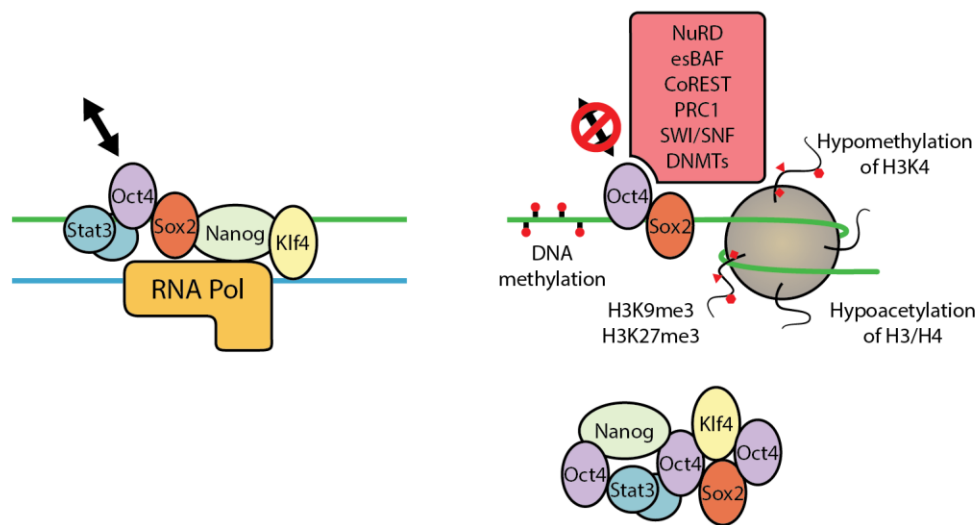


Figure 6.2 Models of mechanisms by which Oct4 overexpression may induce repression

When Oct4 is lowly expressed it may transiently interact with chromatin; meanwhile other pluripotency factors robustly interact with enhancer elements to promote expression of genes that enhance self-renewal (left). As Oct4 levels increase (right), Oct4 occupancy of enhancer elements may increase, resulting in greater recruitment of repressive complexes leading to epigenetic silencing of self-renewal genes. Alternatively, excess Oct4 may bind to and sequester pluripotency factors in the absence of chromatin.

In order to investigate the mechanism by which overexpression of Oct4 leads to collapse of the naïve network in more detail, there are several routes that could be pursued. Exploring the genome-wide binding of various transcription factors in wild-type and Oct4-overexpressing ESCs would give an indication of whether Oct4 is recruiting pluripotency factors away from key loci and spreading them across the genome, while quantitative mass spectrometry of non-chromatin-bound Oct4 binding partners would indicate whether Oct4 is sequestering proteins within the nucleoplasm.

It would also be interesting to investigate the recruitment of chromatin modifying complexes by Oct4. While protein interactions have been routinely observed between Oct4 and members of various repressive complexes, it is unclear how positive regulators are recruited to some enhancer elements and negative regulators to others. It is unknown whether Oct4 residence time has a role in this decision; this could be examined through single-molecule tracking of Oct4 combined with labelling of activatory loci such as the FGF4 enhancer and repressive loci such as Cdx2. If there appears to be a significant difference between such loci, this could be further tested by introducing point mutations to create stronger or weaker Oct4 binding sites, and therefore alter the residence time of Oct4.

However, it may be that the chromatin context of the locus or co-occupancy by other transcription factors that effects this difference in function. This is harder to test since, by their nature, repressive

loci will be bound by repressive factors and positive regulatory elements will be bound by trans-activating factors. It is possible to test whether transiently altering the chromatin environment at repressed loci, through recruitment of P300 by a dCas9 (catalytically inactive Cas9, an RNA-mediated DNA binding protein) fusion protein for instance, alters the composition of bound factors, and whether a repressive chromatin environment is reinstated or if this permanently alters the function of the regulatory element. More thorough profiling of the factors bound at positive and negative regulatory elements could also be informative. This could be examined through enChIP (engineered DNA-binding-molecule-mediated ChIP), which utilizes custom DNA-binding factors such as dCas9 to precipitate specific loci of interest, after which the bound proteins can be identified through sensitive mass spectrometry. However, this technique is still being developed and therefore costly and inefficient, and it is unclear whether it provides sufficient sensitivity for identifying novel factors bound at complex regulatory elements, particularly in a repressive chromatin context.

#### 6.1.2. Oct4 as a reprogramming factor

Oct4 is known to be an important factor in the process of somatic cell reprogramming, as well as in transdifferentiation. However, it is common for Oct4 to be used at levels never normally seen in a biological context. This leads to questions as to whether the expression of Oct4 seen in the early embryo is sufficient to induce cell fate transitions or if this is a neomorphic effect of extreme overexpression of Oct4. It is well known that the precise level of Oct4 has a profound impact on its ability to influence cell fate decisions. Previous work has indicated that low levels of Oct4 restrict the ability of naïve cells to exit pluripotency (Karwacki-Neisius et al., 2013; Radziszewska et al., 2013). Here we observe that an ESC level of Oct4 is permissive for the fate transition from somatic cells back to the naïve state (Figure 3.4, Figure 3.5, Figure 3.6). This is supported by the recent finding that activation of the endogenous Oct4 locus using an artificial transcription factor is sufficient to induce somatic cell reprogramming (P. Liu et al., 2018); however, in that work the level of Oct4 expressed during reprogramming in single cells that successfully generate iPSCs remains unclear. Additionally, preliminary work being performed in our group suggest that this level of Oct4 may facilitate the transdifferentiation of somatic cells, as well as reprogramming of E12.5-derived MEFs to pluripotency. Looking to the future, it would be interesting to explore whether different levels of Oct4 facilitate different stages of the reprogramming process. It is interesting that in the course of reprogramming Oct4<sup>-/-</sup> cells with transgenic Oct4 there is some form of restriction such that all successful

reprogramming events occur in cells with an ESC level of Oct4 (Radzsheuskaya et al., 2013). It may be that higher levels are deleterious to the expression of pluripotency factors as seen in stable naïve cells. With this in mind, it would be informative to examine the reprogramming efficiency of somatic cells with different defined levels of Oct4. This could perhaps be achieved by targeted knock-in of Oct4 with enhancer elements of differing strengths to the Rosa26 locus in an Oct4<sup>-/-</sup> background. Alternatively, the kinetics of Oct4 expression over the course of reprogramming could be tracked in single cells alongside a series of markers indicative of a productive reprogramming trajectory (Schwarz et al., 2018; Zunder et al., 2015). Tagging Oct4 with the short fragment of a split fluorescent protein (Cabantous et al., 2005; Feng et al., 2017) could permit accurate tracking of Oct4 levels in real time in live cells. In this system, a large fragment of GFP that is not significantly fluorescent is expressed constitutively, while a short, complementary fragment is tagged to the protein of interest. This tag can be as short as 17 amino acids. When co-expressed, the fragments self-associate and form a functional fluorophore, without requiring lengthy fluorophore folding times or causing significant disruption to the activity of the tagged protein. This would make it possible to track the level of Oct4 expressed over time within cells, and retrospectively identify those that successfully reprogram, in order to find out the level of Oct4 that is most beneficial at different stages in the reprogramming context.

### 6.1.3. Oct4 in pluripotency and differentiation

Nevertheless, the fact that a wild-type level of Oct4 is permissive both for differentiation and reprogramming implies that rather than being a specifier of the naïve state, Oct4 may act primarily as an architect of pluripotency in a broader sense, holding the key to cell fate transitions when present in an appropriate quantity. It may be that the secret to maintaining the naïve state lies less in the specifics of the transcription factor network and more in the signalling conditions of the preimplantation epiblast, with Oct4 allowing cells to progress forward as soon as the embryo implants and the environment shifts. It remains unclear what signals within the embryo maintain and dissolve the naïve state. *In vitro*, autocrine FGF4 signalling is a major driver of differentiation; cells of the naïve epiblast robustly express FGFR1 and secrete FGF4, so it is unclear how they retain their pluripotent identity (Kang et al., 2017; Molotkov et al., 2017). Additionally, LIF signalling is not required to sustain the naïve epiblast (Stewart et al., 1992; Ware et al., 1995; Yoshida et al., 1996) unless embryos enter into diapause (Nichols et al., 2001). It seems likely then that BMP, WNT and Activin/Nodal signalling may play a more important role in the maintenance of naïve pluripotency *in vivo*, but the precise

requirement of each of these remains unclear (Menchero et al., 2017). The shift in identity from naïve to primed, and the concurrent changes in morphology and cell-cell interactions, are presumably driven by signals from the trophectoderm, but this too is poorly understood. It is interesting to note that Oct4 continues to be expressed in PGCs, and could therefore play a key role in their capacity to convert to EGCs in appropriate conditions. The role of Oct4 in these other contexts is poorly understood, and future work in new systems for studying early embryonic differentiation *in vitro*, such as gastruloids (van den Brink et al., 2014) and ETX embryo structures (Sozen et al., 2018), may play a key role in uncovering the function of Oct4 as cells continue forwards in development.

Recent work has uncovered conditions for maintaining human pluripotent cells in a naïve-like state (Takashima et al., 2014; Theunissen et al., 2014). While it remains unclear precisely how these relate to the naïve epiblast of human embryos (Bates and Silva, 2017), they provide the best model system to date for studying the earliest fate transitions following epiblast specification in humans.

Interestingly, differentiation of these cells is a slow and drawn-out process, requiring a stage of priming prior to efficient exit from pluripotency (Guo et al., 2016; Takashima et al., 2014), mirroring the far longer process of implantation, polarization and priming observed in non-human primate embryos *in vivo* (Nakamura et al., 2016). This work has led to the suggestion that cells may pass through a separate identity, perhaps equivalent to mouse EpiLCs, during their transition to the primed state (Smith, 2017). Using this knowledge, work is ongoing to establish conditions to maintain this state *in vitro* (Kinoshita and Smith, 2018; Mulas et al., 2017), particularly using mouse cells. This has been labelled the formative state, as it has been suggested that it is during this transient phase that cells acquire the capacity for somatic differentiation (Smith, 2017). This is based on the observations that immediately following exit from naïve-specific growth conditions cells are unresponsive to differentiation stimuli (Mulas et al., 2017) and that the dissolution of the naïve transcription network precedes induction of lineage markers (Kalkan et al., 2017; Kalkan and Smith, 2014).

It is particularly interesting to consider the phenotype of Oct4 knockout in naïve ESCs in this context. We have seen that depletion of Oct4 results in the dismantling of the naïve transcriptional network in the absence of forward differentiation (Figure 4.8). This is eventually followed by upregulation of trophectoderm-associated gene expression, as readily observed following rapid ablation of Oct4 at the protein level. It is therefore tempting to speculate that Oct4 may be required for entry to the formative state or for gaining the capacity to undergo somatic differentiation and that consequently Oct4-null

cells must pass to an identity that does not require transit through the formative state. Research into the role of Oct4 in the formative state may well bring rapid advances to our understanding of how pluripotent cells gain the capability to undergo multi-lineage differentiation and form all the cell types of the embryo proper. Evidently this fits well with the role of Oct4 established throughout this body of work as primarily a facilitator of cell fate transitions and identity changes rather than specifically acting to maintain pluripotency as previously assumed.

#### 6.1.4. Control of Xist repression by Oct4 and in extraembryonic differentiation

Recent work from our group has suggested that Xist is not expressed in ground state naïve cells maintained in 2iL conditions, but it upregulated from all alleles as cells exit pluripotency (Sousa et al., 2018). Following counting and choice, Xist expression is subsequently restricted to the chromosomes that will undergo complete XCI. Data presented in this work supports this hypothesis; the fact that Xist upregulation is observed on depletion of Oct4 (Figure 5.2), despite the fact that these cells do not upregulate markers of primed pluripotency (Figure 4.8), suggests that it is loss of naïve-specific factors that triggers this event rather than progression to a more advanced stage of pluripotency. However, this leads to the question of what causes the subsequent downregulation of Xist on chromosomes that are not destined to undergo XCI.

Mutation of Tsix in males results in initiation of XCI in a small proportion of cells (Luikenhuis et al., 2001; Sado et al., 2002; Vigneau et al., 2006), while females always choose to silence the mutant chromosome (Lee and Lu, 1999; Luikenhuis et al., 2001). Recent work suggests that a small proportion of female Tsix mutants actually undergo XCI from either the mutant allele or both alleles and the apparent non-random inactivation is due to the senescence and death of cells that inactivate both chromosomes (Gayen et al., 2015). Additionally, following introduction of a constitutively active promoter to one Tsix allele in females, either the wild-type chromosome is selected and undergoes normal XCI or else both Xist alleles become silenced and XCI does not occur (Stavropoulos et al., 2001). Altogether, this suggests that Tsix plays a role in the silencing of Xist following its initial upregulation at the onset of differentiation.

I propose that Oct4 promotes expression of Tsx; Tsx and Oct4 bound to Xite both co-activate Tsix transcription (Figure 6.3). In the naïve state, binding of Oct4 and other pluripotency factors to intron 1 of Xist, together with this Tsix transcription act to silence Xist. On differentiation, pluripotency factors, perhaps including Oct4, are removed from Xist intron 1 leading to depression and initial



upregulation of Xist. However, Oct4 remains bound at the Tsix and Tss regulatory elements and their expression increases, leading to silencing of Xist (Figure 6.3). Thus, male cells initially upregulate Xist, but subsequently repress it and do not complete XCI.

Figure 6.3

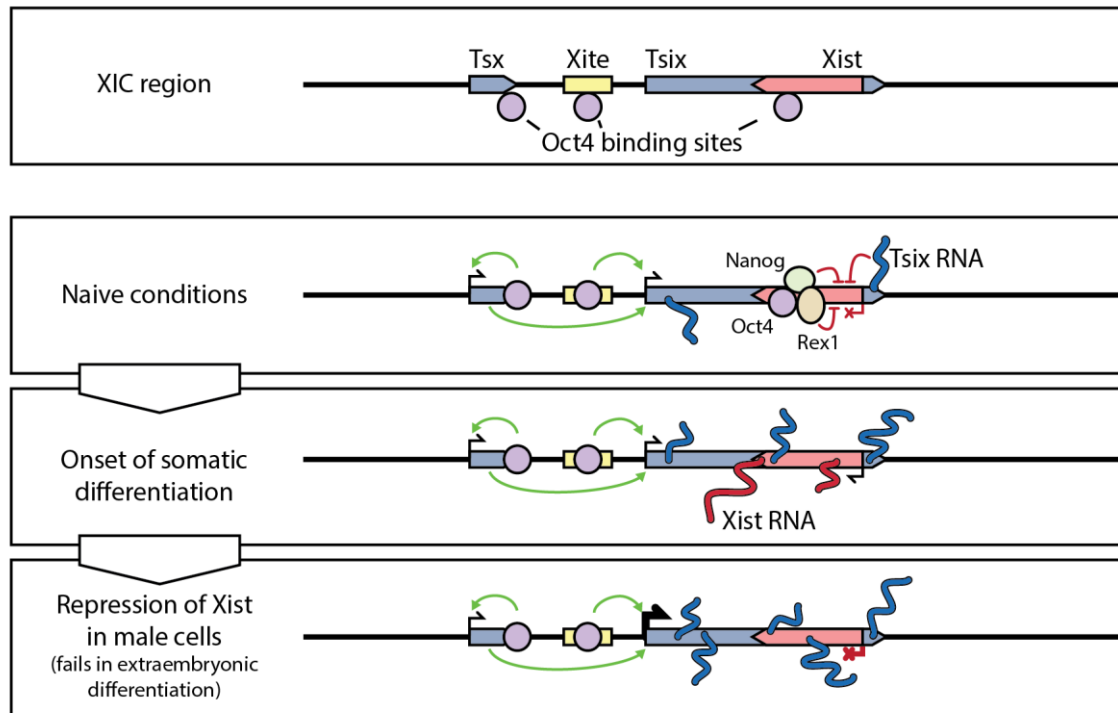


Figure 6.3 A model for regulation of the XIC during male ESC differentiation

Top: Map of the central XIC region. Tsx and Tsix are shown in blue and Xist is shown in red, with arrowheads indicating their direction of transcription. The Xite enhancer element is shown in yellow. Oct4 binding sites are represented by purple circles. Below: In naive conditions, Oct4 promotes expression of Tsx and induces transcription of Tsix. Tsix RNA (dark blue), together with pluripotency factors bound to Xist intron 1, repress Xist and prevent its expression. At the onset of differentiation, pluripotency factors are downregulated and are no longer bound to Xist intron 1. Oct4 may also be removed. This leads to depression of Xist and the detected Xist RNA (red) in male cells. Meanwhile, Tsx and Tsix expression also increase, possibly due to depression from the Xist intron 1 regulatory element. Continued Oct4 binding to the Tsx and Tsix regulatory elements allows them to be robustly expressed and they result in downregulation and silencing of Xist. The Xist promoter is permanently decommissioned before Oct4 levels decrease significantly.

In the case of Oct4 deletion, expression of Tsx and Tsix would drop, while collapse of the naïve network would initiate upregulation of Xist. In the absence of negative regulators, Xist expression would continue to rise to somatic levels. Rapid repression of Oct4 may be the primary factor that induces prolonged Xist expression in Cdx2- and Gata6-induced extraembryonic differentiation. Alternatively, it could be that other components that promote the silencing of Xist are not present due to the unconventional trajectory of differentiation in these cells.



or it could simply be through inactivation of *Tsix* on one chromosome. Once this is established the chromosomes can separate. The unmarked chromosome can undergo silencing as occurs in the absence of pairing. Meanwhile the prospective inactive X upregulates *Xist*, which coats the chromosome *in cis* and leads to widespread silencing.

Figure 6.5

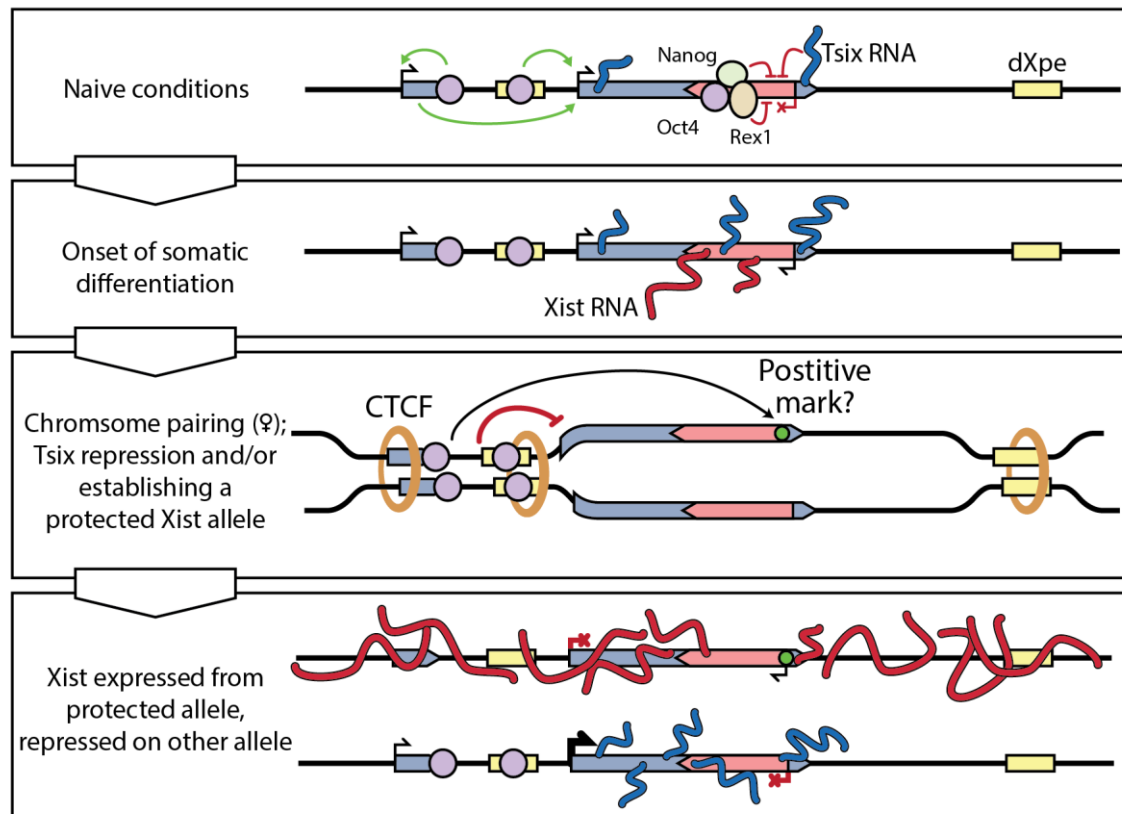


Figure 6.5 A speculative model of the mechanism of XCI

As in Figure 6.3, Oct4 induces *Tsx* and together they induce expression of *Tsix*. *Tsix* RNA and pluripotency factors bound to *Xist* intron 1 repress *Xist* in naïve conditions on both chromosomes. Note that ~200kb downstream of *Tsix* is the distal X chromosome pairing element (dXpe), located in the region of the *Slc16a2* gene. At the onset of differentiation, depletion of pluripotency factors leads to upregulation of *Xist* from both chromosomes, and *Tsix* and *Tsx* expression also increase. In the presence of Oct4, CTCF induces pairing of the X chromosomes at the *Tsix*, *Xist* and dXpe regions. This either leads to the establishment of a positive mark, or repression of *Tsix*, on a single chromosome; this is equivalent to 'counting' and 'choice' simultaneously. The chromosomes unpair, and the active X chromosome undergoes normal *Xist* silencing as in male cells. *Xist* expression continues to increase from the prospective inactive X chromosome, coating the XIC *in cis*. This domain will continue to expand and recruit repressive factors, leading to silencing of the entire chromosome.

Several factors fall in favour of this hypothesis. Introduction of transgenic pairing regions into autosomes in females reduces the rate of pairing between X chromosomes, and this is associated with failure to induce XCI (Augui et al., 2007; Lee, 2005). In this case, since neither X chromosome is paired to a functional XIC, they would each undergo the Oct4/*Tsix*-induced *Xist* silencing as seen in wild-type male cells. Heterozygous deletion of the *Tsix* start site leads to non-random XCI in the majority

of cells; this would be a combined effect of poor chromosome pairing leading to silencing of Xist on the wild-type allele, and unrestricted Xist upregulation of the mutant allele. Interestingly, homozygous Tsix mutants do undergo random XCI at a greatly reduced efficiency (Lee, 2002); the large proportion of cells display two Xist clouds, but many cells only have one (Lee, 2005). This implies that weaker pairing may still occur and additional elements may contribute to a lesser effect to the silencing of Xist. This could also contribute to the variable penetrance of XCI induction in male cells carrying Tsix mutations.

More work will be required in order to test some of these models. In the simpler case of male differentiation and repression of Xist, it will be important to confirm that Xist upregulation is a prevalent event. This model suggests that removing the Xist intron 1 pluripotency factor binding site and the Tsx/Tsix Oct4 binding sites together should lead to Xist upregulation even within naïve conditions, and should cause unrestricted Xist expression on differentiation in male cells. It may be technically difficult to test this without disrupting the locus, however, though. Another prediction is that restoring Oct4 to Oct4-depleted cells prior to the later stages of XCI might be sufficient to restore Tsx/Tsix expression and silence Xist.

If downregulation of Oct4 is the primary factor causing protracted Xist expression on extraembryonic differentiation, then one would predict that overexpression of Cdx2 in a constitutive Oct4 expression cell line, such as fixed-Oct4 iPSCs, would downregulate Xist as in male somatic differentiation. If this is not the case, then this would be a good model system for investigating other key repressors of Xist. A hypothesis that might be easier to test is that insertion of a constitutive promoter driving Tsix expression could be sufficient to prevent Xist accumulation in male cells on knockout of Oct4 or overexpression of Cdx2.

Testing the model of female XCI remains very difficult. One would expect that homozygous knockout of Tsix would induce sustained Xist expression from both chromosomes, but instead expression appears to be chaotic (Lee, 2002). However, it is unclear whether chromosome pairing is interrupted in this system. It is possible to inhibit transcription with dCas9 which binds to the DNA and blocks the passage of RNA polymerase. This should act without affecting pairing, and could provide a different result, leading to a more complete picture of the role of Tsix in Xist silencing. If an activatory mark is deposited during X chromosome pairing, it is possible that Xist might not be competent to coat the chromosome and induce silencing if chromosome pairing fails to occur; this would result in

continued X-linked expression in male cells expressing Xist. Interestingly, this is what we observe following overexpression of Cdx2 or Gata6.

#### 6.1.5. In summary

Altogether, this work demonstrates that Oct4 is a very important and perhaps unique transcription factor. It appears that Oct4 is essential for the expression of several key pluripotency factors in naïve cells, and helps to maintain active epigenetic marks to facilitate this. Despite this, even at physiological levels Oct4 impedes the binding of the pluripotency factor Nanog, a negative impact on the otherwise highly self-sustaining naïve network. This may be a key activity to maintain the vitally important capacity for differentiation in these cells. Interestingly, ablation of Oct4 from these cells seems to entirely prevent forward differentiation, with cells exiting pluripotency entirely and then upregulating extraembryonic genes.

Oct4 also facilitates fate transitions in the opposite direction, from somatic cells back to a naïve identity. It is capable of achieving this at an ESC level, and does not require overexpression to induce reprogramming of *in vivo* differentiated cells.

This work also provides more evidence that differentiation is accompanied by upregulation of Xist from all X chromosomes, and that key steps in the regulation of XCI actually relate to the silencing of Xist from chromosomes that do not require inactivation. Oct4 appears to have a key function in this process as well, contributing to the expression of key regulators that silence Xist when required, and also in establishing inter-chromosomal interactions that lead to the demarcation of one chromosome to be silenced.

#### 6.1.6. On the role of Oct4

A great deal of emphasis has been placed on identifying the essential role of Oct4 in the context of naïve pluripotency. From the body of work presented, I hope it is clear that this question is overly simplistic. Oct4 does not play one role, and thus cannot be simply substituted with another gene as is the case for so many naïve factors. Oct4 lies at the heart of many crucial events, including the establishment of the naïve state, maintenance of pluripotency gene expression and competency for differentiation, and control of X chromosome inactivation. In each of these, Oct4 likely acts through many key targets simultaneously, making attempts to rescue knockouts futile. Furthermore, rather than behaving like many other factors and actively enforcing the maintenance of self-renewal and the

naïve identity, Oct4 acts as an arbiter, required for pluripotency while preserving the capacity for differentiation. Rather than a naïve pluripotency factor, I see Oct4 more as a fate transition factor which plays a wider role in development and differentiation *in vivo*, as well as in reprogramming to pluripotency and transdifferentiation *in vitro*. Returning to the metaphor of Waddington's landscape, rather than having a preformed hill, with predefined hills and furrows, it is better to imagine a constantly evolving scene. Stable states are represented by depressions in a plane. Oct4 helps to carve out the indentation representing naïve pluripotency. However, as Oct4 levels increase it reduces the barriers to nearby states and thus encourages identity changes. Other factors such as Nanog or Esrrb simply deepen the recess in which ESCs sit, an effect which is lessened when increasing amounts of Oct4 displace them. While some of the precise mechanistic details of the activity of Oct4 remain to be uncovered, I hope this work ties together many disparate threads of work of many years to form a cohesive explanation of the functions of this complicated factor.

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## Appendix A

Unbiased list of expression changes in iCdx2 ESCs from Day 0 to Day 4 of induction. Genes with an adjusted P-value < 0.01 shown, top 200 ordered by log fold change shown.

Gene	Base mean	log2(FoldChange from D0 to D4)	Adjusted P-value
Igip1	86.62	10.49	2.62E-17
Vtcn1	111.64	10.07	2.55E-16
Serpinc9g	122.46	9.90	7.62E-15
Oasl2	68.67	9.75	6.94E-15
1810065E05Rik	39.41	9.58	9.94E-14
Olr1	343.67	9.57	1.07E-18
C1ql2	60.21	9.36	3.84E-11
Dppa1	739.76	9.24	1.67E-68
1700071M16Rik	31.70	9.24	1.67E-11
Timd2	149.02	9.22	8.70E-14
Serpinc9c	100.65	9.05	5.89E-13
Sqor	57.06	9.05	8.00E-11
Reg3b	51.58	9.02	9.52E-13
Pparg	337.77	9.01	2.21E-38
Wfdc17	17.09	8.99	1.24E-10
Acta2	152.19	8.94	2.68128E-22
1600025M17Rik	59.62	8.90	8.37E-11
BC051665	1518.17	8.86	2.49E-88
Postn	44.45	8.82	8.63173E-12
Tmprss4	29.60	8.81	8.42E-12
Slc16a2	75.04	8.81	2.75E-12
Pla2g7	1376.90	8.80	9.31E-126
Fthl17a	137.97	8.75	3.66E-16
Gucy2c	77.86	8.75	8.93E-16
H19	47988.60	8.70	3.99E-63
Tmem54	60.96	8.65	1.13E-11
Gm4926	269.91	8.59	4.33E-29
1700086L19Rik	25.33	8.57	9.94E-11
Gata3	352.57	8.56	9.74E-51
Tlx2	246.65	-8.54	2.23E-13
Myo7b	159.37	8.53	1.06E-28
Cartpt	18.24	8.50	1.57E-08
Noxa1	17.80	8.48	1.29E-10
Sod3	17.03	8.47	5.88E-10
Ctsll3	128.51	8.46	9.25E-11
Scnn1g	34.67	8.45	2.34E-09
Igf2	6683.27	8.43	1.86E-41
Add2	412.09	8.41	5.62553E-56
Fnd3c2	92.94	8.40	2.90E-10
Mid2	83.75	8.38	9.87E-14
Fgd3	340.64	8.38	3.91E-39
Mcub	16.80	8.33	3.30E-10
Ifit1	28.84	8.31	7.11E-09
BC053393	698.18	8.28	9.54E-96
Flt3	40.89	8.23	2.47E-08
Pde6h	14.67	8.18	1.84E-08
Entpd1	1317.85	8.15	2.73E-101
Enpp1	706.96	8.13	4.13E-106
Hand1	931.51	8.13	3.16E-136
Ccbe1	41.01	-8.11	3.00E-10
Wdr72	59.99	8.10	9.73E-11
Cdh5	1553.08	8.07	1.19E-92
Duoxa2	52.15	8.06	2.11E-10
Rhox12	39.77	8.02	7.51E-10
Tmprss2	1813.23	7.97	4.24E-23
Epn3	554.62	7.97	4.42E-47
Tmem171	72.82	7.95	1.89E-23
Exoc3l4	626.68	7.92	4.32E-74
Clic5	745.68	7.91	8.05E-41
Enpp2	75.82	7.88	3.45E-17
Mettl7b	14.61	7.84	1.94E-08
Tifa	203.99	7.83	9.07E-44
Ccrl2	30.03	7.82	1.50E-09
Osm	156.71	-7.82	1.86E-27
Mgam	114.36	7.82	6.46E-39
Pcdh12	570.34	7.81	8.70E-78
Trpv5	226.27	7.80	5.16E-40
Mkl1	14.49	7.80	2.46E-07
Gad2	17.64	-7.80	2.17E-06
Slc1a2	9.30	7.79	6.00E-08
Pnliprp2	11.51	7.79	4.31E-07
Tmigd1	10.22	7.77	1.36E-07
Dmkn	397.10	7.76	1.89E-50
Pkp1	40.81	7.76	1.03E-11
Lgals3bp	623.28	7.75	1.43E-81
Serpinc9d	22.75	7.73	1.40E-08
Atp8b1	257.29	7.72	2.88E-59
Tgm7	7.58	7.71	9.33E-06
Serpinc9f	90.65	7.70	1.46E-12
Slc6a14	435.85	7.67	1.05E-56
Tspan8	544.83	7.67	6.13E-87
Tmem132e	182.70	7.65	2.25E-10
Krt18	14620.81	7.64	0.00E+00
Cd200r2	7.88	7.64	5.43E-05
Akr1c19	21.27	7.63	2.90E-07
Wnt5a	172.38	7.62	2.93E-58
Ptprb	106.42	7.61	2.17E-23
Psg29	83.41	7.61	1.77E-08
Jakmip2	7.23	7.56	1.37E-05
Plac1	513.80	7.52	2.28943E-17
Igf2os	501.33	7.52	3.55E-99
Havcr1	171.92	7.52	3.72E-22
Phox2b	25.60	7.51	6.44E-11
Slc9a3	12.52	7.50	1.08E-07
P4ha3	32.18	7.48	1.20E-08
Metnl	557.08	7.48	4.84E-74
Prl2c5	148.85	7.45	7.47E-09
Alpk1	100.21	7.45	3.12E-26
Slc16a7	55.56	7.44	3.51E-20
Kcna6	8.85	7.43	4.38E-06
Serpinc9e	287.66	7.43	3.96E-42
Gpr17	21.53	7.41	2.19E-08
Slc6a2	954.30	7.41	1.39E-28
Heph1	12.53	7.41	3.75E-07
Hemgn	13.19	7.41	3.93E-07
Tmem252	23.80	7.40	4.97E-10
Lin7a	6.43	7.40	1.53E-05
Sp5	328.03	-7.39	4.21E-08
Chdh	324.99	7.39	1.19E-51
Pla2g4d	49.99	7.38	7.20E-11
Mir322	34.63	7.38	7.17E-09
4930442L01Rik	14.55	7.36	1.85E-07
Pou4f3	23.30	7.36	2.68E-10
Chst1	271.64	7.35	5.77E-15
Vgll1	75.66	7.32	2.96E-22
Dhrs9	53.14	7.31	2.07E-10
Gm11190	9.26	-7.31	8.70E-06
Mfsd6l	18.82	7.31	6.05E-07
Hcls1	84.50	7.30	2.57E-15
Thbs1	433.16	7.29	4.45E-57
Pcdhac2	26.07	7.27	2.71E-10
Gbp2b	35.28	7.25	2.85E-08
Prl7d1	119.37	7.24	1.11E-07
Serpinc1b	10.40	-7.23	1.08E-04
Hoxb5	7.54	7.21	2.00E-05
Nxf7	4846.84	7.21	1.69E-30
Isg15	12.64	7.21	6.94E-06
Itga2	58.62	7.20	1.05E-07
Irx4	91.35	7.18	3.10E-32
Nav3	171.78	7.17	1.60E-34
1700042O10Rik	19.07	-7.17	1.21E-05
Krt19	3429.17	7.15	0.00E+00
Adgra1	7.03	7.15	1.43E-05
Ccdc184	68.00	7.13	5.96E-16
Plac8	734.06	7.13	1.70101E-43
Irf2	74.07	7.13	7.83E-12
Adh1	385.06	7.12	1.74E-119
Lyve1	8.32	7.09	1.12E-05
Kcnj5	9.64	7.09	1.01E-06
3830417A13Rik	1189.41	7.09	3.12E-92
Adamts13	39.03	7.05	2.21E-14
Art4	37.56	7.05	3.40E-10
Plau	253.92	7.04	2.98E-36
Fmrd3	6.00	7.04	4.79E-04
Hoxa11os	8.35	7.02	2.94E-06
Klk6	16.82	7.02	4.25E-07
Sdsl	47.67	7.02	3.39E-07
Isx	120.35	7.01	7.97E-08
Hpcal4	68.14	7.00	8.19E-21

Oit3	20.29	6.99	7.17E-07
Nrp1	294.16	6.98	2.62E-83
Trpc3	43.04	6.96	1.24E-11
D7Ert443e	6.17	6.94	1.11E-05
Hoxb6	32.71	6.94	4.90E-12
Mir466f-2	10.02	6.93	9.79E-06
Plppr4	14.35	6.92	2.10E-07
Mycbpap	110.10	6.90	2.75E-14
Ifit3	9.93	6.90	6.43E-06
Gm32591	12.27	6.90	5.05E-07
Ankrd66	5.75	6.89	4.74E-05
Ebf3	25.09	6.89	4.67E-09
Tacstd2	482.67	6.88	3.39E-65
Chrnd	7.68	-6.88	4.96E-06
Fbxo32	396.78	6.87	9.50E-95
Ifit1bl2	5.20	6.86	1.21E-04
Ptptr	57.57	6.85	2.35E-13
Ankrd2	16.67	6.84	5.04E-07
Abca4	425.94	6.83	2.73E-118
Sct	1334.87	6.82	1.36E-107
Cryba4	16.59	6.82	2.34E-08
Jpx	26.94	6.81	2.31E-07
Slco2b1	28.19	6.80	2.42E-07
Ifi202b	5.30	6.79	4.01514E-05
Qrfpr	21.00	6.79	5.56E-07
Myl4	152.59	6.79	5.84E-18
Gm10804	9.22	6.78	1.82E-05
Tnf	8.21	6.78	5.66121E-06
Zfpn2	6.22	-6.78	9.75E-03
Scnn1b	192.35	6.76	3.48E-45
Maf	55.34	6.74	3.21E-11
Ido1	47.97	6.74	2.79E-12
Prrx1	4.51	6.74	4.68E-04
Insm1	8.02	6.73	3.17E-04
Id2	872.91	6.72	1.43E-101
Nrk	5505.03	6.72	2.47E-94
Il2rb	265.16	6.70	1.85E-27
Dct	4.71	6.69	1.04E-03
Arhgef6	837.87	6.69	2.4341E-69
Cd82	1275.04	6.67	2.43E-28
Cldn11	5.14	6.67	1.22E-04
Gjb2	729.36	6.66	1.66E-24
Ccdc194	6.33	6.66	6.47E-06
Doxl2	12.94	6.65	1.20E-05
Gsta1	117.38	6.65	4.61E-31
Nkx2-3	5.58	6.65	5.02E-05
Fam71f1	8.21	6.65	6.81E-05
Vnn1	565.60	6.61	6.06E-60
Ren1	70.99	6.59	9.09E-26
Tnni1	113.06	-6.59	1.32E-16
Elf5	245.24	6.58	4.31E-56

Unbiased list of expression changes in iCdx2 ESCs from Day 0 to Day 7 of induction. Genes with an adjusted P-value < 0.01 shown, top 200 ordered by log fold change shown.

Gene	Base mean	log2(FoldChange from D0 to D7)	Adjusted P-value
Prl2c5	148.85	12.68	1.18E-23
Ctsll3	128.51	12.39	1.42E-21
Prl7d1	119.37	12.35	6.16E-20
Tlx2	246.65	-12.02	1.54E-10
Serpnb9g	122.46	11.96	6.16E-21
Fnd3c2	92.94	11.84	6.27E-19
Psg29	83.41	11.79	1.70E-18
Prl2a1	71.78	11.61	2.42E-03
Olr1	343.67	11.54	1.82E-26
Prl2b1	63.68	11.51	7.98E-19
Prl7a1	125.62	11.48	7.55E-19
Ctsr	52.25	11.15	3.45E-03
Itga2	58.62	11.14	1.16E-16
BC051665	1518.17	11.11	4.91E-132
Tmem54	60.96	11.11	1.84E-18
Tpbpa	49.80	11.06	1.99525E-17
Pappa2	100.86	11.01	1.97E-18
Vtcn1	111.64	10.95	3.86E-19
1600025M17Rik	59.62	10.92	3.25826E-15
Iigp1	86.62	10.83	2.56E-18
C1ql2	60.21	10.69	1.47E-13
Oasl2	68.67	10.63	2.04E-17
Serpnb9c	100.65	10.59	3.03E-17
Entpd1	1317.85	10.47	4.74E-159
Prl3d2	62.03	10.44	8.07E-07
Flt3	40.89	10.40	5.03E-12
Cdh5	1553.08	10.35	2.62E-139
Postn	44.45	10.27	2.06E-15
Rhox12	39.77	10.27	2.66E-15
Prl2c2	30.82	10.24	2.32E-11
Gm4926	269.91	10.22	2.06E-40
Prl4a1	26.85	10.19	8.66E-03
Htr5a	80.23	-10.17	2.42E-09
Scnn1g	34.67	10.01	3.76E-12
Fthl17a	137.97	9.97	1.51E-20
Fgd3	340.64	9.95	1.17E-53
Brinp3	57.99	-9.90	1.49E-09
Ctsj	696.22	9.86	7.55943E-74
Prl3d1	150.72	9.84	4.22E-31
Timd2	149.02	9.80	1.76E-15
Slc6a2	954.30	9.72	6.94E-47
Ctsq	21.02	9.72	2.90E-04
Wdr72	59.99	9.70	6.33E-15
Serpnb9f	90.65	9.69	3.45E-19
Pparg	337.77	9.67	3.54E-43
Ifit1	28.84	9.67	4.20E-11
Dppa1	739.76	9.59	2.75E-68
Slc6a14	435.85	9.56	4.08E-85
Ccrl2	30.03	9.55	1.27E-13
Prl2c3	67.18	9.54	3.24E-16
Igf2	6683.27	9.49	1.59E-42
Serpnb9d	22.75	9.47	4.91E-12
Clic5	745.68	9.45	8.93E-57
BC053393	698.18	9.43	7.11E-120
Prl7b1	15.04	9.42	2.97E-08
Grem2	61.80	9.41	3.27E-17
1810065E05Rik	39.41	9.37	5.91E-13
Epn3	554.62	9.37	1.97E-58
Exoc3l4	626.68	9.30	1.47E-95
Plau	253.92	9.24	2.28E-60
Ceacam15	13.93	9.24	5.77E-03
Arhgef6	837.87	9.23	3.99E-121
Il24	13.04	9.19	2.34E-08
1700086L19Rik	25.33	9.18	7.27E-12
Cxcr2	110.49	9.16	5.95E-25
Gpr17	21.53	9.15	4.59E-12
Serpnb9e	287.66	9.14	7.93E-61
Tmem132e	182.70	9.14	7.58E-14
Tacstd2	482.67	9.10	1.23E-107
Mid2	83.75	9.08	1.26E-15
Mir322	34.63	9.07	8.37E-13
Prl5a1	13.55	9.06	1.54E-09
Acta2	152.19	9.05	2.54E-22
Procr	381.37	9.05	6.72E-72
Gbp2b	35.28	9.04	4.03E-12
Trpv5	226.27	9.04	2.05E-52
Tmprss4	29.60	9.02	3.81E-12
Dmkn	397.10	9.02	4.30E-65
Cts8	11.79	9.01	4.53E-08
Pcdh12	570.34	9.00	2.77E-96
Sqor	57.06	8.97	3.29E-10
Il2rb	265.16	8.96	4.68E-44
Hcls1	84.50	8.94	5.57E-22
Gata3	352.57	8.93	3.44E-52
Metrl	557.08	8.91	2.23E-98
Lgals3bp	623.28	8.89	5.26E-99
Dhrs9	53.14	8.86	1.84E-14
Mfsd6l	18.82	8.83	3.21E-09
Hand1	931.51	8.81	1.18E-149
1700071M16Rik	31.70	8.80	3.82697E-10
Slc16a2	75.04	8.80	3.57E-12
Reg3b	51.58	8.78	4.91E-12
C3ar1	26.05	8.77	8.28E-10
Doxl2	12.94	8.75	1.40E-08
Plac1	513.80	8.69	3.20E-19
Itgb6	46.42	8.67	1.39E-11
Havcr1	171.92	8.64	1.61E-28
Plac8	734.06	8.60	2.29E-58
Dmrtc1a	11.04	8.60	1.41E-06
Psg22	10.09	8.60	3.89E-08
Atp2c2	152.57	8.60	1.80E-23
Gjb2	729.36	8.58	1.92E-33
Pla2g4d	49.99	8.56	5.13E-14
Gbp3	22.40	8.54	1.04E-09
Col3a1	30.39	8.53	1.37E-12
Adgrf5	1881.06	8.53	1.72E-171
Csf1r	264.41	8.52	4.06E-60
Gucy2c	77.86	8.51	7.57E-15
Mycbpap	110.10	8.50	6.07E-21
Tnfrsf9	252.02	8.47	6.77E-48
Chst1	271.64	8.47	4.78E-19
H19	47988.60	8.45	5.07E-48
Mmp1a	7.94	8.40	1.82E-06
Hemgn	13.19	8.40	1.88E-08
AU021092	10.67	8.36	5.74E-09
Rsad2	106.21	8.35	4.01E-28
Mfsd2a	346.99	8.35	1.61E-106
Qrfpr	21.00	8.35	9.14E-10
Car4	496.34	8.34	5.27E-52
Tns4	1966.05	8.33	1.35E-105
Klk6	16.82	8.32	2.84E-09
Syna	51.28	8.31	7.93E-19
Cyp11a1	103.29	8.31	7.95E-06
Isg15	12.64	8.28	6.01E-07
Tspan8	544.83	8.27	1.83E-92
Mettl7b	14.61	8.26	6.66E-09
Vstm4	86.80	8.26	8.84E-14
Krt18	14620.81	8.26	2.73E-296
Heph1	12.53	8.24	3.32E-08
Chdh	324.99	8.22	1.75E-60
Nrk	5505.03	8.22	1.31E-114
Add2	412.09	8.22	1.67E-48
Ceacam9	25.53	8.21	2.05E-11
Enpp1	706.96	8.21	9.81E-106
Mlki	14.49	8.20	1.53129E-07
Duoxa2	52.15	8.19	1.32E-10
Myo7b	159.37	8.19	2.94E-25
Cartpt	18.24	8.19	1.63E-07
Calr4	174.22	-8.16	2.08E-15
Vgf	157.48	8.13	1.76E-46
3830417A13Rik	1189.41	8.12	3.22E-103
Spic	250.50	-8.11	9.73E-29
Art4	37.56	8.10	5.73E-13
Tnni1	113.06	-8.10	2.21E-10
Myl4	152.59	8.07	4.30E-22
Mcub	16.80	8.07	2.18E-09
Nxf7	4846.84	8.06	4.10E-31
Ctla2a	6.84	8.06	1.67E-05
Irf2	74.07	8.05	4.71E-14
Dll4	65.00	8.03	5.24E-21
Tll1	20.09	-8.03	1.79E-06

Elf5	245.24	8.02	2.24E-79
Cd177	28.25	8.01	3.10E-11
Arhgef37	109.11	7.98	9.11E-26
Usp18	45.67	7.97	1.71E-16
Thbd	309.94	7.94	1.10E-97
Igf2os	501.33	7.93	5.57E-105
Tmprss2	1813.23	7.92	7.56E-19
Sod3	17.03	7.92	1.66E-08
Scnn1b	192.35	7.92	7.50E-59
Cd82	1275.04	7.92	1.77E-32
Noxa1	17.80	7.92	3.91E-09
Jpx	26.94	7.91	1.79E-09
Entpd8	8.67	7.90	4.10E-07
Prl6a1	18.06	7.86	2.18E-08
Pde6h	14.67	7.85	1.81E-07
Adamts1	73.13	7.82	1.10E-15
Fasl	38.42	7.82	2.11E-13
Pla2g7	1376.90	7.78	5.63E-86
Sbsn	217.13	7.77	2.13E-46
Mir503	14.56	7.77	3.34E-08
Krt19	3429.17	7.75	0.00E+00
Pthlh	21.89	7.75	5.32849E-09
Slc24a3	47.75	7.74	3.07E-09
Fam71f1	8.21	7.72	8.11E-06
Tnf	8.21	7.72	4.35E-07
Rbbp8nl	12.79	7.71	1.59362E-07
Tnfaip2	956.90	7.70	4.23E-98
Atp8b1	257.29	7.69	4.65E-57
Cd93	7.38	7.69	1.16E-06
Gm13003	6.81	7.68	1.30E-05
Chrdl2	14.96	7.67	3.19E-08
Pkp1	40.81	7.66	3.92E-11
Gad2	17.64	-7.65	8.57E-05
Alpk1	100.21	7.64	5.35E-27
Sct	1334.87	7.64	7.98E-114
Itga7	1314.14	7.62	1.31E-96
Ifit3	9.93	7.62	1.30162E-06
Prom2	44.65	7.61	6.64E-04
Wnt7a	6.36	7.60	4.32E-06
Gm10804	9.22	7.55	3.84E-06
Gm13580	73.47	-7.55	1.12E-11
Cdk5r2	22.61	-7.52	3.03E-06
Slc34a2	3213.65	7.52	1.09E-136
Bgn	93.48	7.52	1.90E-09
Gsta1	117.38	7.51	3.76E-37
Krt1	4.56	7.49	2.94E-04
Irx1	102.52	7.48	5.69E-21
4930442L01Rik	14.55	7.46	2.56E-07
Nkx1-2	262.88	-7.40	3.04E-05

Unbiased list of expression changes in iGata6 ESCs from Day 0 to Day 4 of induction. Genes with an adjusted P-value < 0.01 shown, top 200 ordered by log fold change shown.

Gene	Base mean	log2(FoldChange from D0 to D4)	Adjusted P-value
Car4	496.34	11.56	6.49E-21
BC025446	252.14	11.38	2.69E-20
Gdf6	140.80	11.13	1.79E-18
Habp2	494.28	11.04	6.43E-37
Cubn	18054.34	11.00	7.98E-195
Slc34a2	3213.65	10.92	7.12E-140
Col6a2	1638.58	10.91	1.62E-61
Timd2	149.02	10.90	4.00E-19
Tfec	69.54	10.83	2.60E-16
Lgals2	126.74	10.61	7.24E-18
Muc13	518.80	10.56	1.37E-32
Tlx2	246.65	-10.53	2.83E-11
Vcam1	120.80	10.46	6.55E-08
Ttr	55.19	10.12	1.43E-13
Htr5a	80.23	-10.11	8.08E-13
Pth1r	3931.10	9.90	0
Col6a1	3327.32	9.87	1.51E-142
Slc24a3	47.75	9.81	1.04E-14
Srgn	5709.38	9.71	0
Sel1l3	559.43	9.70	9.01E-29
Serpina3i	56.25	-9.68	2.16E-13
Ghr	2061.59	9.67	6.51E-130
Vstm4	86.80	9.63	1.42E-14
Vsir	4402.44	9.62	3.12E-156
Il33	818.39	9.60	4.61E-76
Myl3	408.44	9.54	2.32E-19
Clic5	745.68	9.53	1.81E-52
Ihh	365.82	9.48	5.18E-21
Fcgr2b	53.92	-9.46	7.89E-13
Brinp3	57.99	-9.43	2.84E-12
S100g	157.83	9.40	3.76E-27
Col4a1	230646.30	9.37	1.11E-286
Enpep	1468.66	9.37	5.74E-125
1010001N08Rik	169.72	9.34	1.55E-16
Krt23	62.31	9.29	2.70E-13
Col4a2	122006.40	9.27	5.02E-262
Arhgef28	2705.31	9.22	7.09E-187
Pdgfra	10075.88	9.21	0
Hkd1	4455.71	9.21	5.31E-257
Sp5	328.03	-9.19	5.43E-10
Foxq1	839.32	9.18	1.75E-16
Lrrc32	82.24	9.18	3.89E-13
Atp8a2	585.70	9.15	1.09E-41
Fgg	32.73	-9.15	1.33E-07
Nrn1	53.39	9.11	5.81E-13
Dram1	946.30	9.08	1.27E-91
Dclk3	74.78	-9.07	5.14E-13
Wnt9b	52.24	8.99	3.56E-12
Pik3ap1	377.07	8.96	1.20E-46
Dab2	3286.32	8.95	8.00E-203
P3h2	557.02	8.93	1.31E-62
Zar1	20.22	8.90	1.59E-11
Aff2	62.05	8.89	2.33E-06
Dcstamp	31.52	-8.88	5.72E-11
Crb1	19.29	8.88	2.73E-11
Ptk6	1847.10	8.87	1.52E-124
Nxf7	4846.84	8.87	5.40E-46
Akr1c12	22.57	8.81	3.55E-10
Mal	420.94	-8.81	7.06E-16
Adora1	462.51	8.81	1.05E-59
Plac8	734.06	8.79	1.39E-48
Bmp2	406.00	8.75	3.26E-46
Cyp26a1	391.45	8.73	4.79E-67
Gsc	107.52	-8.70	4.45E-15
Lgi4	33.08	8.70	1.40E-11
H19	47988.60	8.63	2.10E-61
Glipr1	833.88	8.63	4.54E-61
Frzb	1784.44	8.55	3.09E-128
Slc39a8	437.11	8.55	3.10E-76
Ctsh	1061.62	8.54	1.19E-35
Ugt2b34	846.80	8.52	1.39E-44
Timp3	3866.32	8.48	1.88E-248
Hnf1b	488.90	8.43	3.94E-86
Timp2	14714.37	8.40	1.51E-45

Gad1	61.27	8.39	8.24E-10
Edn3	23.63	8.39	6.42E-11
Trpm1	509.31	-8.37	8.79E-39
Slc6a11	17.80	8.34	3.42E-10
Kctd16	31.99	8.34	1.05E-10
Lrp2	46455.04	8.34	5.02E-208
Slc6a2	954.30	8.31	2.17E-31
Igf2	6683.27	8.30	8.34E-38
Akr1c19	21.27	8.29	1.60E-08
Foxa2	761.82	8.29	1.36E-95
Ccdc33	54.13	8.27	8.58E-11
Accs1	889.11	-8.27	6.90E-90
Robo2	67.23	8.23	9.83E-12
Nkx1-2	262.88	-8.22	3.02E-07
Hs3st1	1836.68	8.21	4.94E-198
Plat	4608.26	8.21	0
Sox17	1603.50	8.20	3.43E-131
Itgb6	46.42	8.18	1.24E-10
Gm5535	18.57	8.15	5.98E-09
Klb	1578.66	8.14	7.22E-154
BC016579	63.77	8.12	1.45E-10
Ccdc180	17.85	8.11	1.03E-09
Arsi	574.81	8.10	2.08E-38
Aqp1	20.98	8.09	1.57E-09
Cdh6	200.21	8.05	2.87E-48
Grpp1	74.45	-8.03	1.48E-12
Krt42	547.08	-8.02	2.35E-62
Fthl17a	137.97	8.02	1.37E-10
Mamdc2	54.33	8.00	2.07E-12
Bmper	883.55	7.97	1.46E-75
Enpp2	75.82	7.97	7.11E-09
2300002M23Rik	18.14	-7.97	2.85E-08
Nostrin	719.58	7.94	1.75E-143
Tll1	20.09	-7.92	1.08E-08
Deffb2	17.32	-7.92	6.58E-09
Sox7	1675.40	7.92	5.19E-168
Tpbgl	424.59	7.91	1.20E-15
Myo7a	1362.83	7.91	9.76E-33
Kcnq5	282.58	7.89	8.58E-31
Amn	1107.05	7.89	3.32E-149
Mgat4c	25.27	-7.88	9.75E-09
Kif12	10.48	7.87	6.91E-07
Stpg1	35.50	-7.84	1.00E-09
Tns4	1966.05	7.84	3.80E-112
Serpina9e	287.66	7.83	3.66E-10
Gm805	17.06	-7.82	3.24E-08
Gm13889	59.68	-7.82	6.56E-10
Gm5868	9.75	7.81	5.48E-08
Scn3a	183.65	-7.76	2.12E-28
Dmkn	397.10	7.76	9.35E-24
Aqp8	2587.85	7.74	4.14E-27
Emilin3	6397.03	7.74	1.10E-49
Fxyd3	95.51	7.73	1.09E-12
Pramel6	20.28	-7.73	2.57E-08
St6galnac5	20.18	7.72	1.43E-08
Kihl34	39.17	-7.72	4.28E-08
Ramp1	40.05	7.71	6.76E-09
Chst1	271.64	7.70	4.96E-21
Kcnk13	12.32	7.70	1.66E-07
Pcp4l1	36.90	-7.70	2.00E-08
Lamb1	106034.76	7.69	0
Pdzd3	268.45	7.68	3.30E-66
5330411J11Rik	18.86	-7.61	2.01E-08
Trpm2	222.99	7.60	1.86E-52
Lypd3	18.02	7.58	1.86E-08
Bace2	75.86	7.57	8.87E-16
Lpar3	834.03	7.56	2.06E-102
Trpc5os	298.83	7.55	8.61E-46
Kcna2	23.64	-7.55	1.06E-07
Ifi27l2b	15.74	7.53	2.83E-08
Olr1	343.67	7.52	6.33E-12
Pou4f2	13.62	-7.51	2.49E-06
Ifitm7	13.62	-7.49	1.38E-07
1600029O15Rik	17.16	7.47	2.63E-07
T	45.70	-7.46	8.44E-09
Slc28a2	31.96	7.46	1.82E-08
Apoa4	33.20	7.45	1.55E-09



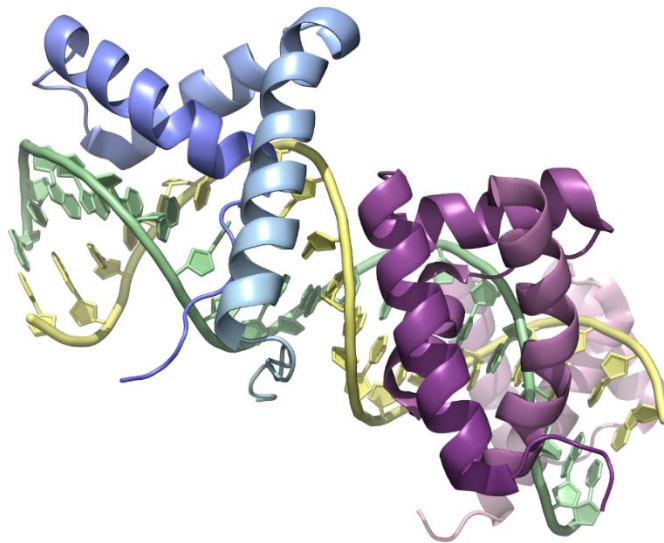
Slc6a1	213.09	-7.44	5.77E-19
Acta1	120.10	7.44	1.01E-08
Ppp1r3d	31.56	7.40	1.13E-08
Kcng1	372.95	7.39	2.85E-53
Fgd3	340.64	7.38	3.61E-09
Nrg2	1029.40	7.38	2.86E-179
Cpm	1884.99	7.36	1.00E-300
Igf1	95.27	7.36	2.07E-07
4921513103Rik	20.83	7.34	5.85E-08
Agtr1a	63.24	7.33	1.27E-10
Pyy	15.81	7.32	9.99E-08
Prokr2	23.15	7.32	5.53E-08
Edil3	13.81	-7.32	1.45E-07
1110002E22Rik	22.51	7.31	2.49E-08
Pcolce2	307.55	-7.30	2.15E-55
Cacna1d	482.39	7.28	2.26E-86
C2cd4a	193.69	7.27	4.54E-56
Nr2e3	9.74	-7.27	3.13E-05
Serpinc1b	10.40	-7.27	8.61E-05
Thsd7b	48.86	7.25	3.51E-09
Il34	129.21	-7.21	1.99E-18
Dlx2	14.68	7.20	0.000331798
Prame	6.26	7.20	2.05E-05
Ntrk3	181.54	-7.20	2.22E-38
Plac1	513.80	7.19	8.71E-12
Mc5r	10.64	-7.18	0.00110486
Mical2	3292.75	7.16	8.86E-162
Egflam	354.12	7.16	2.94E-47
Foxf2	53.48	7.15	1.66E-18
Frmpld1	1132.18	7.14	1.08E-81
Rtn1	301.65	7.14	3.75E-51
Myl9	594.20	7.13	3.13E-38
Irf4	96.31	7.11	2.33E-13
4930591A17Rik	26.58	-7.10	3.52E-08
Hcrtr2	10.01	-7.10	9.68E-06
Gm3629	15.58	7.07	5.71E-08
Pcdhb13	5.51	7.06	0.000206034
Lrrk1	402.38	7.05	1.11E-126
Cend1	21.72	7.05	3.12E-07
Arhgap6	828.78	7.04	5.98E-122
Hsd17b14	244.88	-7.03	2.69E-52
Creb3l1	863.92	7.02	8.05E-79
Cwh43	10.95	-7.01	1.31E-05
Apoa1	40.33	7.00	2.84E-10
Maml3	832.68	6.99	1.09E-96
Cdhr2	36.53	6.98	1.50E-12
Steap4	11.82	6.96	2.63E-07
Pof1b	27.01	-6.96	1.85E-07
Cxcl10	8.84	6.96	2.93E-05

Unbiased list of expression changes in iGata6 ESCs from Day 0 to Day 7 of induction. Genes with an adjusted P-value < 0.01 shown, top 200 ordered by log fold change shown.

Gene	Base mean	log2(FoldChange from D0 to D7)	Adjusted P-value
BC025446	252.14	12.45	5.54E-24
Slc15a1	440.42	-12.39	3.18E-15
Accs1	889.11	-12.15	2.07E-17
Myl3	408.44	11.71	1.37E-28
Vcam1	120.80	11.40	3.22E-08
Slc6a2	954.30	11.38	2.57E-56
Slc34a2	3213.65	11.26	1.54E-146
Myom2	174.66	-11.26	2.78E-09
Sei113	559.43	11.24	5.21E-38
Scn3a	183.65	-11.20	3.14E-13
Car4	496.34	11.12	2.35E-19
Col6a2	1638.58	11.11	1.87E-59
Ugt2b34	846.80	11.07	5.44E-72
Kbtbd11	160.92	-11.00	9.01E-13
Gdf6	140.80	10.96	1.16E-17
Gad11	61.27	10.91	2.9103E-15
Etv1	140.26	-10.71	6.06E-11
Arsi	574.81	10.70	2.19E-65
Mal	420.94	-10.66	2.3212E-12
Vsir	4402.44	10.61	4.74E-169
Atp8a2	585.70	10.61	3.77E-55
Lemd1	118.57	-10.60	1.46E-11
Timd2	149.02	10.60	4.03E-18
Col6a1	3327.32	10.58	1.02E-147
Ccdc33	54.13	10.57	8.52E-17
Gsc	107.52	-10.55	6.39E-12
Col4a1	230646.30	10.51	1.58E-289
Ghr	2061.59	10.47	1.76E-144
Krt23	62.31	10.46	2.37E-16
Frzb	1784.44	10.45	2.10E-184
Apod	107.56	-10.42	2.16E-11
Col4a2	122006.40	10.35	1.41E-262
Gkn1	35.96	10.34	8.36E-13
Wnt9b	52.24	10.30	2.20E-15
Muc13	518.80	10.25	2.99E-30
Padi4	88.36	-10.23	3.19E-11
Acta1	120.10	10.19	2.69E-15
Tpbg1	424.59	10.16	6.51396E-22
Glipr1	833.88	10.15	5.06E-80
Vstm4	86.80	10.13	7.06E-16
Arhgef28	2705.31	10.10	4.89E-210
Stra8	101.56	-10.06	1.41E-07
Wfdc1	58.20	10.04	2.23E-15
Srgn	5709.38	10.03	0.00E+00
Timp2	14714.37	10.01	5.48E-52
Itgb6	46.42	9.94	4.34E-15
Hkd1	4455.71	9.91	8.95E-268
Ptk6	1847.10	9.91	2.42E-142
Scn2b	74.79	-9.90	5.59E-09
Spta1	66.26	-9.85	2.53E-09
Timm8a2	72.34	-9.80	1.51E-10
1010001N08Rik	169.72	9.80	1.23E-17
Ankrd1	183.82	9.77	6.30E-33
Pth1r	3931.10	9.76	6.33E-305
Bmp2	406.00	9.71	2.88E-56
Chst1	271.64	9.71	1.14E-31
Insyn1	114.21	-9.71	3.62E-10
Serpina3i	56.25	-9.69	1.30E-09
Pcsk1	74.63	-9.68	8.11E-10
Cobl	2006.99	-9.68	1.60E-57
Enpep	1468.66	9.66	1.02E-126
Sifn9	317.74	-9.66	7.44E-16
BC016579	63.77	9.65	2.02E-14
Myl9	594.20	9.61	1.11E-63
Fxyd3	95.51	9.52	1.49E-18
Kctd16	31.99	9.49	2.42E-13
P3h2	557.02	9.49	1.39E-69
Lgi4	33.08	9.42	3.36E-13
Platr17	55.47	-9.40	3.74E-09
Hs3st1	1836.68	9.39	3.31E-247
Hsd17b14	244.88	-9.39	3.96E-18
Cdh2	84.38	-9.38	2.62E-09
Kcnq5	282.58	9.37	7.71E-41
Dram1	946.30	9.32	2.16E-92
Sema6d	861.53	9.31	6.96E-136
Pdgfra	10075.88	9.29	0.00E+00
Krt17	41.39	-9.28	3.02E-08
Slc28a2	31.96	9.28	2.63E-12
Clic5	745.68	9.28	6.52E-49
Tnfrsf8	53.51	-9.26	1.43E-09
Siglecf	42.87	-9.25	5.90E-09
Smtnl1	44.09	-9.22	9.91E-09
Plac8	734.06	9.22	1.69E-50
Lefty2	727.52	-9.22	2.90E-16
Lpar4	49.76	-9.21	3.96E-07
Emilin3	6397.03	9.21	6.59E-57
Lipc	41.74	-9.21	3.02E-08
Fam19a4	114.53	-9.20	7.51E-10
Adora1	462.51	9.20	7.94E-64
4921513I03Rik	20.83	9.20	1.3153E-11
Nrn1	53.39	9.20	4.75E-13
Il34	129.21	-9.20	2.67E-09
Foxa2	761.82	9.14	1.31E-111
Gm15462	54.49	-9.13	8.67E-09
Kcnj12	269.34	-9.12	1.68E-16
Sgo2b	49.15	-9.11	4.33E-08
Gulp1	156.98	-9.10	4.76E-09
Aff2	62.05	9.09	5.72E-06
Zscan5b	46.06	-9.08	5.46E-09
Tmem169	19.05	9.08	1.30E-11
Gsg1l	18.52	9.05	8.22E-11
Adm	83.81	9.05	5.47E-13
Ttr	55.19	9.04	1.32E-10
Vtcr1	111.64	9.03	1.69E-13
Nkx1-2	262.88	-9.02	2.43E-06
Il33	818.39	8.94	7.28E-62
Prdm14	718.48	-8.93	1.26E-36
Lrrc32	82.24	8.93	2.43E-12
Halr1	50.94	-8.91	9.97E-09
Irf4	96.31	8.90	1.89E-19
Dcstamp	31.52	-8.89	5.42E-08
Acsbg2	37.11	-8.88	1.25E-08
Habp2	494.28	8.88	5.48E-24
1700029P11Rik	48.02	-8.87	1.86E-08
Flt1	738.87	8.86	1.23E-72
Nxf7	4846.84	8.86	1.20E-37
Tns4	1966.05	8.84	7.84E-126
Lpar3	834.03	8.83	1.93E-130
Nlrp4a	31.37	-8.82	2.85E-07
Sycp2l	30.93	-8.81	2.96E-07
Gdf3	1014.14	-8.79	6.34E-69
Ramp1	40.05	8.78	6.07E-11
4930502E18Rik	49.11	-8.77	9.38E-08
Kcng1	372.95	8.75	9.93E-71
Timp3	3866.32	8.69	2.67E-229
Rab38	159.80	-8.68	2.20E-12
Aqp1	20.98	8.68	1.65E-10
Agtr1a	63.24	8.67	4.14E-14
St6galnac5	20.18	8.64	3.72E-10
Tlx2	246.65	-8.63	2.52E-09
Spic	250.50	-8.63	9.29E-22
Nanos3	31.93	-8.62	1.60E-07
Pik3ap1	377.07	8.60	2.97E-42
Slc27a2	47.18	-8.59	6.42E-08
Plat	4608.26	8.57	2.7214E-294
Mical2	3292.75	8.57	9.71E-199
Jakmip1	785.58	-8.56	1.43E-49
Mcf2	1734.74	-8.56	3.69E-38
Cdk14	252.16	8.55	3.29E-39
Foxn4	35.34	-8.55	3.53E-06
Stmn3	166.51	-8.54	1.38E-09
4930519F16Rik	28.73	-8.54	3.99E-08
Dnajc5g	32.25	-8.53	1.73E-07
Hdc	261.97	8.52	9.77E-61
Thbs1	433.16	8.52	2.60E-62
Tnfrsf2	956.90	8.51	7.69E-91
1600029O15Rik	17.16	8.51	9.65E-09
Prokr2	23.15	8.50	3.72E-10
Trpm1	509.31	-8.48	8.39E-29
Ap3b2	429.44	-8.45	2.44E-27
1700029M20Rik	24.62	-8.45	1.27E-07

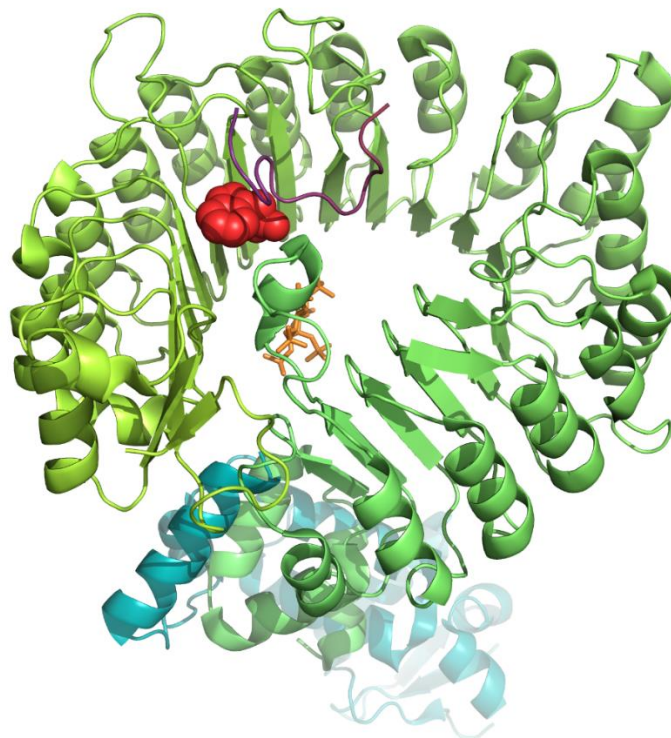
Sp5	328.03	-8.44	1.26E-07
Prmt8	27.38	-8.43	1.73E-06
Sifn10-ps	28.21	-8.43	7.42E-08
Hesx1	31.11	-8.43	2.73E-07
Nanog	12069.85	-8.42	1.18E-102
Ctgf	4064.78	8.40	0.00E+00
Bnc2	84.76	-8.39	2.74E-08
Tmem217	24.68	-8.39	1.74E-06
Notum	284.36	-8.36	5.17E-19
Gm10863	26.54	-8.35	1.92E-07
4930526L06Rik	28.77	-8.35	2.75E-07
Dmgdh	360.85	-8.34	2.27E-24
Krt42	547.08	-8.31	4.43E-43
Sox17	1603.50	8.30	5.09E-117
Ccnd2	869.75	8.30	1.25E-24
Tmem132e	182.70	8.28	8.35E-23
Thsd7b	48.86	8.27	3.96E-11
Trim54	22.44	-8.25	7.08E-07
Dlx2	14.68	8.25	1.03E-04
Creb3l1	863.92	8.25	6.38E-95
Calr4	174.22	-8.24	5.67E-16
Gpr88	10.93	8.24	1.19821E-05
Myh7	78.00	8.24	7.84E-28
Tmprss2	1813.23	8.23	4.55E-20
Bche	31.99	-8.22	1.32E-07
St8sia1	746.13	-8.22	7.7929E-27
Khdc3	401.58	-8.21	9.52E-37
Grid2	113.23	-8.20	1.01E-12
Arhgap6	828.78	8.20	3.52E-152
Maml3	832.68	8.19	7.26E-129
Calcoco2	1252.95	-8.18	9.15E-69
Robo2	67.23	8.16	4.58E-11
Gm5535	18.57	8.15	1.38E-08
Trh	2226.84	-8.15	6.51E-11
Esrrb	10284.46	-8.15	3.63E-133
Spata31d1a	27.64	-8.11	1.97E-07
Slc24a3	47.75	8.11	3.31983E-10
Aqp8	2587.85	8.10	3.58E-24
1700042O10Rik	19.07	-8.08	2.28E-05
Osm	156.71	-8.07	1.31E-17
Lamb1	106034.76	8.07	0.00E+00
Cacna1d	482.39	8.06	8.12E-100
Ccdc180	17.85	8.06	2.39E-09
Cacna1g	71.12	8.06	9.30E-25
Ihh	365.82	8.06	1.42E-13
Egfl6	35.75	-8.05	6.74E-07
Fcgr2b	53.92	-8.03	1.52E-07
Brinp3	57.99	-8.03	3.10E-07
Bcas1	22.67	-8.02	2.89E-07

## Appendix B



Oct1 (purple) and Sox2 (blue) bind to DNA (yellow and green), inducing bending. Structural data accessed from RCSB PDB public database entry 1O4X.

Williams, D.C., Cai, M., Clore, G.M., 2004. Molecular basis for synergistic transcriptional activation by Oct1 and Sox2 revealed from the solution structure of the 42-kDa Oct1.Sox2.Hoxb1-DNA ternary transcription factor complex. *J. Biol. Chem.* 279, 1449–57.



An AID-containing protein (IAA7, purple) interacts with Tir1 (green) via shared contact with auxin (red), leading to recruitment of Skp1 (cyan). Structural data accessed from RCSB PDB public database entry 2P1Q.

Tan, X., Calderon-Villalobos, L.I.A., Sharon, M., Zheng, C., Robinson, C. V, Estelle, M., Zheng, N., 2007. Mechanism of auxin perception by the TIR1 ubiquitin ligase. *Nature* 446, 640–645.

## Appendix C



# Reprogramming human cells to naïve pluripotency: how close are we?

Lawrence E Bates and José CR Silva

Pluripotent stem cells (PSCs) have the potential to revolutionise biomedical science; however, while it is simple to reproducibly obtain comparable, stable cell lines in mouse, those produced from human material typically show significant variability both within and between cell lines. This is likely due to differences in the cell identity of conventional mouse and human PSCs. It is hoped that recently identified conditions to reprogram human cells to a naïve-like state will produce better PSCs resulting in reproducible experimental outcomes and more consistent differentiation protocols. In this review we discuss the latest literature on the discovery of human naïve-like stem cells and examine how similar they are to both mouse naïve cells and the preimplantation human epiblast.

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## Introduction

Studies in mouse embryonic stem cells (mESCs) over many years have led to a detailed understanding of this cell state. While mouse cells are typically grown in a state of naïve pluripotency, equivalent to the naïve epiblast of the preimplantation blastocyst [1], human cells are cultured in primed pluripotency conditions. These are more similar to the postimplantation epiblast where cells become primed for differentiation [2]. Consequently, there are significant difficulties in directly applying our knowledge from mouse ESCs to human systems.

There have been several attempts to generate human naïve pluripotent stem cells (nPSCs) over recent years. Most often when putative human naïve cells are generated *in vitro* they are analysed using criteria that are known

to distinguish mouse naïve cells from primed cells. Such criteria include responses to extrinsic and intrinsic signalling pathways, the biophysical, biochemical and metabolic status of the cells, and the overall epigenetic and transcriptomic cell identity. However, recent advances in our understanding of the human embryo also allow direct comparisons to the naïve compartment *in vivo*. Recently, cells exhibiting human naïve epiblast molecular features have been described [3<sup>\*\*</sup>,4<sup>\*\*</sup>,5<sup>\*\*</sup>]. Over the course of this review we shall examine how closely these match the state of both mouse naïve ESCs and what is known of the human blastocyst.

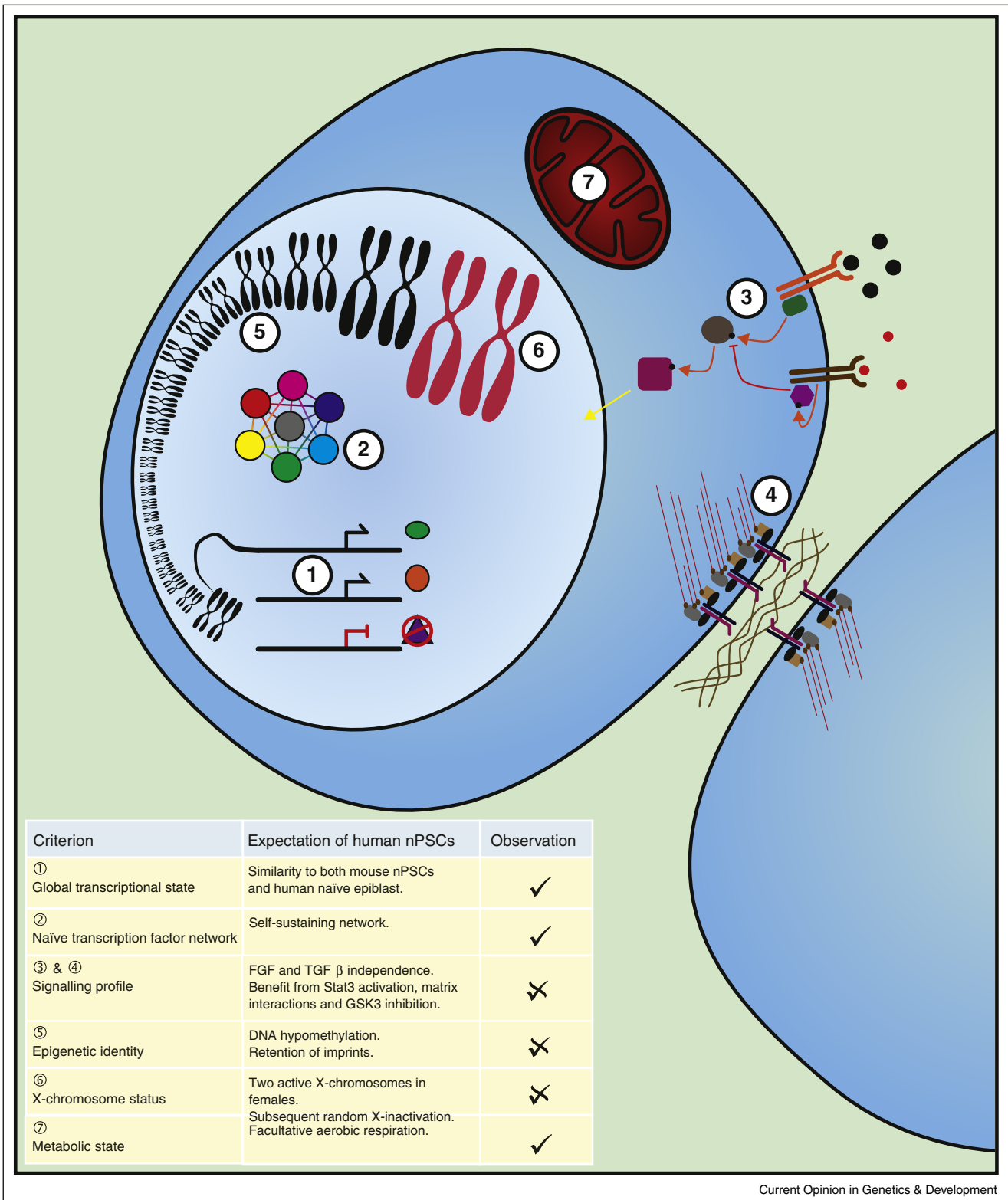
## Transcriptional identity

The transcriptional identity of a cell is often considered to be a readout of the cell's state (Figure 1, part 1). However, it is clear that the transcriptional state of cells is plastic, and a range of genes fluctuate in response to intracellular and extracellular conditions. Historically, it has not been practical to get a large number of high quality human embryos, necessary to obtain replicates of transcriptomic data with sufficient depth. Also, such embryos may be compromised as they have to be cultured *in vitro* in order to generate blastocyst-like embryos. Importantly, recent advances in RNA sequencing, particularly protocols for small cell numbers and even single cell sequencing, have made the analysis of these embryos possible.

Using such techniques, Yan *et al.* [6], and more recently Blakeley *et al.* [7], obtained single-cell transcript data from human embryos including late blastocyst stage embryos. Yan *et al.* observed four distinct cell types by unsupervised clustering which appear to represent two trophoblast populations as well as extra-embryonic endoderm and epiblast cells based on their expression of known marker genes, as expected in a mature blastocyst. However, both studies identified only a handful of epiblast cells, giving a fairly small sample size for further analysis.

Comparing the human naïve induced pluripotent stem cells (hniPSCs) of Takashima *et al.*, the embryo derived human naïve ESCs (hnESCs) from Guo *et al.* [5], the embryonic naïve epiblast cells from Yan and from Blakeley, as well as mouse nESCs and conventional primed human ESCs, it is clear that the hniPSCs and embryo-derived hnESCs cluster closely together [5]. This indicates that they share a transcriptional identity. Notably, the human naïve-like cell lines cluster closer to mouse ESCs than to human blastocyst cells along a principle component of variation. It is possible that this separation

Figure 1



Expected molecular signatures of human naive pluripotent stem cells. A large number of processes control, and are influenced by, any cell state. Some of the factors that are particularly considered in this review are: **(1)** the transcriptional state of the cell. Functional components such as Oct4 and Nanog and marker genes such as Rex1 have been identified from mouse naive cells and the human preimplantation epiblast, building a fingerprint of gene expression that should be present in naive cells. **(2)** A core transcription factor network. The naive state in mouse

is the result of generic adaptation of cells to *in vitro* culture. Interestingly, established human primed lines are separated from primed epiblast outgrowths along this same axis.

Theunissen *et al.* took a different approach to comparing their datasets to published human embryo data. They identified genes that are expressed in specific embryonic stages in the dataset of Yan *et al.* They then looked for the proportion of these genes that were differentially expressed between their hniPSCs and conventional primed hESCs. While genes specifically expressed in embryonic epiblast were enriched in the hniPSCs, so were genes specific to the morula and all other cell types of the late blastocyst [8<sup>\*</sup>]. This is surprising, since unsupervised clustering of all single cells in the study by Yan *et al.* [6] indicated that these are well defined states, with epiblast cells segregating well from other cells of the blastocyst. As stated by Theunissen *et al.* [8<sup>\*</sup>], this may indicate that their hniPSCs are in an earlier embryonic state, perhaps before full segregation of the inner cell mass. By analysing the expression of transposable elements, they found striking similarities between their cells and morula blastomeres. Interestingly, recent work from Petropoulos *et al.* [9<sup>\*</sup>] suggests that the segregation of lineages occurs relatively late in human embryos, with co-expression of lineage-specific genes throughout the morula. The three lineages only begin to segregate on the onset of blastocyst formation.

### Transcription factor network

At the core of the naïve cell identity in mouse ESCs is a highly interconnected transcription factor network which shows remarkable redundancy [10,11] (Figure 1, part 2). Whereas the complete transcriptome may not be identical between cell lines and across passages, these factors are always expressed in naïve cells. They are also functionally conserved across a range of vertebrates in their ability to drive induction of naïve pluripotent stem cells [12–14].

Additionally, many of these factors are specific for nPSCs compared to primed post-implantation epiblast derived stem cells (EpiSCs) [2] making these a good subset of genes to use as markers of a naïve state. Takashima, Guo and Theunissen all investigated a panel of these genes and found that most were upregulated in their putative hniPSCs and hnESCs relative to primed cells [3<sup>\*\*</sup>,4<sup>\*\*</sup>,5<sup>\*\*</sup>]. Neither *ESRRB* nor *KLF2* were upregulated in any of these naïve lines; however, this may be due to differences between primate and rodent and the redundant use of paralogue genes such as *Klf4* [1,4<sup>\*\*</sup>,7]. It is interesting, however, that both Takashima and Theunissen were able to efficiently induce a naïve-like state through exogenous expression of *KLF2* alongside *NANOG*. Takashima elegantly demonstrated that the behaviour of the transcription factor network in his hniPSCs closely corresponded to that of mouse ESCs with a knockout and rescue strategy. Mouse ESCs can support the single loss of either *Esrrb* or *Tfcp2l1* due to redundancies in the network [15,16], but it was expected that double knockout would lead to network collapse and subsequent differentiation [4<sup>\*\*</sup>]. Accordingly, shRNA depletion of *TFCP2L1* in hniPSCs resulted in greatly reduced colony formation, indicating that most cells had stopped self-renewing. Application of exogenous *ESRRB* during this knockdown was able to rescue self-renewal. Together this provides strong evidence that an interactive transcription network highly similar to that in mouse is active in these cells.

### Exogenous ligands and intracellular signalling landscape

A broad array of signalling pathways interact to maintain or destabilise the naïve state in mouse ESCs (Figure 1, part 3). These cells are able to self-renew in the absence of external signals providing that certain pro-differentiation pathways are blocked, namely the MEK/ERK MAPK signalling axis and the GSK3 $\beta$  pathway which would otherwise destabilise the network in part through

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(Figure 1 Legend Continued) has a self-sustaining network of transcription factors with many positive feedback loops to promote the maintenance of pluripotency. Notably, while many of these transcription factors are still expressed in primed cells, the network conformation is different, with factors binding to different enhancer elements and thus interacting in different ways. By exploring these interconnections, it is possible to test whether putative human naïve cells share the same connectivity and hence whether the network exists in a naïve configuration. (3,4) Environmental signals are key to maintaining cell states. In mouse, the naïve state can be maintained *in vitro* with LIF which activates downstream JAK/STAT signalling, an inhibitor of MEK/ERK signalling downstream of the FGF receptor, and an inhibitor of  $\beta$ -catenin degradation. The current human naïve culture conditions extend this with addition of a PKC inhibitor [4<sup>\*\*</sup>], or BRAF, SRC and ROCK inhibitors [3<sup>\*\*</sup>]. In addition to the response to ligands, cells interact physically with their neighbours and the extracellular matrix. Strong adherens junctions between cells provide the familiar dome-shaped morphology of naïve ESC colonies, and the ability to sense neighbours appears to be important for cell survival. (5) The epigenetic fingerprint of cells can be analysed in a similar manner to the transcriptional identity to build up a global picture of the cell state. Enhancer and promoter usage result in modification of histones and differential methylation of DNA. These profiles can be compared between cells. Additionally, the naïve state has additional epigenetic properties, such as global DNA hypomethylation and retention of imprinting marks which should be found in human naïve cells. (6) A key feature of the naïve state in female mouse cells is the presence of two active X-chromosomes. While the exact connection between naïve identity and X-chromosome status is still elusive, this is considered a hallmark of the naïve identity. While aspects of X-chromosome regulation differ between mouse and human, recent embryo work suggests that the human preimplantation epiblast shares this feature with mouse. (7) Many other elements of the cell are controlled by the cell state. One example is the switch between aerobic and anaerobic respiration. The naïve compartment of the embryo is considered to be facultatively aerobic, displaying relatively mature mitochondria, whereas other early embryonic cell states rely on anaerobic glycolysis for most of their energy requirements. While the cause of this switch is unknown, it is likely to be the result of integrating many other state-specific signals.

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its role in the degradation of  $\beta$ -catenin [17]. However, these conditions are not optimal and extrinsic signals that enhance pluripotency and survivability are often added to the culture. Most common, is the LIFR/GP130 ligand LIF which activates the JAK/STAT pathway [18,19]. This is typically included with inhibitors of GSK3 $\beta$  and MEK to give chemically defined 2i LIF medium. This enhances the efficiency of induced naive pluripotency [17,20] and maintains mouse naive PSCs in a state closely resembling the naive epiblast of the pre-implantation embryo [1,17,20].

Given the importance of LIF and downstream JAK/STAT signalling in reprogramming and maintenance of mouse naive PSCs [21,22] and its ability to induce human cells with some naive-like properties [23,24], it was surprising that Theunissen *et al.* [3<sup>••</sup>] found hLIF to be dispensable for culture of their hniPSCs. Indeed their microarray data (publically accessible on the GEO database, accession GSE59435) indicates that LIFR expression is fourfold lower in naive cells than in their parental primed cells. In addition, Takashima *et al.* [4<sup>••</sup>] show that LIFR is expressed at a far lower level in hniPSCs than in mouse ESCs. While LIF signalling is a key feature of the mouse blastocyst and is important for survival in diapause [25], it is also known to be important in the process of implantation in both mouse and humans [26,27]. Further work will be required to test whether JAK/STAT signalling is indeed an important component of the human naive state.

In mouse, Fgf2 and Activin A are both used to support the undifferentiated growth of primed EpiSCs [2,28,29], while Fgf signalling leads to differentiation of naive ESCs and Activin signalling is dispensable [17,29–31]. While the current human naive cells can be maintained in the absence of FGF and Activin [3<sup>••</sup>,4<sup>••</sup>], Theunissen *et al.* identified increased differentiation on their double inhibition [3<sup>••</sup>]. Notably in mouse, following activation by LIF stimulation, JAKs activate PI3K and the AKT signalling pathway [32]. This appears to have a role in ESC self-renewal, with cells treated with a PI3K or PDK1 inhibitor showing reduced proliferation and increased multi-lineage differentiation [33,34]. The PI3K/AKT pathway is known to be activated downstream of both FGF and Activin A/TGF $\beta$  signalling in various contexts [29,35,36], but most interestingly FGF2-induced AKT activation has been demonstrated in conventional primed human ESCs, where it is proposed to boost cell survival [37]; in this manner, FGF/Activin could be beneficial to the human naive-like state in the absence of sufficient LIF signalling to induce the AKT signalling cascade.

Given the poor survival of hniPSCs Theunissen *et al.* [3<sup>••</sup>] found it necessary to maintain these with ROCK inhibitor. While ROCK inhibitor was found not to be strictly

required by Takashima *et al.* [4<sup>••</sup>], it was used in combination with their t2iL+Gö in feeder-free culture and embryo derivation [4<sup>••</sup>,5<sup>••</sup>]. In mouse, poor survival on single-cell passaging is a more common trait in primed cells [2], which can be similarly rescued with application of ROCK inhibitor [38]. The precise manner in which ROCKi contributes to the enhancement of self-renewal is not clear. It appears that following single-cell dissociation, loss of focal adhesions between cells leads to activation of RHO/ROCK signalling. This results in enhanced actinomyosin contractility which induces apoptosis [39,40]. The improved proliferation observed while culturing the hniPSCs in the presence of ROCKi may suggest that they are not responding appropriately to cell-cell and cell-substrate contacts (Figure 1, part 4). In mouse, a switch from E-cadherin expression in naive ESCs to N-cadherin in primed EpiSCs has been observed [41,42]. Examination of the microarray data from Theunissen *et al.* reveals a decrease in N-Cadherin on transition to the naive state; however, both N-cadherin and E-cadherin are expressed in primed human ESCs, and there is no further increase in E-cadherin in the naive cells [8<sup>•</sup>]. It could be interesting to examine other cell contact-sensing pathways such as YAP/TAZ signalling to see if they are compromised. Interestingly, it has been suggested that overexpression of YAP promotes the reprogramming of human primed cells to a naive-like identity [43].

### Epigenetic fingerprint

Another distinctive feature of most cell states, and particularly the naive state, is the epigenetic landscape (Figure 1, part 5). In mouse ESCs cultured in 2i LIF, there is a remarkable genome-wide reduction in DNA methylation which is also observed *in vivo* [44–46]. It has been unclear until recently whether this should also be expected in humans [47,48]. However, recent work suggests that DNA methylation shows the same trend in human as in mouse [49]. In line with this, Theunissen, Guo and Takashima all identified a decrease in global DNA methylation [4<sup>••</sup>,5<sup>••</sup>,8<sup>•</sup>], on the order of that observed in human embryos [49]. Beyond this global decrease, however, there are signs that DNA methylation may not be properly regulated in these cells. Imprinted loci are specifically marked by methylation on one of the parental chromosomes. Stable imprints are retained throughout development and can still be found in differentiated cells. Importantly, they are observed in primed human ESCs. On conversion to naive conditions, however, many of these marks are lost [50<sup>•</sup>], which is an issue as this is linked to poor differentiation *in vitro* and shows links to developmental disorders and tumourigenesis [51].

Another epigenetic property of mouse naive ESCs is the absence of a silent X-chromosome in females resulting in the presence of two active X-chromosomes (Figure 1, part 6). On fertilisation, the paternal X-chromosome is

specifically inactivated [52] and is only reactivated in the naïve epiblast at the blastocyst stage [52–55]. Shortly after implantation of the embryo a random X-chromosome is inactivated [56]. There has been some debate over the state in human blastocysts [57,58], but recent evidence shows that they do have two active X-chromosomes [9<sup>•</sup>]. In the early human female blastocyst, cells exhibit twice the amount of X-linked gene expression compared to counterpart male embryo cells [9<sup>•</sup>]. However, despite maintaining biallelic expression as the blastocyst develops further, transcription of X-linked genes is downregulated, a phenomenon termed ‘dampening’ of the X-chromosome [9<sup>•</sup>].

The status of the X-chromosome in female primed cells has also been somewhat contentious [59–61]. Primed cells have undergone X-inactivation, and there is no reactivation when reprogramming human somatic cells to primed iPSCs [61]. However, over prolonged culture of primed pluripotent cells, a phenomenon of erosion of X-inactivation can be observed [60–62]. It appears that expression of Xist, the master regulator of X-inactivation, becomes epigenetically silenced resulting in the subsequent activation of genes on the formerly inactive chromosome. This makes the presence of two active X chromosomes in primed human ESCs an epigenetic abnormality rather than a molecular feature of biological significance.

Recently, it was shown that in the reprogramming of human primed cells to a naïve-like state the silent X-chromosome reactivates [8<sup>•</sup>,63<sup>•</sup>]. Despite exhibiting biallelic expression, it was found that X-linked gene expression was not twice that of the cells of origin which contained a silent X chromosome [63<sup>•</sup>]. Instead it resembled the ‘dampening’ phenomenon observed *in vivo* in very late human blastocysts [8<sup>•</sup>,9<sup>•</sup>,63<sup>•</sup>]. Upon differentiation there was inactivation of the X chromosome. However, this was non-random and therefore not reflective of the process that occurs during development.

Together, these studies indicate that there are epigenetic differences between current human nPSCs, their *in vivo* counterpart, and mouse ESCs.

## Conclusion

By the majority of measures, the most up to date culture systems have produced human pluripotent cells with similarities to both mouse naïve ESCs and to the human preimplantation epiblast. Nonetheless, there are still significant discrepancies. The signalling pathways active in these cells and the transcription factor network they support, appear to be very similar to, yet far less stable than, their equivalents in mouse ESCs. It is currently not possible to say whether the reduced stability of the human naïve state is due to interspecies differences, suboptimal culture conditions, or the possibility that we have not yet isolated bona fide human nPSCs.

Evidence from Takashima and from Guo show that their naïve cells have undergone metabolic reprogramming, showing a significant level of mitochondrial respiration (Figure 1, part 7) [4<sup>••</sup>,5<sup>••</sup>]. This is typically associated with mature blastocysts, with cells before and after this stage relying more heavily on anaerobic respiration and displaying less mature mitochondria [64,65]. Additionally, naïve cells show increased glycolytic metabolism, inhibition of which appears to reduce their proliferation, demonstrating that the high level of metabolic activity is important to the maintenance of these cells [66]. On the other hand, some transcriptional data suggest that the culture systems may favour a less mature embryonic state. Finally, several issues such as reduced viability in single cell passaging and genomic instability could indicate suboptimal conditions for cell growth.

Interestingly, recent papers have identified novel hPSCs with broader chimerism and differentiation potential than naïve or primed cells. These respectively demonstrate the ability to form interspecies chimaeras and the ability to differentiate into both embryonic and extra-embryonic lineages *in vivo* [67,68]. The latter cells express naïve marker genes but show also an expression signature that is not similar to any embryonic cell type. Further characterisation of the novel human PSCs is now needed to ascertain their full properties and molecular signatures.

The next major hurdles in establishing hnPSCs as the standard for *in vitro* studies will require demonstrating superior differentiation potential and reliability compared to conventional human ES cultures, and methods to simplify the generation and culture of these cells. By identifying cell surface markers specific to hnPSCs, Collier *et al.* [69] present a step forward in facilitating the establishment of naïve cultures, as well as potentially allowing the study of reprogramming intermediates to dissect this interesting transition.

While the conditions for differentiation protocols may need to be optimised for these new cells, it will be important to learn whether the promises of more homogeneous, less cell-line dependent differentiation from a naïve starting population can be delivered. If so, then this cell state could take over to become the accepted standard starting point for drug discovery models, *in vitro* developmental studies, and possibly advances in cell therapies.

## Conflict of interest statement

Nothing declared.

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